### Introduction to Ad-hoc Retrieval

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### Ad-hoc Retrieval

- Text-based retrieval
- Given a query and a corpus, find the relevant items
  - query: textual description of information need
  - corpus: a collection of textual documents
  - relevance: satisfaction of the user's information need
- "Ad-hoc" because the number of possible queries is (in theory) infinite.



### Examples web search

#### evo screen capture

Q

How to screen capture on evo? - PPCGeeks forum.ppcgeeks.com > ... > Android HTC Devices > HTC Evo 4G - Cached Jul 6, 2010 – Is there any app for that ? Sent from my PC36100 using Tapatalk.

Is it possible to screen capture before rooting? - Jul 8, 2011 Print Screen / Screen capture - Sep 12, 2010 Print Screen / Screen capture - Page 2 - Jun 21, 2010

More results from forum.ppcgeeks.com »

How to take screenshots on the HTC EVO 4G - Know Your Cell www.knowyourcell.com/...evo.../evo.../how\_to\_take\_screenshots\_o... - Cached Apr 15, 2010 – On the HTC EVO 4G: HTC Desire screen shot. Press the Home icon, ... Click on the Device menu and select Screen Capture or use the CTRL-S key ...

#### HTC Evo 4G Apps

www.evo4gforum.net > HTC Evo Media and Miscellaneous - Cached

HTC Evo 4G Apps - Talk about HTC Evo 4G Apps here. ... Advanced search · Scratch-Proof your HTC Evo 4G · Best Screen Protector for HTC Evo 4G · Good Price on HTC Evo 4G ... Screen Capture (updated 9/27/10) « 1 2 . ...

Android Screenshots: No Root Required with EVO > AndroidGuys www.androidguys.com/2010/05/.../android-screenshots-root-require... - Cached May 24, 2010 – We tested this on a stock HTC EVO 4G distributed at Google I/O. Let us know in the comments if other screen capture apps work on your ...

How to take screenshots on the HTC EVO 4G www.goodandevo.net/.../how-to-take-screenshots-on-the-htc-evo-4... - Cached

May 24, 2010 – Evo-ss In general, there are two ways to take screenshots on an Android phone: 1) root it and install a screen capture app and 2) connect to ...

Screen Capture/Print Screen App for EVO 2.2 - Android Forums

androidforums.com > ... > HTC EVO 4G > EVO 4G - Tips and Tricks - Cached 3 posts - 3 authors - Last post: Aug 11, 2010

I've read several post on screen capture, most of which seem to be for advanced users and also risk bricking your phone. Is there a screen ...



### Examples scientific search

PubMed	¢	high fructose corn syrup and obesity	Search
		Metabolic and behavioural effects of sucrose and fructose/glucose drinks in the rat.	
	1.	Sheludiakova A, Rooney K, Boakes RA.	
		Eur J Nutr. 2011 Jul 29. [Epub ahead of print]	
		PMID: 21800086 [PubMed - as supplied by publisher]	
		Related citations	
		The impact of fructose on renal function and blood pressure.	
	2.	Kretowicz M, Johnson RJ, Ishimoto T, Nakagawa T, Manitius J.	
		Int J Nephrol. 2011;2011:315879. Epub 2011 Jul 17.	
		PMID: 21792388 [PubMed - in process] Free PMC Article	
		Free full text Related citations	
		The role of salt in the pathogenesis of fructose-induced hypertension.	
	3.	Soleimani M, Alborzi P.	
		Int J Nephrol. 2011;2011:392708. Epub 2011 Jul 18.	
		PMID: 21789281 [PubMed - in process] Free PMC Article	
		Free full text Related citations	
		Survey of American food trends and the growing obesity epidemic.	
	4.	Shao Q, Chin KV.	
		Nutr Res Pract. 2011 Jun;5(3):253-9. Epub 2011 Jun 21.	
		PMID: 21779530 [PubMed - in process] Free PMC Article	
		Free full text Related citations	
		Obesity and energy balance: is the tail wagging the dog?	
	5.	Wells JC, Siervo M.	
		Eur J Clin Nutr. 2011 Jul 20. doi: 10.1038/ejcn.2011.132. [Epub ahead of print]	
		PMID: 21772313 [PubMed - as supplied by publisher]	

