## Vector Space Model

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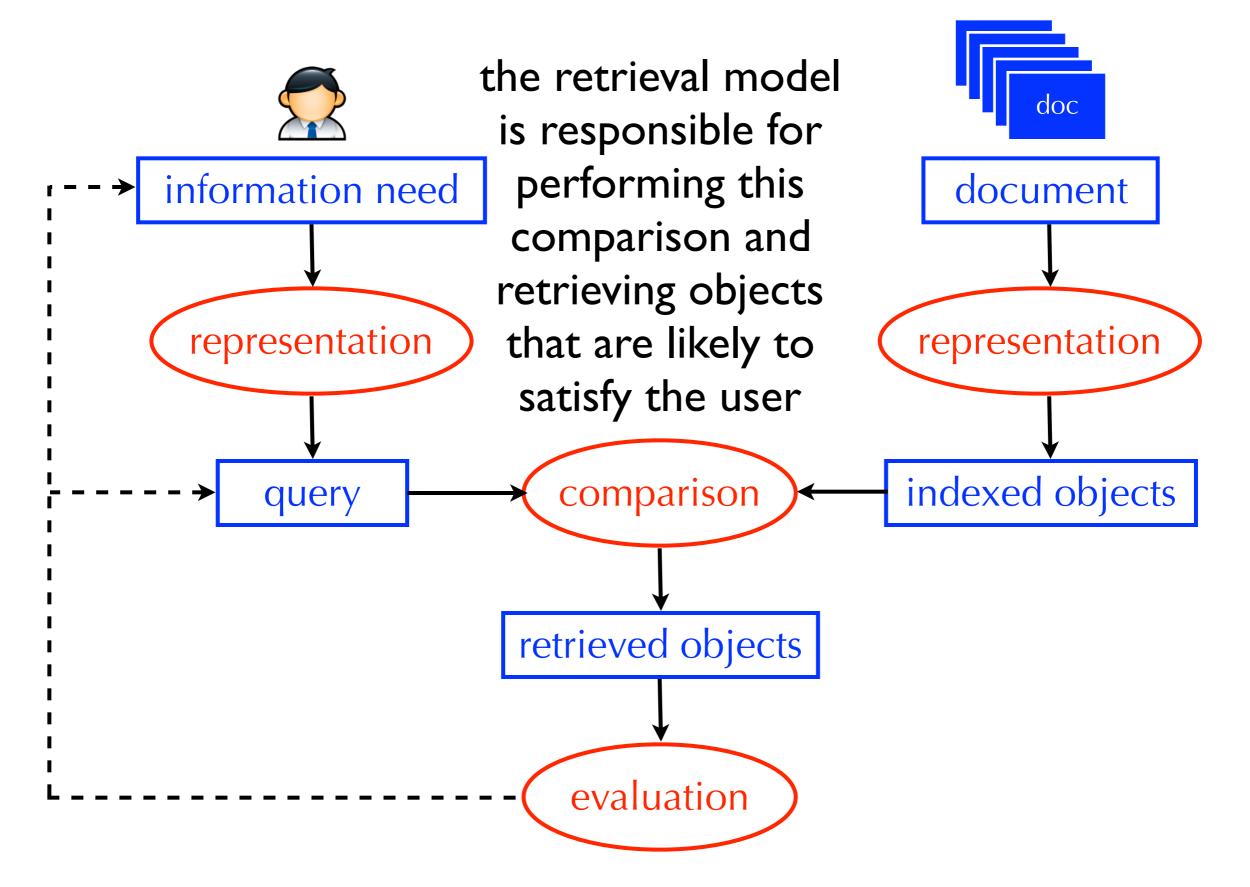
## The Search Task

Given a query and a corpus, find relevant items
 query: a textual description of the user's information need
 corpus: a repository of textual documents
 relevance: satisfaction of the user's information need

## What is a Retrieval Model?

• A formal method that predicts the degree of relevance of a document to a query

## **Basic Information Retrieval Process**



## **Boolean Retrieval Models**

- The user describes their information need using boolean constraints (e.g., AND, OR, and AND NOT)
- Unranked Boolean: retrieves documents that satisfy the constraints in no particular order
- Ranked Boolean: retrieves documents that satisfy the constraints and orders them based on the number of ways they satisfy the constraints
- Also known as 'exact-match' retrieval models
- Advantages and disadvantages?

