

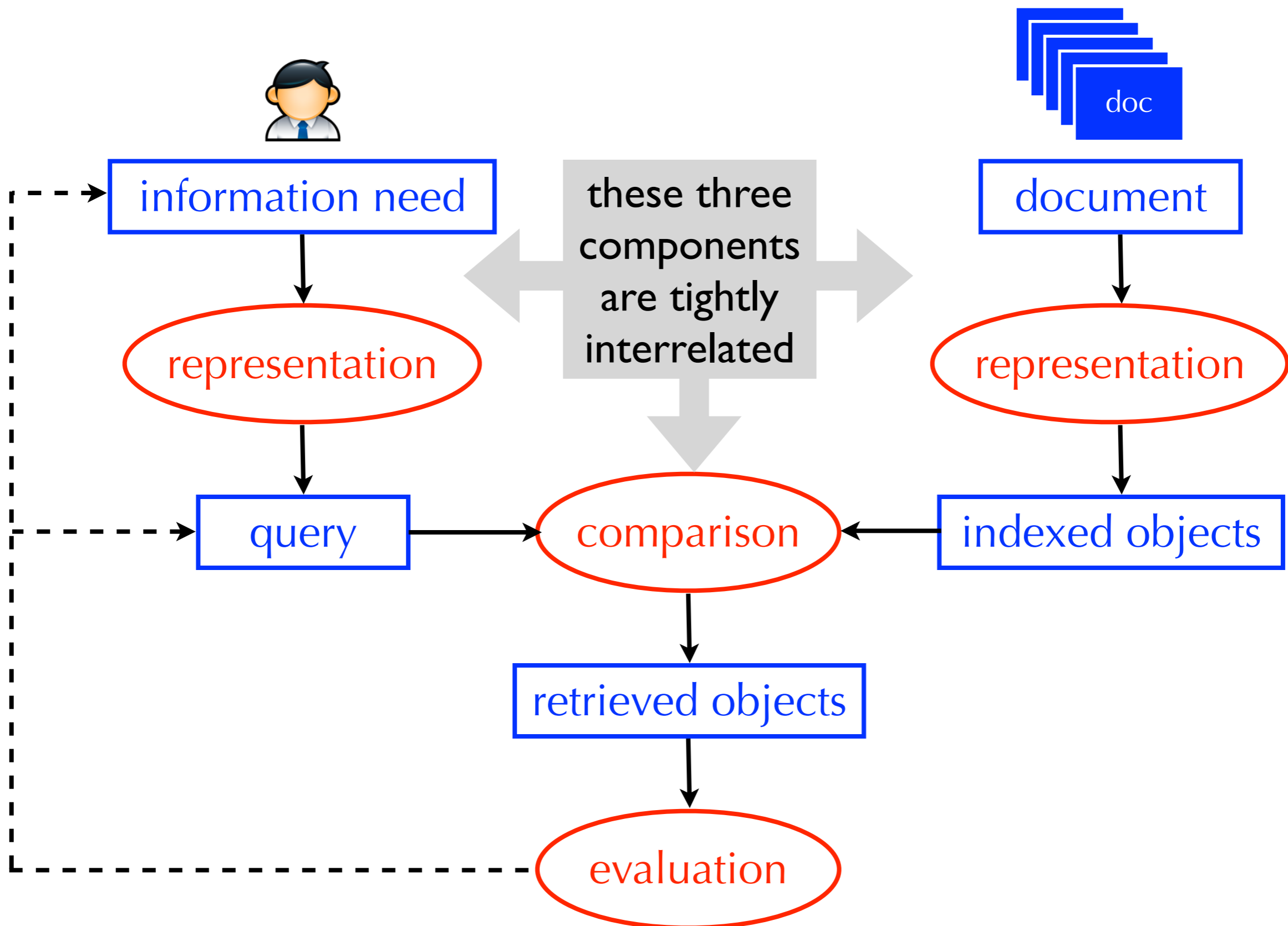
# Document Representation

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# Document Representation



# Document Representation

- How should this document be represented?



The image shows a screenshot of a Wikipedia article for Gerard Salton. The page layout includes a left sidebar with navigation links, a top navigation bar with tabs for 'Article' and 'Discussion', and a main content area with the article text. The article text discusses Salton's background, his work at Cornell University, and his contributions to information retrieval, specifically the Vector Space Model and TF-IDF.