Related citations



### Examples discussion forum search

Q thunderbird installation

Searc	Showing results 1 to 25 of 38 Search took 0.02 seconds.				
	Thread / Thread Starter	Last Post	Replies	Views	Forum
	Pre-Installed Mac Applications (1 1 2) 'r i S e n	Jul 18, 2011 02:21 AM by <u>RasmusM</u> 🔊	<u>34</u>	1,953	Mac Applications and Mac App Store
	Translucent mail notify BLOND37	Jun 12, 2011 11:45 PM by jive turkey 📡	<u>8</u>	277	Mac Applications and Mac App Store
	How do I move Thunderbird e-mail from PC to Mac donhnick	Oct 12, 2010 08:41 AM by <u>tommcdonald</u>	Z	35,011	Mac Applications and Mac App Store
	Re-installing 10.6 while preserving user data? Bunker	Feb 28, 2010 10:45 AM by <u>TonyK</u> 🔊	<u>5</u>	708	Mac OS X
	New to MAC - Dissappointed - text size (1 1 2 3 4 5 6 Last Page)	Jan 19, 2010 12:14 PM by Don Crosswhite 🔊	<u>157</u>	10,115	Mac Basics and Help
	Anyone have to "switch back" due to \$\$? (1 1 2 3) Schtibbie	Oct 20, 2009 09:30 PM by <u>Kat King123</u> 🔊	<u>52</u>	2,688	MacBook
	The Saga of Switching ready2switch	May 21, 2009 04:24 PM by <u>Chris.L</u> 🔊	4	493	Mac Basics and Help
	Apple Mail vs Entourage DJAKO	May 8, 2009 06:30 PM by <u>Benguitar</u> 🔊	<u>20</u>	16,768	Mac Applications and Mac App Store
	Teacher accuses student using linux of copyright infringement!       (1)         (1)       1       2       3)         LeoFio       (1)       (1)       (1)	Dec 15, 2008 10:14 AM by <u>dilbert4life</u> D	<u>56</u>	1,763	Community Discussion
	Timemachine Duplicates? MBX	Nov 27, 2008 09:16 AM by scuac 🔊	<u>18</u>	2,206	Mac OS X

### Ad-hoc Retrieval

- We will focus on non-web ad-hoc retrieval
  - more is known about how these systems work
  - more stable solutions not constantly tweaked
  - not heavily tuned using user-interaction data (e.g., clicks)
  - very common: digital libraries, government and corporate intranets, large information service providers (e.g., Thompson Reuters), social media, your own personal computers

### **Basic Information Retrieval Process**



# **Basic Information Retrieval Process**



### Next Two Lectures



### **Document Representation**



# Most Basic View of a Search Engine

- A search engines <u>does</u> <u>not</u> scan each document to see if it satisfies the query
- It uses an index to quickly locate the relevant documents
- Index: a list of concepts with pointers to documents (in this case, pages) that discuss them

 $L_2$  distance, 131  $\chi^2$  feature selection, 275  $\delta$  codes, 104  $\gamma$  encoding, 99 k nearest neighbor classification, 297 k-gram index, 54, 60 1/0 loss, 221 11-point interpolated average precision, 159 20 Newsgroups, 154

A/B test, 170 access control lists, 81 accumulator, 113, 125 accuracy, 155 active learning, 336 ad hoc retrieval, 5, 253 add-one smoothing, 260 adjacency table, 455 adversarial information retrieval, 429 Akaike Information Criterion, 367 algorithmic search, 430 anchor text, 425 any-of classification, 257, 306 authority score, 474 auxiliary index, 78 average-link clustering, 389

#### B-tree, **50** bag of words, **117**, 267 bag-of-words, 269 balanced F measure, **156** Bayes error rate, **300** Bayes Optimal Decision Rule, **222** Bayes risk, **222**

Bayes' Rule, 220 Bayesian networks, 234 Bayesian prior, 226 Bernoulli model, 263 best-merge persistence, 388 bias, **311** bias-variance tradeoff, 241, 312, 321 biclustering, 374 bigram language model, 240 Binary Independence Model, 222 binary tree, 50, 377 biword index, 39, 43 blind relevance feedback, see pseudo relevance feedback blocked sort-based indexing algorithm, 71 blocked storage, 92 blog, 195 BM25 weights, 232 boosting, 286 bottom-up clustering, see hierarchical agglomerative clustering bowtie, 426 break-even, 334 break-even point, 161 BSBI, 71 Buckshot algorithm, 399 buffer, 69

caching, 9, 68, 146, 447, 450 capture-recapture method, 435 cardinality in clustering, 355 CAS topics, 211 case-folding, 30

Index from Manning et al., 2008

# Most Basic View of a Search Engine



- So, what goes in the index is important!
- How might we combine concepts (e.g., patent search + A/B testing)?