## **Boolean Retrieval Models**

- Advantages:
  - Easy for the system
  - Users get transparency: it is easy to understand why a document was or was not retrieved
  - Users get control: it easy to determine whether the query is too specific (few results) or too broad (many results)
- Disadvantages:
  - The burden is on the user to formulate an effective query

## Relevance

- Many factors affect whether a document satisfies a particular user's information need
- Topicality, freshness, authority, formatting, reading level, assumed level of prior knowledge/expertise, novelty...
- Topical relevance: the document is on the same topic as the query
- User relevance: everything else!
- For now, we will only try to predict <u>topical relevance</u>

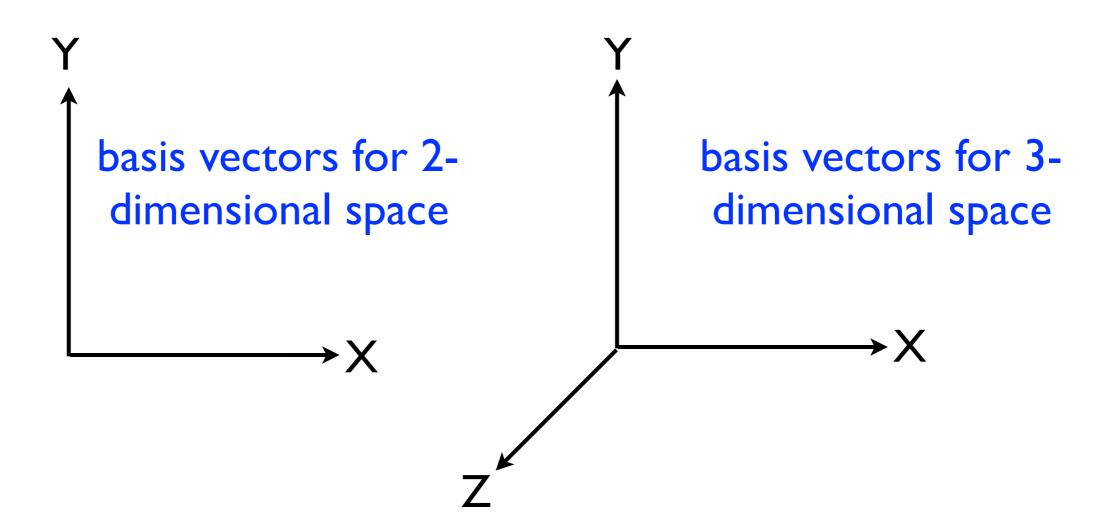
## Introduction to Best-Match Retrieval Models

- So far, we've discussed 'exact-match' models
- Today, we start discussing 'best-match' models
- Best-match models predict the <u>degree</u> to which a document is relevant to a query
- Ideally, this would be expressed as **RELEVANT(q,d)**
- In practice, it is expressed as SIMILAR(q,d)
- How might you compute the similarity between q and d?

## Vector Space Model

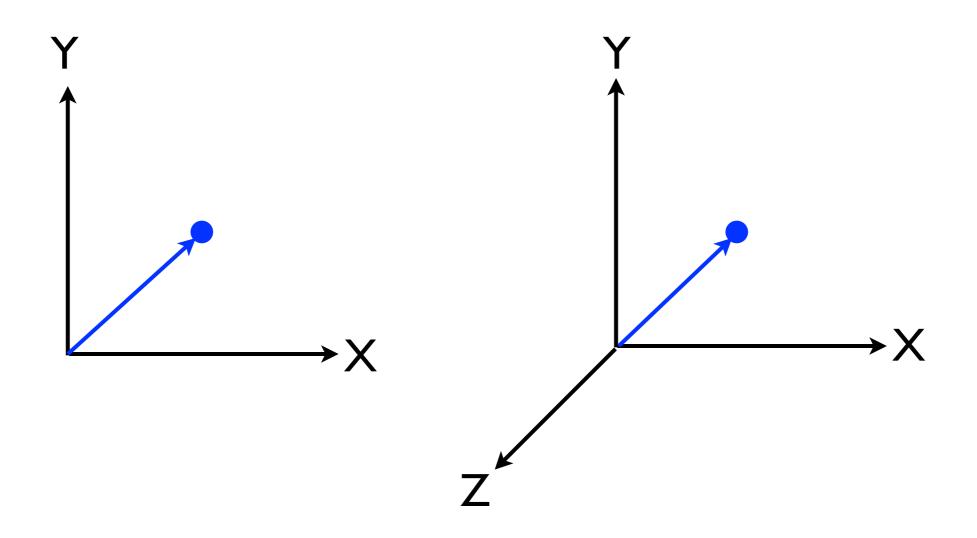
## What is a Vector Space?

- Formally, a vector space is defined by a set of <u>linearly</u> independent basis vectors
- The basis vectors correspond to the dimensions or directions of the vector space