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## Gerard Salton

From Wikipedia, the free encyclopedia

**Gerard Salton** (8 March 1927 in [Nuremberg](#) - 28 August 1995), also known as Gerry Salton, was a Professor of [Computer Science](#) at [Cornell University](#). Salton was perhaps the leading computer scientist working in the field of [information retrieval](#) during his time. His group at Cornell developed the [SMART Information Retrieval System](#), which he initiated when he was at Harvard.

Salton was born Gerhard Anton Sahlmann on March 8, 1927 in [Nuremberg, Germany](#). He received a Bachelor's (1950) and Master's (1952) degree in mathematics from [Brooklyn College](#), and a Ph.D. from [Harvard](#) in [Applied Mathematics](#) in 1958, the last of [Howard Aiken](#)'s doctoral students, and taught there until 1965, when he joined [Cornell University](#) and co-founded its department of Computer Science.

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Salton was editor-in-chief of the [Communications of the ACM](#) and the [Journal of the ACM](#), and chaired [SIGIR](#). He was an associate editor of the [ACM Transactions on Information Systems](#). He was an [ACM Fellow](#) (elected 1995), received an [Award of Merit](#) from the [American Society for Information Science](#) (1989), and was the first recipient of the [SIGIR Award](#) for outstanding contributions to study of information retrieval (1983) -- now called the [Gerard Salton Award](#).

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# Elements of a Document Representation

- Document attributes (metadata)
  - ▶ source, publication date, language, length, etc.
- Controlled vocabulary index terms
- Free-text index terms
  - ▶ terms selected from the document text itself
  - ▶ may also include text from outside the document (e.g., anchor text)
  - ▶ lots of room for creativity!

# Elements of a Document Representation



The screenshot shows the Wikipedia article for Gerard Salton. At the top left is the Wikipedia logo and the text "WIKIPEDIA The Free Encyclopedia". Below this is a navigation menu with links for "Main page", "Contents", "Featured content", "Current events", "Random article", and "Donate to Wikipedia". There are also sections for "Interaction" (Help, About Wikipedia, Community portal, Recent changes, Contact Wikipedia), "Toolbox", "Print/export", and "Languages" (Deutsch, Español, Bahasa Indonesia). The article title "Gerard Salton" is prominently displayed, followed by a sub-header "From Wikipedia, the free encyclopedia". The main text of the article begins with "Gerard Salton (8 March 1927 in Nuremberg - 28 August 1995), also known as Gerry Salton, was a Professor of Computer Science at Cornell University. Salton was perhaps the leading computer scientist working in the field of information retrieval during his time. His group at Cornell developed the SMART Information Retrieval System, which he initiated when he was at Harvard." The text continues with details about his birth, education, and career at Cornell University, including his work on the Vector Space Model and TF-IDF.

controlled-  
vocabulary index  
terms

Categories: 1927 births | 1995 deaths | American computer scientists | Computer pioneers | Harvard University alumni | Harvard University faculty | Cornell University faculty | Fellows of the Association for Computing Machinery | Guggenheim Fellows

# Elements of a Document Representation

anchor text  
(nearby terms?)



This screenshot shows the top portion of the Wikipedia article for Gerard Salton. It includes the Wikipedia logo, navigation links (Main page, Contents, etc.), and the start of the article text. The text begins with "Gerard Salton (8 March 1927 in Nuremberg - 28 August 1995), also known as Gerry Salton, was a Professor of Computer Science at Cornell University. Salton was perhaps the leading computer scientist working in the field of information retrieval during his time. His group at Cornell developed the SMART Information Retrieval System, which he initiated when he wa".