## **Document Representation**

- Document representation: deciding what concepts should go in the index
- Option 1 (controlled vocabulary): a set a manually constructed concepts that describe the major topics covered in the collection
- Option 2 (free-text indexing): the set of individual terms that occur in the collection

### **Document Representation**

 If we view option 1 and option 2 as two extremes, where does this particular index fit in?  $L_2$  distance, 131  $\chi^2$  feature selection, 275  $\delta$  codes, 104  $\gamma$  encoding, 99 k nearest neighbor classification, 297 k-gram index, 54, 60 1/0 loss, 221 11-point interpolated average precision, 159 20 Newsgroups, 154

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Index from Manning et al., 2008

### Document Representation option 1: <u>controlled vocabulary</u>

- Controlled vocabulary: a set of well-defined concepts
- Assigned to documents by humans (or automatically)



### Document Representation option 1: <u>controlled vocabulary</u>



## **Controlled Vocabularies**

- May include (parent-child) relations b/w concepts
- Facilitates non-query-based browsing and exploration

dmoz open directory pro	oject <u>about dmoz</u>   <u>dmoz blog</u>   Searc <u>Business</u> Jobs, Real Estate, Investing Health	In partnership w Aol Searc suggest URL   help   link   editor lo h advanced Computers Internet, Software, Hardware Home	Open Directory Project (ODP)				
Video Games, RPGs, Gambling	Fitness, Medicine, Alternative	Family, Consumers, Coo Top:	Computers: Software: Information Retrieval (96)				
Kids and Teens Arts, School Time, Teen Life Reference Maps, Education, Libraries Shopping Clothing, Food, Gifts World Català, Dansk, Deutsch, Español,	News Media, Newspapers, Weather Regional US, Canada, UK, Europe Society People, Religion, Issues Français, Italiano, 日本語, Nederla	Recreation         Travel, Food, Outdoors,         Science         Biology, Psychology, Ph         Sports         Baseball, Soccer, Basket	Classification@ (16)       • Ranking (35)         Data Clustering@ (166)       • References (1)         Fulltext (32)       • Text Clustering@ (11)         GILS (1)       • Visual Information (6)         Internet Search Engines@ (314)       • Web Clustering (6)				
		ISO:					
Copyright @ 2011 Netscape	argest human-edited directory of th	ne web	Computers: Software: File Management: Search (37)				
4,916,463 site	es - 91,672 editors - over 1,007,	856 categories	<u>Computers: Software: Internet: Servers: Search (41)</u> <u>Reference: Knowledge Management: Knowledge Retrieval (40)</u> Reference: Libraries: Library and Information Science: Softwa	re (03)			

- MeSH: Medical Subject Headings
- Created by the National Library of Medicine to index biomedical journals and books
- About 25,000 subject headings arranged in a hierarchy
- Used to search PubMed



- 2. 🛨 Organisms [B]
- Diseases [C]
- 4. 
   Chemicals and Drugs [D]
- 5. 
   Analytical, Diagnostic and Therapeutic Techniques and Equipment [E]
- 6. 
   Psychiatry and Psychology [F]
- 7. 
  + Phenomena and Processes [G]
- 8. 
   Disciplines and Occupations [H]
- 9. 
   Anthropology, Education, Sociology and Social Phenomena [I]
- 10. Technology, Industry, Agriculture [J]
- 11. 🛨 Humanities [K]
- 12. 
  Information Science [L]
- 13. 
   Named Groups [M]
- 14. 🛨 Health Care [N]
- 15. 
  Publication Characteristics [V]
- 16. 
   Geographicals [Z]

MeSH Eukaryota Heading 1. 
Anatomy [A] Tree **B01** Number Eukaryota [B01] do not confuse with EUKARYOTIC CELLS; specific algae and protozoa are located Archaea |B02| + Annotation Bacteria [B03] + under various groups treed under Eukaryota Viruses [B04] + One of the three domains of life (the others being **BACTERIA** and **ARCHAEA**), Organism Forms [B0] also called Eukarya. These are organisms whose cells are enclosed in membranes 3. 
 Diseases [C] and possess a nucleus. They comprise almost all multicellular and many unicellular 4. 🛨 Chemicals and Drugs [D] Scope Note organisms, and are traditionally divided into groups (sometimes called kingdoms) 5. 
 Analytical, Diagnostic an including ANIMALS; PLANTS; FUNGI; and various algae and other taxa that were 6. 
 Psychiatry and Psycholog previously part of the old kingdom Protista. 7. 

Phenomena and Processe Entry Term Eucarya 8. 
 Disciplines and Occupati Entry Term Eukarya 9. 
 Anthropology, Education 10. 🛨 Technology, Industry, Ag Entry Term Eukaryotes 11. Humanities [K] Allowable CH CL CY DE EN GD GE IM IP ME PH PY RE UL VI 12. 
Information Science [L] Oualifiers 13. 
 Named Groups [M] Previous 14. 
Health Care [N] Eukaryotic Cells (1986-2009) Indexing 15. 