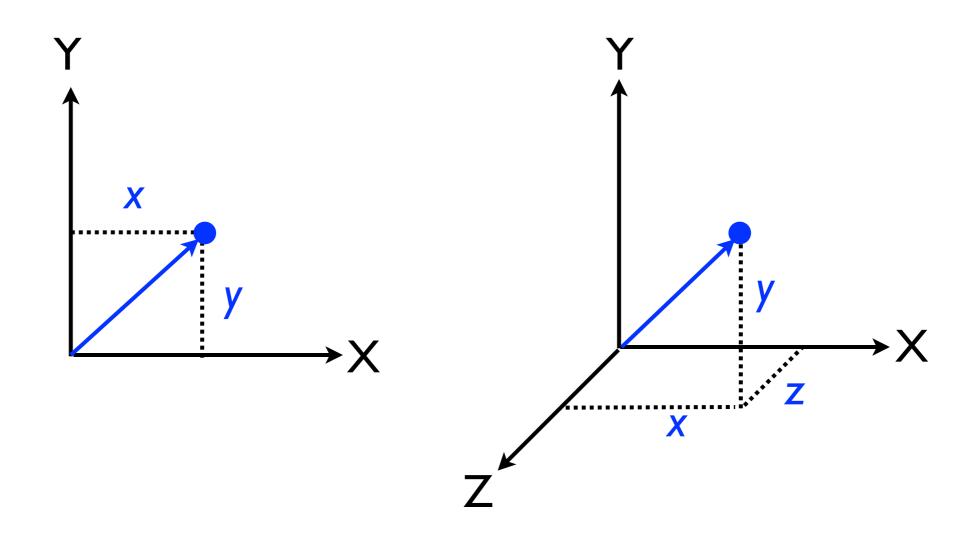
## What is a Vector?

• A vector is a point in a vector space and has length (from the origin to the point) and direction



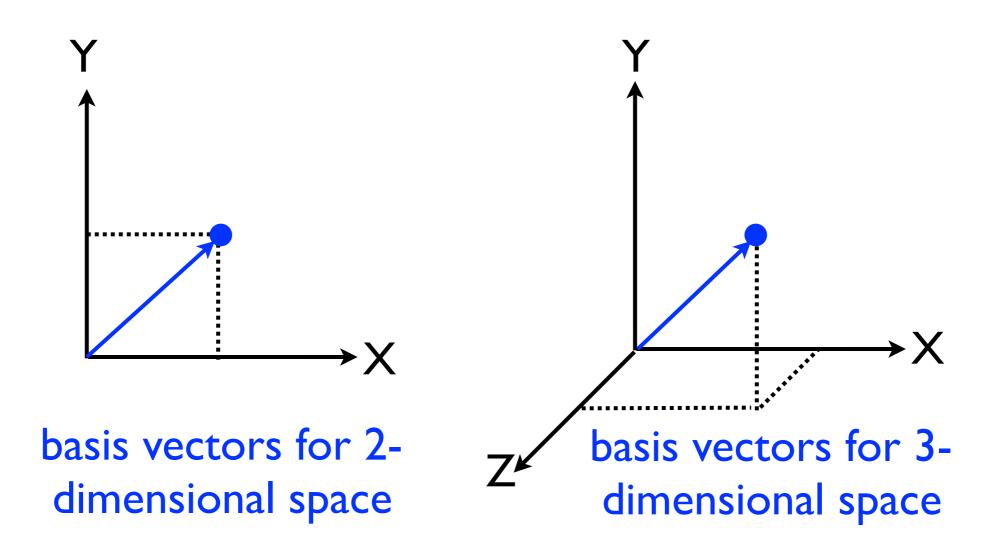
## What is a Vector?

- A 2-dimensional vector can be written as [x,y]
- A 3-dimensional vector can be written as [x,y,z]



## What is a Vector Space?

 The basis vectors are <u>linearly independent</u> because knowing a vector's value along one dimension doesn't say anything about its value along another dimension



## **Binary Text Representation**

	а	aardvark	abacus	abba	able	•••	zoom
l	I	0	0	0	0	•••	I
doc_2	0	0	0	0	I	•••	I
	••		••			•••	0
doc_m	0	0	I	I	0	•••	0

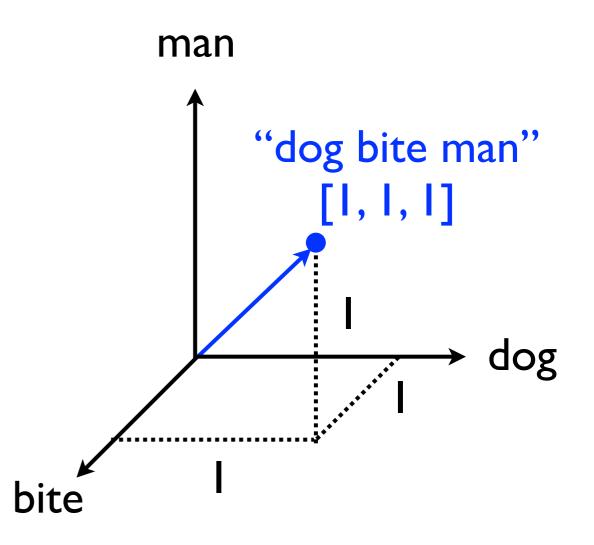
- 1 = the word appears in the document
- 0 = the word does <u>not</u> appear in the document
- Does not represent word frequency, word location, or word order information

## Vector Space Representation

- Let V denote the size of the indexed vocabulary
  - V = the number of unique terms,
  - V = the number of unique terms excluding stopwords,
  - V = the number of unique stems, etc...
- Any arbitrary span of text (i.e., a document, or a query) can be represented as a vector in V-dimensional space
- For simplicity, let's assume three index terms: dog, bite, man (i.e., V=3)
- Why? Because it's easy to visualize 3-D space