This screenshot shows the top portion of the Wikipedia article for Amit Singhal. It includes the Wikipedia logo, navigation links (Article, Discussion, View source, View history), and a search bar. The article text begins with "Amit Singhal is a software engineer at Google Inc., a Google Fellow, and the head of Google's core ranking team."<sup>[1]</sup>

## Amit Singhal

From Wikipedia, the free encyclopedia

Amit Singhal is a software engineer at Google Inc., a Google Fellow, and the head of Google's core ranking team.<sup>[1]</sup>

### Contents [hide]

- 1 Education
- 2 Career
- 3 References
- 4 External links

## Education

Born in Jhansi, a city in the state of Uttar Pradesh, India,<sup>[2]</sup> Amit received a Bachelor of Engineering degree in computer science from IIT Roorkee in 1989.<sup>[3]</sup> He continued his computer science education in the United States, and received an M.S. degree from University of Minnesota Duluth in 1991.<sup>[4]</sup> He writes about UMD:

"UMD was the turning point in my life. Studying Information Retrieval with Don Crouch and then Don recommending that I move to Cornell to study with Gerard Salton, is the main reason behind my success today. Don gave me the love for search, I have just followed my passion ever since."<sup>[4]</sup>

—Amit Singhal

Amit continued his studies at Cornell University in Ithaca, New York and received a Ph.D. degree in 1996.<sup>[4]</sup> At Cornell Amit studied with Gerard Salton, a pioneer in the field of information retrieval, the academic discipline which forms the foundation of modern search. John Battelle, in his book "The Search" calls Gerard Salton "the father of digital search."

# Text Processing

gerard salton 8 march 1978 in nuremberg 28 august 1995 also know as gerry salton was professor of computer science at cornell university salton was perhaps the leading computer scientist working in the field of information retrieval during his time his group at cornell developed the smart information retrieval system which he initiated when he was at harvard

- Our goal is to describe content using content
- After mark-up removal, down-casing, and tokenization, what we have is a sequence of terms
- What are the most descriptive words?



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# Term-Frequencies

## top 20

rank	term	freq.	rank	term	freq.
1	the	34	11	as	9
2	of	29	12	he	9
3	a	20	13	vector	8
4	in	20	14	an	8
5	and	19	15	s	7
6	salton	18	16	term	7
7	model	15	17	for	7
8	was	12	18	automatic	7
9	information	11	19	paper	6
10	retrieval	10	20	gerard	6





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5	and	19	15	s	7
6	salton	18	16	term	7
7	model	15	17	for	7
8	was	12	18	automatic	7
9	information	11	19	paper	6
10	retrieval	10	20	gerard	6

# Stopwords

- A stopwords is a term that is discarded from the document representation
- Stopwords are typically function words: determiners (a, the), prepositions (on, above), conjunctions (and, but)
- **Assumption:** stopwords are unimportant because they are frequent in every document

# Lemur Stopword List

first 60 (sorted alphabetically)

a	all	amongst	anywhere	become	besides
about	almost	an	apart	becomes	between
above	alone	and	are	becoming	beyond
according	along	another	around	been	both
across	already	any	as	before	but
after	also	anybody	at	beforehand	by
afterwards	although	anyhow	av	behind	can
again	always	anyone	be	being	can
against	am	anything	became	below	cannot
albeit	among	anyway	because	beside	canst