Publication Characterist History 16. **±** Geographicals [Z] 2010 Note Date of 20090706 Entry Unique ID D056890

SNCBI Resources 🖂 How To 🖂			
MeSH MeSH	ight therapy	Searc	h
Phototherapy Treatment of disease by exposure to light Year introduced: 1981	especially by variously concentrated light rays or spe	cific wavelengths.	
Subheadings:		sub-headings	
<ul> <li>adverse effects</li> <li>classification</li> <li>contraindications</li> <li>economics</li> <li>history</li> </ul>	<ul> <li>instrumentation</li> <li>methods</li> <li>nursing</li> <li>psychology</li> <li>standards</li> </ul>	<ul> <li>statistics and numerical data</li> <li>supply and distribution</li> <li>trends</li> <li>utilization</li> <li>veterinary</li> </ul>	
All MeSH Categories Analytical, Diagnostic and Therap Therapeutics Phototherapy Color Ther Heliothera Laser The Photocher He Ultraviolet	eutic Techniques and Equipment Category apy 2y apy, Low-Level totherapy matoporphyrin Photoradiation Therapy VA Therapy +	Entry Terms: Phototherapies Therapy, Photoradiation Photoradiation Therapies Therapies, Photoradiation <b>Light Therapy</b> Light Therapies Therapies, Light Photoradiation Therapy	
sub-tree with	nin the hierarchy	entry-terms	

S	NCBI Resources 🖂	How To 💌										
P	ublaced.gov National Library of Medicine	PubMed	photot	nerapy/adve	erse eff	fects					0	Search
Re	esults: 1 to 20 of 2	2697			Linito	, la vanood		<< First	< Prev	Page 1	of 135	Next > La
1.	Burning daylight: ba Stalgis-Bilinski KL, E Med J Aust. 2011 Apr 4 PMID: 21470084 [PubM Related citations	alancing vitamir Boyages J, Salis ;194(7):345-8. /led - indexed for N	D requirements bury EL, Duns MEDLINE]	nts with sensi stan CR, Hend	ble sun derson \$	exposure. SI, Talbot PL.						
2.	Time-lag between s Chae JB, Lee JY, Ya Korean J Ophthalmol. 2 PMID: 21461221 [Publy Free full text Related	ang SJ, Kim JG, 2011 Apr;25(2):98- Med - indexed for M d citations	And pigment e Yoon YH. 104. Epub 2011 I MEDLINE] Fre	oithelial detac Mar 11. e PMC Article	hment	reduction afte	r polypoidal o	choroidal v	vasculo	pathy treat	ment	<u>t.</u>
3.	Metal stenting to res Cheon YK. World J Gastroenterol. 2 PMID: 21455341 [Publy Free full text Related	solve post-phot 2011 Mar 14;17(10 Med - indexed for M I citations	odynamic ther 0):1379-82. /IEDLINE] Fre	rapy stricture	in early	v esophageal o	cancer.					
4.	A study of multiple f Goldberg DJ, Hussa J Cosmet Laser Ther. 2 PMID: 21401375 [PubM Related citations	full-face treatme ain M. 2011 Apr;13(2):42-0 Med - indexed for M	ents with low-e 6. /IEDLINE]	energy setting	is of a 2	2940-nm Er:Y/	AG fractionate	ed laser.				

#### Burning daylight: balancing vitamin D requirements with sensible sun exposure.

Stalgis-Bilinski KL, Boyages J, Salisbury EL, Dunstan CR, Henderson SI, Talbot PL. Westmead Breast Cancer Institute, University of Sydney, Sydney, NSW, Australia. Kellie.Bilinski@bci.org.au

#### Abstract

OBJECTIVE: To examine the feasibility of balancing sunlight exposure to meet vitamin D requirements with sun protection guidelines.

DESIGN AND SETTING: We used standard erythemal dose and Ultraviolet Index (UVI) data for 1 June 1996 to 30 December 2005 for seven Australian cities to estimate duration of sun exposure required for fair-skinned individuals to synthesise 1000 IU (25  $\mu$ g) of vitamin D, with 11% and 17% body exposure, for each season and hour of the day. Periods were classified according to whether the UVI was < 3 or ≥ 3 (when sun protection measures are recommended), and whether required duration of exposure was ≤ 30 min, 31-60 min, or > 60 min.

MAIN OUTCOME MEASURE: Duration of sunlight exposure required to achieve 1000 IU of vitamin D synthesis.

RESULTS: Duration of sunlight exposure required to synthesise 1000 IU of vitamin D varied by time of day, season and city. Although peak UVI periods are typically promoted as between 10 am and 3 pm, UVI was often ≥ 3 before 10 am or after 3 pm. When the UVI was < 3, there were few opportunities to synthesise 1000 IU of vitamin D within 30 min, with either 11% or 17% body exposure.