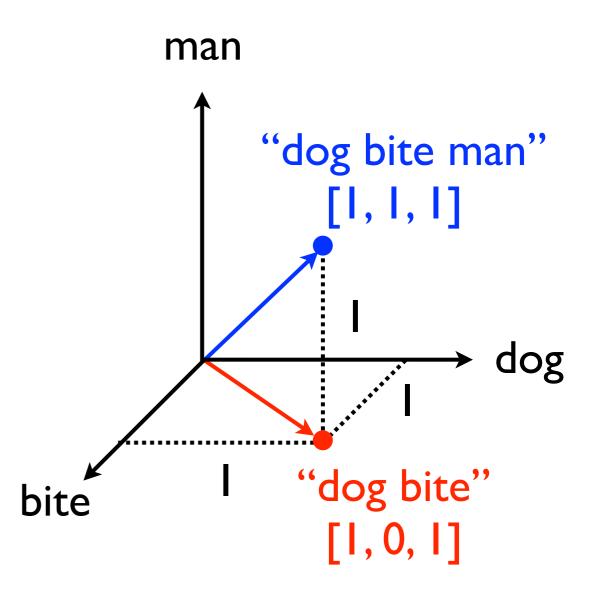
- 1 = the term appears at least once
- $0 = \text{the term does } \underline{\text{not}} \text{ appear}$

	dog	man	bite
doc_1	I	I.	I.

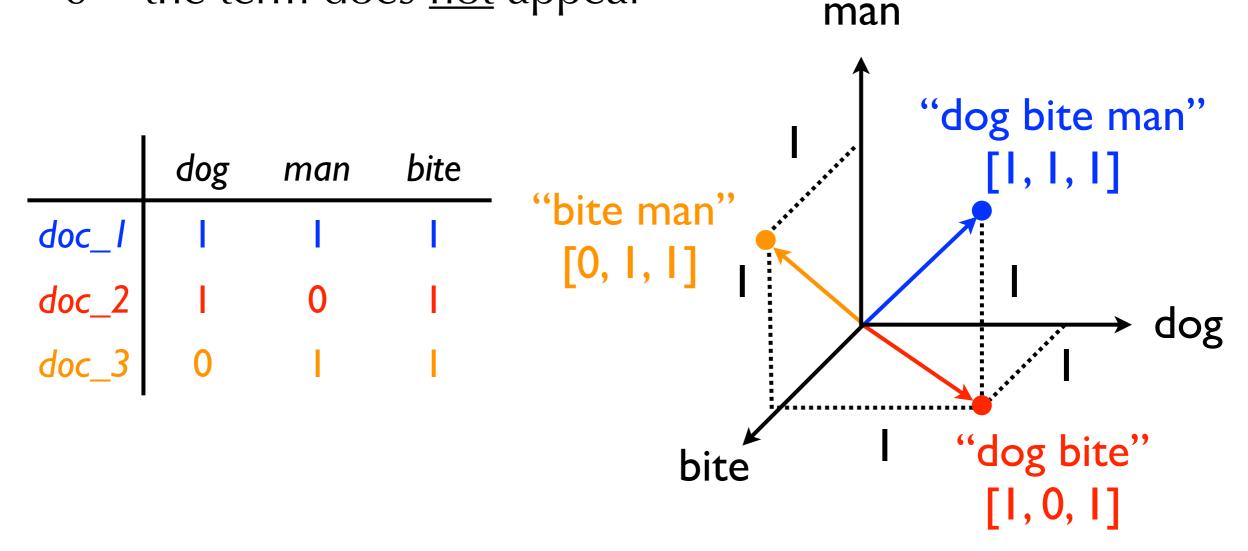


- 1 = the term appears at least once
- $0 = \text{the term does } \underline{\text{not}} \text{ appear}$