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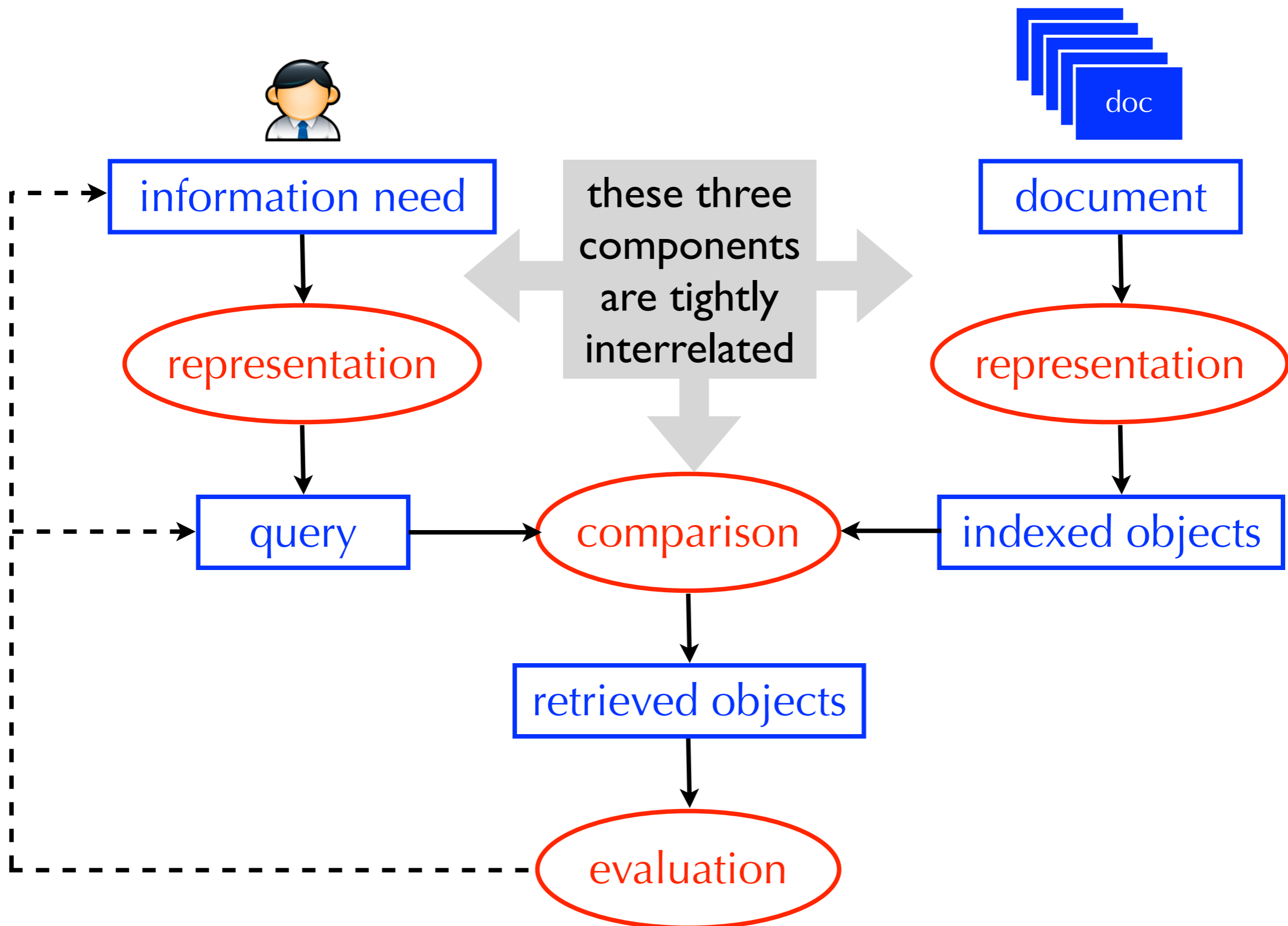
# Term-Frequencies after stopword removal

rank	term	freq.	rank	term	freq.
1	salton	18	11	paper	6
2	model	15	12	document	6
3	information	11	13	acm	6
4	retrieval	10	14	1975	4
5	vector	8	15	frequency	4
6	s	7	16	science	4
7	term	7	17	cornell	4
8	automatic	7	18	award	3
9	gerard	6	19	0	3
10	space	6	20	8	3

# Trends in Stopword Removal

- The earliest systems used stopword lists of 200-300 terms
- To improve efficiency and effectiveness
- Very frequent terms were problematic for early retrieval models (e.g, **OR** operations in ranked boolean)
- Web search engines generally do not remove stopwords
- The latest trend is to index stopwords and (possibly) ignore them at query-time if they seem unimportant
- Newer retrieval models are better at handling very frequent terms (later lecture)

# Document Representation



# AOL Query-Log Examples

## stopword removal

### wrong lyrics

am i wrong lyrics  
i was wrong lyrics  
wrong again lyrics  
where did i go wrong lyrics  
wrong lyrics  
got me wrong lyrics  
what went wrong lyrics

### buy house

who will buy my house  
buy a house  
buy my house  
buy house  
we buy house  
how to buy a house

### change

be the change you want in others  
how can i change me  
change  
where is my change  
i want my change  
never change

### calculate bmi

calculate bmi  
calculate my bmi  
how to calculate your bmi  
how to calculate bmi

# Morphological Analysis



# Morphology

- the study and description of word formation (as inflection, derivation, and compounding) in language