CONCLUSION: There is a delicate line between balancing the beneficial effects of sunlight exposure while avoiding its damaging effects. Physiological and geographical factors may reduce vitamin D synthesis, and supplementation may be necessary to achieve adequate vitamin D status for individuals at risk of deficiency.

#### MeSH Terms <u>Australia</u> <u>Dose-Response Relationship, Radiation</u> <u>Guideline Adherence</u> <u>Health Policy\*</u> <u>Heliotherapy/adverse effects</u> <u>Heliotherapy/methods\*</u> <u>Humans</u> <u>Seasons</u> <u>Skin Pigmentation</u> <u>Sunlight/adverse effects\*</u> <u>Time Factors</u> <u>Vitamin D/biosynthesis\*</u> <u>Vitamin D Deficiency/prevention & control\*</u>

### Controlled Vocabularies advantages

- Concepts do not need to appear explicitly in the text
- Relationships between concepts facilitate non-querybased navigation and exploration (e.g., ODP)
- Developed by experts who know the data and the users
- Represent the concepts/relationships that users (presumably) care the most about
- Describe the concepts that are most central to the document
- Concepts are unambiguous and recognizable (necessary for annotators and good for users)

### Document Representation option 2: free-text indexing

- Represent documents using terms <u>within</u> the document
- Which terms? Only the most descriptive terms? Only the unambiguous ones? All of them?
- Usually, all of them (a.k.a. <u>full-text</u> indexing)
- The user will use term-combinations to express higher level concepts
- Query terms will hopefully disambiguate each other (e.g., "volkswagen golf")
- The search engine will determine which terms are important (we'll talk about this during "retrieval models")

## Free-text Indexing



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### **Gerard Salton**

From Wikipedia, the free encyclopedia

Gerard Salton (8 March 1927 in Nuremberg - 28 August 1995), also known as Gerry Salton, was a Professor of Computer Science at Cornell University. Salton was perhaps the leading computer scientist working in the field of information retrieval during his time. His group at Cornell developed the SMART Information Retrieval System, which he initiated when he was at Harvard.

Salton was born Gerhard Anton Sahlmann on March 8, 1927 in Nuremberg, Germany. He received a Bachelor's (1950) and Master's (1952) degree in mathematics from Brooklyn College, and a Ph.D. from Harvard in Applied Mathematics in 1958, the last of Howard Aiken's doctoral students, and taught there until 1965, when he joined Cornell University and co-founded its department of Computer Science.

Salton was perhaps most well known for developing the now widely used Vector Space Model for Information Retrieval<sup>[1]</sup>. In this model, both documents and queries are represented as vectors of term counts, and the similarity between a document and a query is given by the cosine between the term vector and the document vector. In this paper, he also introduced TF-IDF, or term-frequency-inverse-document frequency, a model in which the score of a term in the a document is the ratio of the number of terms in that document divided by the frequency of the number of documents in which that term occurs. (The concept of inverse document frequency, a measure of specificity, had been introduced in 1972 by Karen Sparck-Jones<sup>[2]</sup>.) Later in life, he became interested in automatic text summarization and analysis<sup>[3]</sup>, as well as automatic hypertext generation<sup>[4]</sup>. He published over 150 research articles and 5 books during his life.

Salton was editor-in-chief of the Communications of the ACM and the Journal of the ACM, and chaired SIGIR. He was an associate editor of the ACM Transactions on Information Systems. He was an ACM Fellow (elected 1995), received an Award of Merit from the American Society for Information Science (1989), and was the first recipient of the SIGIR Award for outstanding contributions to study of information retrieval (1983) -- now called the Gerard Salton Award.

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### Free-text Indexing mark-up vs. content

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### Free-text Indexing mark-up

- Describes how the content should be presented
  - e.g., your browser interprets HTML mark-up and presents the page as intended by the author
- Can also define relationships with other documents (e.g., hyperlinks)
- Can provide evidence of what text is important for search
- It may also provide useful, "unseen" information!

### Free-text Indexing mark-up



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Discussion

Article

Gerard Salton (8 March 1927 in Nuremberg - 28 August 1995), also known as Gerry Salton, was a Professor of Computer Science at Cornell University. Salton was perhaps the leading computer scientist working in the field of information retrieval during his time. His group at Cornell developed the SMART Information Retrieval System, which he initiated when he was at Harvard.

Salton was born Gerhard Anton Sahlmann on March 8, 1927 in Nuremberg, Germany. He received a Bachelor's (1950) and Master's (1952) degree in mathematics from Brooklyn College, and a Ph.D. from Harvard in Applied Mathematics in 1958, the last of Howard Aiken's doctoral students, and taught there until 1965, when he joined Cornell University and co-founded its department of Computer Science.

