

# INLS 509: Information Retrieval

## Homework 2

Due: Wednesday, February 28, 2024 (before class)

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### 1: Vector Space Model (40%)

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In the vector space model, the input query and the documents in the collection are represented as vectors in  $\mathcal{V}$ -dimensional space, where  $\mathcal{V}$  denotes the size of the indexed vocabulary (i.e., the number of unique terms in the collection). Given a query, documents are scored (and ranked) based on their vector-space similarity to the query. In class, we talked about two vector-space similarity measures: (1) the *inner product* and (2) the *cosine similarity*. The goal of this question is to understand their differences.

Suppose we have a collection of 8 documents (denoted as  $D_1 - D_8$  below). Answer the following questions. Assume a *binary* text representation—a vector's value for a particular dimension (i.e., a particular index term) equals 1 if the term appears *at least* once and 0 otherwise.

- $D_1$ : jack and jill went up the hill
- $D_2$ : to fetch a pail of water
- $D_3$ : jack fell down and broke his crown
- $D_4$ : and jill came tumbling after
- $D_5$ : up jack got and home did trot
- $D_6$ : as fast as he could caper
- $D_7$ : to old dame dob who patched his nob
- $D_8$ : with vinegar and brown paper

- (a) Given a query-vector  $q$  and a document-vector  $d$ , the *inner product* (i.e, the score given to document  $d$  for query  $q$ ) is given by,

$$\text{inner product}(q, d) = \sum_{i=1}^{\mathcal{V}} (q_i \times d_i)$$

Using the *inner product*, what is the score given to each document  $D_1 - D_8$  in response to the query “jack”?

- (b) Given a query-vector  $q$  and a document-vector  $d$ , the *cosine similarity* (i.e, the score given to document  $d$  for query  $q$ ) is given by,

$$\text{cosine similarity}(q, d) = \frac{\sum_{i=1}^{\mathcal{V}} (q_i \times d_i)}{\sqrt{\sum_{i=1}^{\mathcal{V}} q_i^2} \times \sqrt{\sum_{i=1}^{\mathcal{V}} d_i^2}}$$

Using the *cosine similarity*, what is the score given to each document  $D_1 - D_8$  in response to the query “jack”?

- (c) For this particular query, scoring documents  $D_1 - D_8$  using the inner-product and the cosine similarity would result in equal rankings (HINT: if they're not, you made a mistake). Why?

- (d) Give an example of a query for which scoring documents  $D_1 - D_8$  using the inner-product and the cosine similarity would result in *different* rankings. Explain your choice.
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## 2: Term Weighting (30%)

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The vector space model has the flexibility that it can accommodate different term-weighting schemes. Different term-weighting schemes make different assumptions about which terms are most important. Answer the following questions.

- (a) According to a binary weighting scheme (1 if the term occurs, 0 if it doesn't), which are the most descriptive terms within a document?
  - (b) According to the TF (term-frequency) weighting scheme, which are the most descriptive terms within a document?
  - (c) According to the IDF (inverse-document frequency) weighting scheme, which are the most descriptive terms within a document?
  - (d) According to the TF.IDF (term-frequency multiplied by inverse document frequency) weighting scheme, which are the most descriptive terms within a document?
  - (e) Compute the TF.IDF weights for all seven terms in  $D_1$ . Use  $D_1 - D_8$  to compute corpus statistics such as  $df_t$ . Do you notice anything strange? Why does this happen? Is it likely to happen in a more 'realistic' document collection?
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## 3: Document Representation (30%)

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Oftentimes, the documents we want to search have some amount of structure. Scholarly articles, for example, usually have a title, a set of authors, an abstract, a main body, a references section, and possibly an appendix. It turns out that weighting some parts of a document (e.g., the title) more heavily than other parts (e.g., the appendix) improves retrieval performance. The general idea is that a document with many of the query-terms appearing in the title should be scored and rank higher than a document with many of the query-terms appearing in the appendix—the title describes the main content of the document better than the appendix.

Suppose you have a collection of documents with two non-overlapping fields: a TITLE field and a BODY field. And, suppose you have access to an out-of-the-box search engine that performs vector space model retrieval using a binary text representation (1's and 0's) and inner-product scoring. Your goal is to design a solution that weights the TITLE field more than the BODY field. In other words, if you have a query with a single query term (e.g., "jack"), you want a document that has "jack" in the title (and nowhere else) to be scored and ranked higher than a document that has "jack" in the body (and nowhere else).

How would you do this? (HINT: there are many right answers. Be creative and have fun!).