Merriam-Webster Dictionary

# Morphology

- **Inflectional morphology:** changes to a word that encode its grammatical role (e.g., tense, number, person)
  - ▶ say vs. said, cat vs. cats, see vs. sees
- **Derivational morphology:** changes to a word to make a new word with related meaning
  - ▶ organize, organization, organizational
- **Compounding:** combining words to form new ones
  - ▶ shipwreck, outbound, beefsteak
  - ▶ more common in other languages (e.g., german)
  - ▶ lebensversicherungsgesellschaftangestellter

# Morphological Analysis

## in information retrieval

- **Basic question:** words occur in different forms. Do we want to treat different forms as different index terms?
- **Conflation:** treating different (inflectional and derivational) variants as the same index term

# Morphological Analysis

## in information retrieval

- **Conflation:** treating different (inflectional and derivational) variants as the same index term

<i>image</i>	<i>images</i>	<i>imaging</i>	<i>imag*</i> (root form)
<i>df=6</i>	<i>df=4</i>	<i>df=3</i>	<i>df=6</i>
1, 4	1, 4	1, 4	1, 12
10, 1	10, 5	10, 5	10, 11
15, 2	16, 1	16, 1	15, 2
16, 1	68, 1		16, 3
33, 5			33, 5
68, 7			68, 8

docid , term frequency



# Morphological Analysis in information retrieval

repairing computer



## [Guide to Computer Troubleshooting and Repair - PC ...](#)

[www.daileyint.com/hmdpc/manual.htm](#) - Cached

PC's are actually much easier to **repair** these days than in the early 90's when I wrote my original guide for technicians I was training. The number of discrete ...

## [Online Computer Training Courses - For all beginners and experts ...](#)

[www.beyourownit.com/](#) - Cached

Want to learn about **computers**? You've found the right place! On this website, you'll be able to find everything from simple **computer repair** articles and **computer ..**

## [Repairing basic computer hard ware problem \(system disk failure ...](#)

[www.instructables.com/.../Repairing-basic-computer-hard-ware-and...](#) - Cached

May 10, 2008 – THIS GUIDE IS NOT YET FINISHED, I WILL ADD MORE INFORMATION WHEN I GET A CHANCE.If you need any help with **fixing** a **computer** ...

## [Computer-Repair Technicians](#)

[www.collegeboard.com](#) › ... › [Majors & Careers Central](#) › [Profiles](#) - Cached

Computer-repair technicians maintain and **repair computers** scanners, printers, monitors, and other computer equipment. Learn more about this career at ...

## [Computer repair NYC | Laptop repair ny | PC repair NY](#)

[ifixny.com/](#) - Cached

**Computer repair** ny. Data recovery nyc. We offers a full range of **computer fix** and technical support with free diagnostics and estimates, also iPhone BlackBerry ...

# Morphological Analysis

## in information retrieval

- The query “computer repairs” will match all combinations of:

computer  
computers  
computing  
computation  
computational  
::

and

repair  
repairs  
repaired  
repairing  
repairable  
::

# Morphological Analysis

## in information retrieval

- In English, conflating morphological variants is usually done using a stemmer
- **Stemming**: automatic suffix-stripping
- English word variations occur at the end of a word
- **root/stem** + **suffix**
  - ▶ **repair** + **s/ed/ing/able**
- A stemmer conflates different variations by reducing them to a common **root/stem**

# Morphological Analysis

## in information retrieval

- In some cases, whatever is left after suffix-stripping is not even a word (e.g., **comput**)
- Is this a problem?