Salton was perhaps most well known for developing the now widely used Vector Space Model for Information Retrieval<sup>[1]</sup>. In this model, both documents and gueries are represented as vectors of term counts, and the similarity between a document and a query is given by the cosine between the term vector and the document vector. In this paper, he also introduced TF-IDF, or term-frequency-inverse-document frequency, a model in which the score of a term in the a

### <a href="/wiki/Association\_for\_Computing\_Machinery" >ACM</a>

 Languages Deutsch Español Bahasa Indonesia well as automatic hypertext generation ... He published over 150 research articles and 5 books during his life.

Salton was editor-in-chief of the Communications of the ACM and the Journal of the ACM, and chaired SIGIR. He was an associate editor of the ACM Transactions on Information Systems. He was an ACM Fellow (elected 1995), received an Award of Merit from the American Society for Information Science (1989), and was the first recipient of the SIGIR Award for outstanding contributions to study of information retrieval (1983) -- now called the Gerard Salton Award.

<b>Gerard Salton</b> (8 March 1927 in <a href="/wiki/Nuremberg" title="Nuremberg">Nuremberg</a> - 28 August 1995), also known as Gerry Salton, was a Professor of <a href="/wiki/Computer\_Science" title="Computer Science" class="mw-redirect">Computer Science</a> at <a href="/wiki/Cornell\_University" title="Cornell University">Computer Science</a>. Salton was perhaps the leading computer scientist working in the field of <a href="/wiki/Information\_retrieval" title="Information retrieval">information retrieval</a>. Salton was perhaps the leading computer scientist working in the field of <a href="/wiki/Information\_retrieval" title="Information retrieval">information retrieval</a>. Salton was perhaps the leading computer scientist working in the field of <a href="/wiki/Information\_retrieval" title="Information retrieval">information retrieval</a>. Salton was perhaps the leading computer scientist working in the field of <a href="/wiki/Information\_retrieval" title="Information retrieval">information retrieval</a>. Salton was perhaps the leading computer scientist working in the field of <a href="/wiki/Information\_retrieval" title="Information retrieval">Information retrieval</a>. Salton was perhaps the leading computer scientist working in the field of <a href="/wiki/Information\_retrieval" title="Information retrieval">Information retrieval</a>. Salton was perhaps the leading computer scientist working in the field of <a href="/wiki/SMART\_Information\_retrieval">Information\_retrieval</a> a during his time. His group at Cornell developed the <a href="/wiki/SMART\_Information\_Retrieval\_System"</a> title="SMART Information Retrieval System">SMART Information Retrieval System</a> a>, which he initiated when he was at Harvard.

• Step 1: mark-up removal

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### Step 1: mark-up removal

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- Step 2: down-casing
- Can change a word's meaning, but we do it anyway
  - Information = information ???
  - SMART = smart ???

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- Step 3: tokenization
- Tokenization: splitting text into words (in this case, based on sequences of non-alphanumeric characters)
- Problematic cases: ph.d. = ph d, isn't = isn t

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- Step 4: stopword removal
- Stopwords: words that we choose to ignore because we expect them to <u>not</u> be useful in distinguishing between relevant/non-relevant documents for <u>any</u> query
## Free-text Indexing text-processing

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## Free-text Indexing text-processing

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• Step 5: do this to every document in the collection and create an index using the all terms appearing in the collection

### Document Representation controlled vocabulary vs. free-text indexing

	Cost of assigning index terms	Ambiguity of index terms	Detail of representation
Controlled Vocabularies	High/Low?	Ambiguous/ Unambiguous?	Can represent arbitrary level of detail?
Free-text Indexing	High/Low?	Ambiguous/ Unambiguous?	Can represent arbitrary level of detail?

## Document Representation controlled vocabulary vs. free-text indexing

	Cost of assigning index terms	Ambiguity of index terms	Detail of representation
Controlled Vocabularies	High	Not ambiguous	Can't represent arbitrary detail
Free-text Indexing	Low	Can be ambiguous	Any level of detail

- Both are effective and used often
- We will focus on free-text indexing in this course
  - cheap and easy
  - most search engines use it (even those that adopt a controlled vocabulary)

## **Document Representation**



## Information Need Representation



- Assumption: the user can represent their information need using boolean constraints: AND, OR, and AND NOT
  - lincoln
  - president AND lincoln
  - president AND (lincoln OR abraham)
  - president AND (lincoln OR abraham) AND NOT car
  - president AND (lincoln OR abraham) AND NOT (car OR automobile)
- Parentheses specify the order of operations
  - A OR (B AND C) does not equal (A OR B) AND C