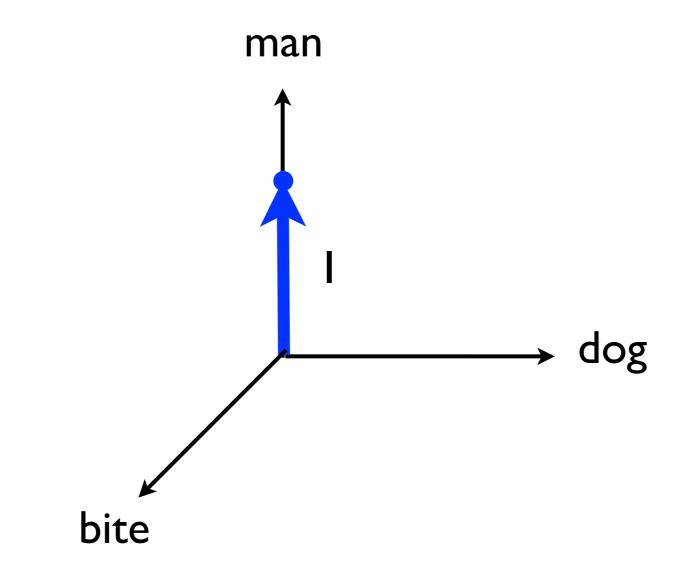
	dog	man	bite
doc_1	I	I	I.
doc_2	I	0	I



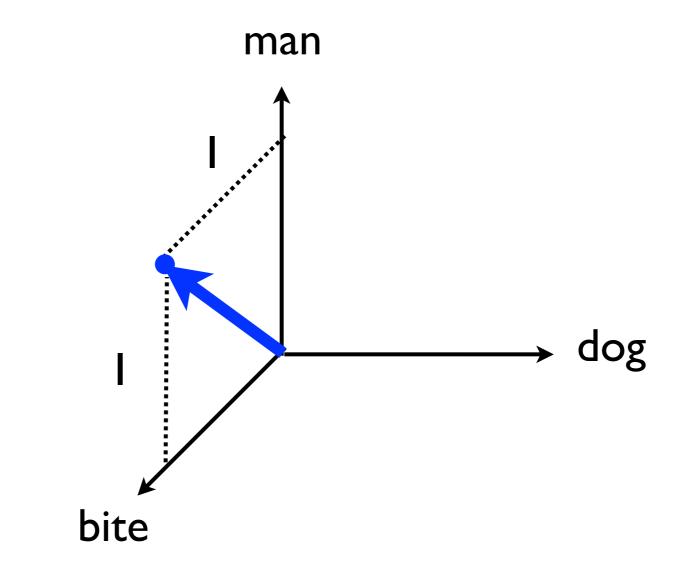
- 1 = the term appears at least once
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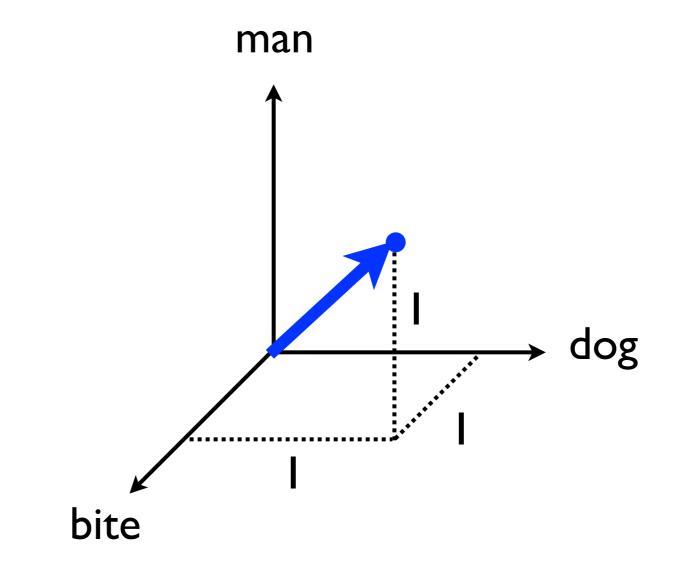
• What span(s) of text does this vector represent?



• What span(s) of text does this vector represent?

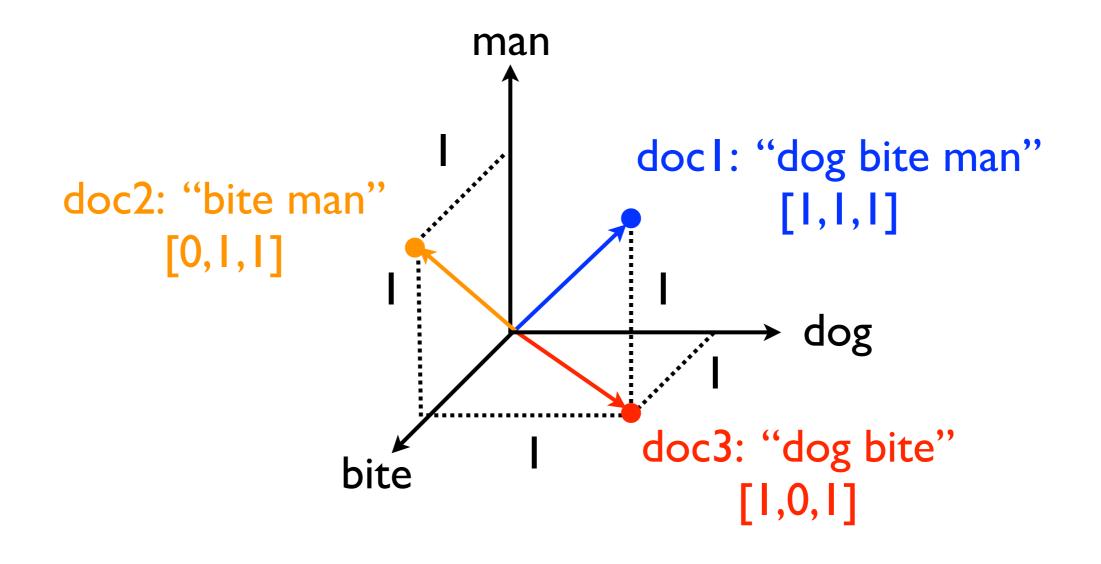


• What span(s) of text does this vector represent?