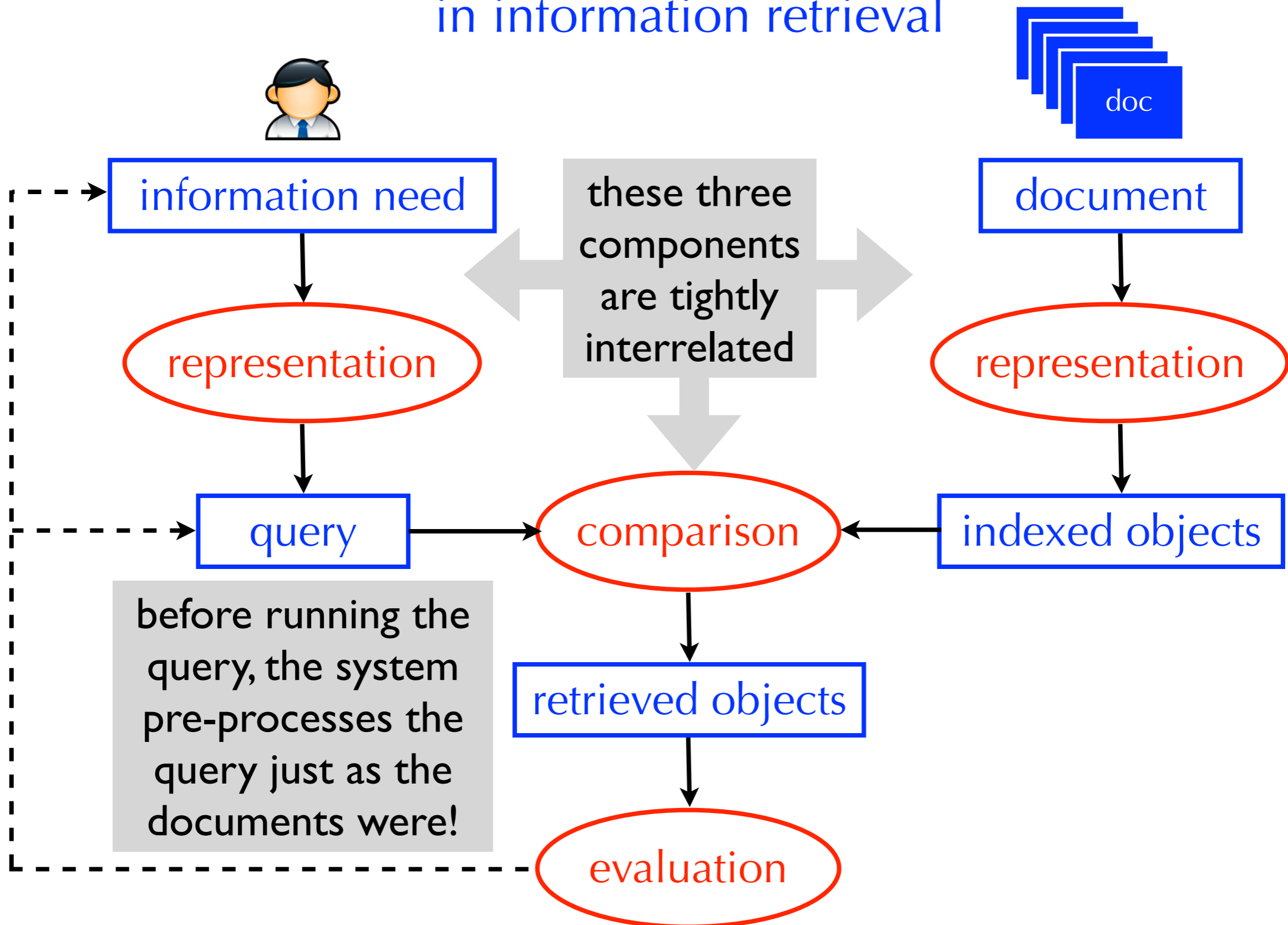
**computer**  
computers  
computing  
computation  
computational  
∴

**repair**  
repairs  
repaired  
repairing  
repairable  
∴



# Morphological Analysis

in information retrieval



# Morphological Analysis

## the porter stemmer (porter '80)

- A long list of rules that are applied in sequence
  - ▶ apply the rule that removes the longest suffix
  - ▶ check to see that the stem is likely to be a root (replac+ement vs. c+ement)
- Fast, effective, and, therefore, very popular

## Martin Porter's Home Page

No doubt you came here out of idle curiosity from the [Porter Stemming Algorithm](#) page. Before you hastily return, you are welcome to look at the following.

This (jerkily) spinning can is the work of [Philip Holmes Esquire](#), ingenious graphic designer and inventor of visual puns. I could never have thought up anything so clever. (Apologies to the Dr Pepper people!)



# Morphological Analysis

## the porter stemmer (porter '80)

- Example step (1 of 5)

### Step 1a:

- Replace *sses* by *ss* (e.g., stresses → stress).
- Delete *s* if the preceding word part contains a vowel not immediately before the *s* (e.g., gaps → gap but gas → gas).
- Replace *ied* or *ies* by *i* if preceded by more than one letter, otherwise by *ie* (e.g., ties → tie, cries → cri).
- If suffix is *us* or *ss* do nothing (e.g., stress → stress).