• X AND Y



• X OR Y



• X AND NOT Y



## Boolean Retrieval advantages

- Easy for the system (no ambiguity in the query)
  - the burden is on the user to formulate the right query
- The user gets transparency and control
  - lots of results  $\rightarrow$  the query is too broad
  - no results  $\rightarrow$  the query is too narrow
- Common strategy for finding the right balance:
  - If the query is too broad, add AND or AND NOT constraints
  - if the query is too narrow, add OR constraints

## Information Need Representation



## Evaluation



- Assumption: the user wants to find <u>all</u> the relevant documents and <u>only</u> the relevant documents
- If the query is too <u>specific</u>, it may retrieve relevant documents, but not enough



- Assumption: the user wants to find <u>all</u> the relevant documents and <u>only</u> the relevant documents
- If the query is too <u>broad</u>, it may retrieve many relevant documents, but also many non-relevant ones



- Assumption: the user wants to find <u>all</u> the relevant documents and <u>only</u> the relevant documents
- Precision: the percentage of retrieved documents that are relevant
- Recall: the percentage of relevant documents that are retrieved
- The goal of the user is to find the right balance between precision and recall
- These are important evaluation measures that we will see over and over again

• Precision =  $\frac{|B|}{|C|}$ B = intersection of A and C



• Recall =  $\frac{|B|}{|A|}$ B = intersection of A and C



- If the query is too specific, precision may be high, but recall will probably be low
- If the query is too broad, recall may be high, but precision will probably be low
- Extreme cases:
  - a query that retrieves a single <u>relevant</u> document will have perfect precision, but low recall (unless only that one document is relevant)
  - a query that retrieves the entire collection will have perfect recall, but low precision (unless the entire collection is relevant)

## Performing Retrieval



## Most Basic View of a Search Engine

- A search engines <u>does</u> <u>not</u> scan each document to see if it satisfies the query
- That may be effective, but <u>not</u> efficient
- It uses an index to quickly locate the relevant documents
- Index: a list of concepts and pointers to documents that discuss them

 $L_2$  distance, 131  $\chi^2$  feature selection, 275  $\delta$  codes, 104  $\gamma$  encoding, 99 k nearest neighbor classification, 297 k-gram index, 54, 60 1/0 loss, 221 11-point interpolated average precision, 159 20 Newsgroups, 154

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Index from Manning et al., 2008

## Inverted Index Full-text Representation

а	aardvark	abacus	abba	able	•••	zoom
df=3421	df=22	df=19	df=2	df=44		df=1
	33	2	33	66		54
33	56	10	150	134		
45	86	15		176		
::	::	••				
1022	1011	231		432		

- Variable-length inverted lists
- Each document has a unique identifier (docid)
- Why are the inverted lists sorted by docid?
- Why do we store the *df*'s in the index?

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=1
		I
3	3	
5	4	
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=2
I		I
3	3	3
5	4	
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=2
I	I	I
3	3	3
5	4	
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=3
I		I
3	3	3
5	4	5
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and		
df=3	df=5	count=3		
I	I	I		
3	3	3		
5	4	5		
	5			
	8			
stop!				

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=3
Ι	I	I
3	3	3
5	4	5
	5	
	8	
	10	
	35	

If the inverted list for "and" was longer, would it make sense to continue? Why or why not? 64

Query: Jack AND and



If the inverted list for "and" was longer, would it make sense to continue? Why or why not? 65

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
I	Ι	I
3	3	3
5	4	4
	5	5
	8	8

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
3	3	
5	4	
	5	
	8	

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
3	3	3
5	4	
	5	
	8	

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
l	I	I
3	3	3
5	4	4
	5	
	8	

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
I	I	I
3	3	3
5	4	4
	5	5
	8	

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and		
df=3	df=5	count=5		
I	Ι	I		
3	3	3		
5	4	4		
		5		
	8	8		
stop!				

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. **Repeat until end of <u>both</u>** *lists*
- Which is more expensive (on average) AND or OR?

Jack	and	Jack <mark>OR</mark> and
df=3	df=5	count=5
Ι		
3	3	3
5	4	4
	5	5
	8	8
## Merging (Variable-Length) Inverted Lists

- In some cases, the search engine has a choice in the order of operations
- Query: Abraham AND Lincoln AND President
  - option 1: (Abraham AND Lincoln) AND President
  - option 2: Abraham AND (Lincoln AND President)
  - option 3: (Abraham AND President) AND Lincoln
- Which is <u>probably</u> the least effective order of operations?