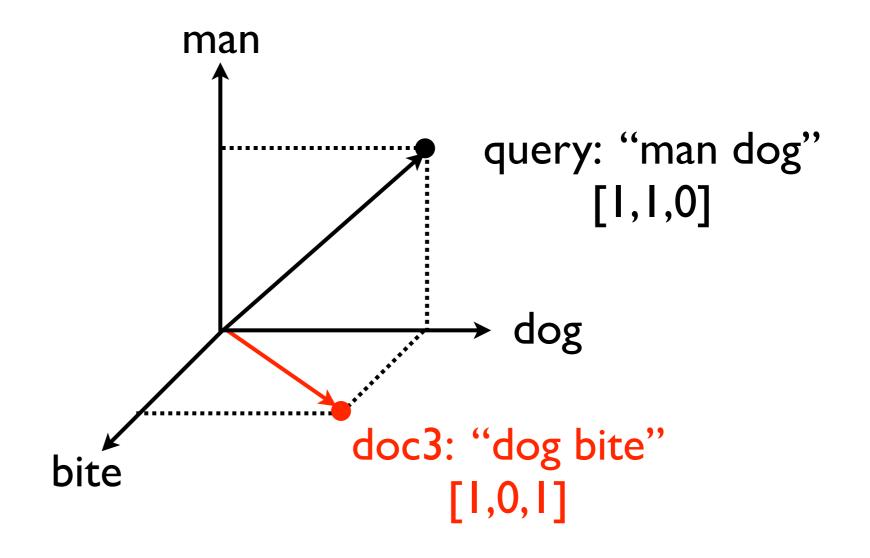
## Vector Space Representation

 Any span of text is a vector in V-dimensional space, where V is the size of the vocabulary



## Vector Space Representation

 A query is a vector in V-dimensional space, where V is the number of terms in the vocabulary



## Vector Space Similarity

- The vector space model ranks documents based on the vector-space similarity between the <u>query</u> vector and the <u>document</u> vector
- There are many ways to compute the similarity between two vectors
- One way is to compute the inner product

$$\sum_{i=1}^{V} x_i \times y_i$$

 Multiply corresponding components and then sum those products

$$\sum_{i=1}^{V} x_i \times y_i$$

	$x_i$	$y_i$	$x_i \times y_i$
а		I	Ι
aardvark	0	I	0
abacus		I	Ι
abba	I	0	0
able	0	Ι	0
	0	0	0
zoom	0	0	0
	2		

What does the inner product (with a binary representation) correspond to?

$$\sum_{i=1}^{V} x_i \times y_i$$

	$x_i$	$y_i$	$x_i \times y_i$
а		I	I
aardvark	0	I	0
abacus		I	Ι
abba		0	0
able	0	I	0
	0	0	0
zoom	0	0	0
	2		

• When using 0's and 1's, this is just the number of unique terms in common between the query and the document

$$\sum_{i=1}^{V} x_i \times y_i$$

	$x_i$	$y_i$	$x_i \times y_i$
а		I	I
aardvark	0	I	0
abacus		I	I
abba		0	0
able	0	I	0
••	0	0	0
zoom	0	0	0
	2		

- 1 = the term appears at least once
- 0 = the term does <u>not</u> appear man "man " [0, 1, 0] "dog bite man" bite dog [1, 1, 1] man "bite man" doc\_l **[0, I, I]** doc\_2 0 doc\_3 0 dog doc\_4 0 0 "dog bite" bite [1, 0, 1]

- Multiply corresponding components and then sum those products
- Using a binary representation, the inner product corresponds to the number of terms appearing (at least once) in both spans of text
- Scoring documents based on their inner-product with the query has one major issue. Any ideas?

- What is more relevant to a query?
  - A 50-word document which contains 3 of the queryterms?
  - A 100-word document which contains 3 of the query-terms?
- All things being equal, longer documents are more likely to have the query-terms
- The inner-product doesn't account for the fact that documents have widely varying lengths
- So, the inner-product favors long documents

## The Cosine Similarity

- The numerator is the inner product
- The denominator is the product of the two vector-lengths
- Ranges from 0 to 1 (equals 1 if the vectors are identical)
- The cosine of the angle between the two vectors
- 0 if the angle is 90 degrees

$$\frac{\sum_{i=1}^{V} x_i \times y_i}{\sqrt{\sum_{i=1}^{V} x_i^2} \times \sqrt{\sum_{i=1}^{V} y_i^2}}$$

length oflength ofvector xvector y

#### Vector Space Model cosine similarity example (binary weights)

$$\frac{\sum_{i=1}^{V} x_i \times y_i}{\sqrt{\sum_{i=1}^{V} x_i^2} \times \sqrt{\sum_{i=1}^{V} y_i^2}}$$