### Step 1b:

- Replace *eed*, *eedly* by *ee* if it is in the part of the word after the first non-vowel following a vowel (e.g., agreed → agree, feed → feed).
- Delete *ed*, *edly*, *ing*, *ingly* if the preceding word part contains a vowel, and then if the word ends in *at*, *bl*, or *iz* add *e* (e.g., fished → fish, pirating → pirate), or if the word ends with a double letter that is not *ll*, *ss* or *zz*, remove the last letter (e.g., falling → fall, dripping → drip), or if the word is short, add *e* (e.g., hoping → hope).
- Whew!

# Morphological Analysis

## the porter stemmer (porter '80)

- Original Text

gerard salton 8 march 1978 in nuremberg 28 august 1995 also know as gerry salton was professor of computer science at cornell university salton was perhaps the leading computer scientist working in the field of information retrieval during his time his group at cornell developed the smart information retrieval system which he initiated when he was at harvard

- Stemmed Text

gerard salton 8 march 1978 in nuremberg 28 august 1995 also know as gerri salton wa professor of comput scienc at cornel univers salton wa perhap the lead comput scientist work in the field of inform retriev dure hi time hi group at cornel develop the smart inform retriev system which he initi when he wa at harvard

# Morphological Analysis

## the porter stemmer (porter '80)

- **false positives:** two words conflated to the same root when they shouldn't have been

organization/organ  
generalization/generic  
numerical/numerous  
policy/police  
university/universe  
addition/additive  
negligible/negligent  
execute/executive  
past/paste  
ignore/ignorant  
special/specialized  
head/heading

# Morphological Analysis

## the porter stemmer (porter '80)

- **false negatives:** two words not conflated to the same root word when they should have been

european/europe

cylinder/cylindrical

matrices/matrix

urgency/urgent

create/creation

analysis/analyses

useful/usefully

noise/noisy

decompose/decomposition

sparse/sparsity

resolve/resolution

triangle/triangular

# AOL Query-log Examples

## stemmed queries

### russian translat

russian translations  
russian translator  
russian translation  
russian translate

### secret

secret  
secretions  
secrets  
secretion

### stock for sale

stockings for sale  
stocking for sale  
stocks for sale

### smokei mountain nation park

smokey mountains national park  
smokey mountain national park  
smokey mountains national parks

### cat fenc

cat fencing  
cat fences  
cat fence

### strawberri plant

strawberry planting  
strawberry plants  
strawberries planting

# AOL Query-log Examples

stopped + stemmed queries

## bui comput

buy a computer  
buying a computer  
we buy computers  
how to buy a computer  
buying computers

## rid raccoon

get rid of raccoons  
how to get rid of raccoons  
how to get rid of a raccoon  
what to use to get rid of raccoons  
how do i get rid of a raccoon

## auto repair

auto repairables  
how to auto repairs  
auto repair do it yourself  
do it yourself auto repair  
auto repair .com  
do it yourself auto repairs  
auto repair

## water diet

the water diet  
the all water diet  
water and diet  
water diet  
water diets



# AOL Query-log Examples

stopped + stemmed queries

## planet orbit sun

why is there only one planet in each orbit around the sun  
why do the planets orbit the sun  
planets that orbit the sun

## plant shade

plant shade  
plants for shade  
plants that do well in shade  
plants that like shade  
plants shade  
planting in the shade

## univers

universalism  
universism  
other universe  
university  
our universe  
across the universe  
the universe within  
universities

# Morphological Analysis

## evaluation results

- Stemming
  - ▶ English: 0-5% improvements
  - ▶ Finnish: 30% improvement
  - ▶ Spanish: 10% improvement
- Compound Splitting
  - ▶ German: 15% improvements
  - ▶ Swedish: 25% improvement

(Hollink *et al.*, 2004)

# Morphology Across Languages

## European Parliament Corpus

- Number of unique terms (remember, these are translations of the same text):
  - ▶ English: 150,725
  - ▶ Spanish: 213,486
  - ▶ Portuguese: 219,121
  - ▶ Danish: 367,282
  - ▶ Finnish: 709,049
  - ▶ German: 401,929

# To Stem or Not To Stem

users care more  
about recall

?

users care more  
about precision

?