# Merging (Variable-Length) Inverted Lists

• Which is <u>probably</u> the most effective order of operations?

president	abraham	lincoln
df=302	df=45	df=5
XX	XX	XX
••	••	
XX	XX	

- Retrieves the set of documents that match the boolean query (an "exact-match" retrieval model)
- Returns results in no particular order (ordered by date?)
- This is problematic with <u>large</u> collections
  - requires complex queries to reduce the result set to a manageable size
- Can we do better?

University	North	Carolina	UNC
df=6	df=4	df=3	df=5
1,4	1,4	<b>I</b> , <b>4</b>	1,4
10, 1	10, 5	10, 5	10, 1
15,2	16, 1	<b> 6,  </b>	<b>16, 4</b>
<b> 6,  </b>	68, I		<b>33, 2</b>
<b>33, 5</b>			<b>56, 10</b>
67, 7			

- *docid* = *document identifier*
- tf = term frequency (# of times the term appears in the document)

- At each step, keep a list of documents that match the query and their scores (a.k.a. a "priority queue")
- Score computation:
  - A AND B: adjust the document score based on the minimum frequency/score associated with expression A and expression B
  - A OR B: adjust the document score based on the sum of frequencies/scores associated with expression A and expression B

• Query: (University AND North AND Carolina) OR UNC

University	North	Carolina	UNC
df=6	df=4	df=3	df=5
I, 4	I, 4	I, 4	I, 4
10, 1	10, 5	10, 5	10, 1
15,2	16, 1	16, 1	16,4
16, 1	68, I		33, 2
33, 5			56, 10
68, 7			

AND → min

• OR  $\rightarrow$  sum

• Query: (University AND North AND Carolina) OR UNC

University	North	Carolina	Result_1
df=6	df=4	df=3	count=??
I, 4	I, 4	1,4	
10, 1	10, 5	10, 5	
15,2	16, 1	<b>16, 1</b>	
16, 1	68, I		
33, 5			
68, 7			

• AND  $\rightarrow$  min

• OR  $\rightarrow$  sum

• Query: (University AND North AND Carolina) OR UNC

University	North	Carolina	Result_I
df=6	df=4	df=3	count=3
I, 4	1,4	1,4	I, 4
10, 1	10, 5	10, 5	10, 1
15,2	16, 1	16, 1	16, 1
16, 1	68, I		
33, 5			
68, 7			

AND → min

• OR  $\rightarrow$  sum

Query: (University AND North AND Carolina) OR UNC

Result_I	UNC	Query
count=3	df=5	count=??
1,4	1,4	
10, 1	10, 1	
16, 1	16,4	
	33, 2	
	56, 10	

- AND → min
- OR  $\rightarrow$  sum

Query: (University AND North AND Carolina) OR UNC

Result_1	UNC	Query
count=3	df=5	count=5
1,4	1,4	I, <mark>8</mark>
10, 1	10, 1	10, 2
16, 1	16, 4	16, <mark>5</mark>
	33, 2	33, <mark>2</mark>
	56, 10	56, 10

- AND → min
- OR  $\rightarrow$  sum

• Query: (University AND North AND Carolina) OR UNC

University	North	Carolina	UNC	Query
df=6	df=4	df=3	df=5	count=5
I, 4	1,4	<b>I</b> ,4	1,4	<b>I</b> , <b>8</b>
10, 1	10, 5	10, 5	10, 1	10, 2
15,2	16, 1	<b>16</b> , 1	16, 4	16, <mark>5</mark>
16, 1	68, I		33, 2	33, <mark>2</mark>
33, 5			56, 10	56, 10
68, 7				

• Conceptually, what do these document scores indicate?

• Query: (University AND North AND Carolina) OR UNC

University	North	Carolina	UNC	Query
df=6	df=4	df=3	df=5	count=5
I, 4	1,4	<b>I</b> ,4	1,4	<b>I</b> , <b>8</b>
10, 1	10, 5	10, 5	10, 1	10, 2
15,2	16, 1	16, 1	16, 4	16, <mark>5</mark>
16, 1	68, I		33, 2	33, <mark>2</mark>
33, 5			56, 10	56, 10
68, 7				

 The scores correspond to the number of ways in which the document <u>redundantly</u> satisfies the query

- Advantages:
  - same as unranked boolean: efficient, predictable, easy to understand, works well when the user knows what to look for
  - the user may be able to find relevant documents quicker and may not need to examine the entire result set
- Disadvantages:
  - same as unranked boolean: works well when the user knows what to look for
  - difficult to balance precision and recall

#### Summary





## Take Home Message

- Congratulations! Now, you know how a boolean search engine works
- How are indexes structured?
- How are boolean queries processed quickly?
- What are some time-saving hacks?
- How are boolean retrieval sets evaluated?
- How can we prioritize documents based on how much they satisfy the boolean constraints?