#### cosine([**|**,**0**,**|**], [**|**,**|**,**0**]) =

$$\frac{(1 \times 1) + (0 \times 1) + (1 \times 0)}{\sqrt{1^2 + 0^2 + 1^2} \times \sqrt{1^2 + 1^2 + 0^2}} = 0.5$$

# $\frac{\sum_{i=1}^{V} x_i \times y_i}{\sqrt{\sum_{i=1}^{V} x_i^2} \times \sqrt{\sum_{i=1}^{V} y_i^2}} \quad \text{In Class Exercise}$

- For each document, compute the inner-product and cosine similarity score for the query: **Jill** 
  - *doc\_l* Jack and Jill went up the hill
  - doc\_2 To fetch a pail of water.
  - doc\_3 Jack fell down and broke his crown,
  - doc\_4 And Jill came tumbling after.
  - doc\_5 Up Jack got, and home did trot,
  - doc\_6 As fast as he could caper,
  - *doc\_7* To old Dame Dob, who patched his nob
  - doc\_8 With vinegar and brown paper.

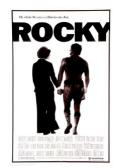
# $\frac{\sum_{i=1}^{V} x_i \times y_i}{\sqrt{\sum_{i=1}^{V} x_i^2} \times \sqrt{\sum_{i=1}^{V} y_i^2}} \quad \text{In Class Exercise}$

- For each document, compute the inner-product and cosine similarity score for the query: Jack
  - *doc\_1* Jack and Jill went up the hill
  - doc\_2 To fetch a pail of water.
  - doc\_3 Jack fell down and broke his crown,
  - doc\_4 And Jill came tumbling after.
  - doc\_5 Up Jack got, and home did trot,
  - doc\_6 As fast as he could caper,
  - *doc\_7* To old Dame Dob, who patched his nob
  - doc\_8 With vinegar and brown paper.

## Vector Space Representation

	а	aardvark	abacus	abba	able	•••	zoom
doc_1	I	0	0	0	0	•••	I
doc_2	0	0	0	0	I	•••	I
	••	::		••		•••	0
doc_m	0	0	I	I	0	•••	0
	а	aardvark	abacus	abba	able		zoom
		<u>.</u>		-			
query	0		0	0		•••	

- So far, we've assumed binary vectors
- O's and 1's indicate whether the term occurs (at least once) in the document/query
- Let's explore a more sophisticated representation



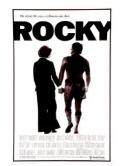
# Term-Weighting what are the most important terms?

• Movie: Rocky (1976)

#### • Plot:

Rocky Balboa is a struggling boxer trying to make the big time. Working in a meat factory in Philadelphia for a pittance, he also earns extra cash as a debt collector. When heavyweight champion Apollo Creed visits Philadelphia, his managers want to set up an exhibition match between Creed and a struggling boxer, touting the fight as a chance for a "nobody" to become a "somebody". The match is supposed to be easily won by Creed, but someone forgot to tell Rocky, who sees this as his only shot at the big time. Rocky Balboa is a small-time boxer who lives in an apartment in Philadelphia, Pennsylvania, and his career has so far not gotten off the canvas. Rocky earns a living by collecting debts for a loan shark named Gazzo, but Gazzo doesn't think Rocky has the viciousness it takes to beat up deadbeats. Rocky still boxes every once in a while to keep his boxing skills sharp, and his ex-trainer, Mickey, believes he could've made it to the top if he was willing to work for it. Rocky, goes to a pet store that sells pet supplies, and this is where he meets a young woman named Adrian, who is extremely shy, with no ability to talk to men. Rocky befriends her. Adrain later surprised Rocky with a dog from the pet shop that Rocky had befriended. Adrian's brother Paulie, who works for a meat packing company, is thrilled that someone has become interested in Adrian, and Adrian spends Thanksgiving with Rocky. Later, they go to Rocky's apartment, where Adrian explains that she has never been in a man's apartment before. Rocky sets her mind at ease, and they become lovers. Current world heavyweight boxing champion Apollo Creed comes up with the idea of giving an unknown a shot at the title. Apollo checks out the Philadelphia boxing scene, and chooses Rocky. Fight promoter Jergens gets things in gear, and Rocky starts training with Mickey. After a lot of training, Rocky is ready for the match, and he wants to prove that he can go the distance with Apollo. The 'Italian Stallion', Rocky Balboa, is an aspiring boxer in downtown Philadelphia. His one chance to make a better life for himself is through his boxing and Adrian, a girl who works in the local pet store. Through a publicity stunt, Rocky is set up to fight Apollo Creed, the current heavyweight champion who is already set to win. But Rocky really needs to triumph, against all the odds...

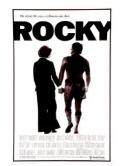
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#### Term-Frequency how important is a term?