# To Stem or Not To Stem

users care more  
about recall

Yes

users care more  
about precision

Maybe

# What about homonyms?

(words that are spelled the same, but have different meaning)

# Words often have multiple senses

- *bank* (noun)
  1. the rising ground bordering a lake, river, or sea
  2. a mound, pile, or ridge above the surrounding level
  3. a steep slope (as in “bank of a hill”)
  4. an establishment for the custody, loan, exchange, and issue of money
  5. a supply of something held in reserve
  6. the lateral inward tilt of a vehicle (as an airplane) when turning

(Merriam-Webster Dictionary)

# Word Sense Disambiguation

- Given a **word** in a particular **context**, automatically predict its correct **sense** from a finite set (bank 1-6)?

“I stopped by the **bank** to deposit some cash.”



An establishment for the custody, loan, and exchange of money

“I stopped by the food **bank** to donate some food.”



A supply of something held in reserve

- An active area of research since the 1950's
- How would you do this?



# Word Sense Disambiguation

- Predict the sense whose **definition** contains terms that co-occur often with those in the **surrounding context**

“I stopped by the **bank** to deposit some cash.”



An establishment for the custody, loan, and exchange of money

mutual information from IMDB corpus	money	raise	2.686
	debt	money	2.578
	dollars	money	2.567
	money	cash	2.546
	buy	money	2.471
	money	gambling	2.436
	money	pay	2.427
	money	bank	2.387
	insurance	money	2.117
	money	paid	2.018

# Word Sense Disambiguation

## in information retrieval

1. Expand the indexed vocabulary so that each **sense** of a word is a different index term
2. Automatically predict the correct sense for each word in the collection (e.g, **bank<sup>1</sup>**, **bank<sup>2</sup>**, ..., **bank<sup>6</sup>**)
  - ▶ lots of context (i.e., surrounding text)
3. Index the collection as usual
4. At query-time, predict the correct word sense in the query (e.g., “drive-through **bank<sup>4</sup>** carrboro”)
  - ▶ more difficult, not much context
5. Retrieve documents as usual

# Word Sense Disambiguation in information retrieval

- Does it improve (average) retrieval effectiveness?

# Word Sense Disambiguation in information retrieval

- Not much. Why not?

(Sanderson, 1996)

# Word Sense Disambiguation

## in information retrieval

- Not really a problem for long-queries (other query terms disambiguate the ambiguous ones)
- In theory, could improve performance for short queries
- However, these are precisely the queries for which disambiguation is the most difficult (not much context)

(Sanderson, 1996)

# Word Sense Disambiguation in information retrieval

- There is another reason. What is it?

# Word Sense Disambiguation

## in information retrieval

united bank	national bank south carolina
union bank california	national bank oneida
union bank	national bank omaha
tyra banks show	national bank marin
star bank	national bank alaska
republic bank	national bank
pnc bank	merchants bank
people bank	loans bank account
outer banks north carolina	hotels outer banks nc
outer banks nc	hotels outer banks
online banking bank america	guaranty bank
national bank texas	freedom bank
commerce bank	farmers merchants bank

# Word Sense Disambiguation in information retrieval

- Word senses also (more or less) follow Zipf's law: a few are very frequent and most a rare

united bank  
union bank california  
union bank  
tyra banks show  
star bank  
republic bank  
pnc bank  
people bank  
outer banks north carolina  
outer banks nc  
online banking bank america  
national bank texas  
commerce bank

national bank south carolina  
national bank oneida  
national bank omaha  
national bank marin  
national bank alaska  
national bank  
merchants bank  
loans bank account  
hotels outer banks nc  
hotels outer banks  
guaranty bank  
freedom bank  
farmers merchants bank



# Word Sense Disambiguation in information retrieval

<b>No. of senses</b>	<b>Size of set</b>	<b>Most common sense (%)</b>	
2	3145	92	{50}
3	1697	85	{33}
4	1046	79	{25}
5	640	72	{20}
6	448	68	{17}
7	275	63	{14}
8	200	60	{13}
9	141	60	{11}
10	93	53	{10}

**Table 10. Percentage of occurrences accounted for by the most common sense of a word. The figures in brackets (shown for comparison) is the percentage that would result if senses occurred in equal amounts. Measurements made on the SEMCOR corpus.**

(Sanderson, 1996)