			_		
rank	term	freq.	rank	term	freq.
	a	22	16	creed	5
2	rocky	19	17	philadelphia	5
3	to	18	18	has	4
4	the	17	19	pet	4
5	is		20	boxing	4
6	and	10	21	up	4
7	in	10	22	an	4
8	for	7	23	boxer	4
9	his	7	24	S	3
10	he	6	25	balboa	3
11	adrian	6	26	it	3
12	with	6	27	heavyweigh	3
13	who	6	28	champion	3
14	that	5	29	fight	3
15	apollo	5	30	become	3
			•		

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#### Term-Frequency how important is a term?

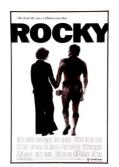
rank	term	freq.	rank	term	freq.
I	a	22	16	creed	5
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3	to	18	18	has	4
4	the	17	19	pet	4
5	is		20	boxing	4
6	and	10	21	up	4
7	in	10	22	an	4
8	for	7	23	boxer	4
9	his	7	24	S	3
10	he	6	25	balboa	3
11	adrian	6	26	it	3
12	with	6	27	heavyweigh	3
13	who	6	28	champion	3
14	that	5	29	fight	3
15	apollo	5	30	become	3

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#### Inverse Document Frequency (IDF) how important is a term?

$$idf_t = \log(\frac{N}{df_t})$$

- N = number of documents in the collection
- $df_t$  = number of documents in which term *t* appears



#### Inverse Document Frequency (IDF) how important is a term?

rank	term	idf	rank	term	idf
I	doesn	11.66	16	creed	6.84
2	adrain	10.96	17	paulie	6.82
3	viciousness	9.95	18	packing	6.81
4	deadbeats	9.86	19	boxes	6.75
5	touting	9.64	20	forgot	6.72
6	jergens	9.35	21	ease	6.53
7	gazzo	9.21	22	thanksgivin	6.52
8	pittance	9.05	23	earns	6.51
9	balboa	8.61	24	pennsylvani	6.50
10	heavyweigh	7.18	25	promoter	6.43
11	stallion	7.17	26	befriended	6.38
12	canvas	7.10	27	exhibition	6.31
13	ve	6.96	28	collecting	6.23
14	managers	6.88	29	philadelphia	6.19
15	apollo	6.84	30	gear	6.18

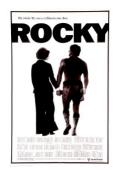
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#### TF.IDF how important is a term?

 $tf_t \times idf_t$ 

greater when the term is frequent in in the document

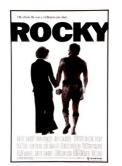
greater when the term is rare in the collection (does not appear in many documents)



#### TF.IDF

 $tf_t \times log\left(\frac{N}{df_t}\right)$ 

term	tf	Ν	df	idf	tf.idf
rocky	19	230721	1420	5.09	96.72
philadelphia	5	230721	473	6.19	30.95
boxer	4	230721	900	5.55	22.19
fight	3	230721	8170	3.34	10.02
mickey	2	230721	2621	4.48	8.96
for	7	230721	117137	0.68	4.75



### TF.IDF

#### how important is a term?

rank	term	tf.idf	rank	term	tf.idf
	rocky	96.72	16	meat	11.76
2	apollo	34.20	17	doesn	11.66
3	creed	34.18	18	adrain	10.96
4	philadelphia	30.95	19	fight	10.02
5	adrian	26.44	20	viciousness	9.95
6	balboa	25.83	21	deadbeats	9.86
7	boxing	22.37	22	touting	9.64
8	boxer	22.19	23	current	9.57
9	heavyweigh	21.54	24	jergens	9.35
10	pet	21.17	25	S	9.29
11	gazzo	18.43	26	struggling	9.21
12	<b>champion</b>	15.08	27	training	9.17
13	match	13.96	28	pittance	9.05
14	earns	13.01	29	become	8.96
15	apartment	11.82	30	mickey	8.96

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## TF, IDF, or TF.IDF?

adrain adrian all already also an and apartment apollo as aspiring at balboa become better big boxer boxing but by can career champion chance creed current debt doesn earns every exhibition extra far fight for gazzo gets girl go has he heavyweight her himself his if in is it keep later life living loan lovers make man match meat men mickey named nobody of paulle pet philadelphia rocky set she shot small somebody someone still store struggling supplies surprised that they think this through time title to trainer training up want when where who willing with woman works

## TF, IDF, or TF.IDF?

ability adrain adrian already apartment apollo aspiring balboa become befriended befriends big boxer boxes boxing canvas champion chance checks chooses collecting collector Creed current deadbeats debt debts distance doesn downtown earns ease easily exhibition extra extremely factory fight forgot gazzo gear gotten heavyweight his is jergens later loan tot lovers managers match meat mickey named nobody odds packing paulie pennsylvania pet philadelphia pittance promoter publicity ready sells set shark sharp shot shy somebody someone stallion store struggling stunt supplies supposed surprised thanksgiving think thrilled time title touting trainer training triumph up ve viciousness visits where who willing won Works

## TF, IDF, or TF.IDF?

ability adrain adrian already apollo aspiring balboa beat befriended befriends better boxer boxes boxing canvas cash champion checks chooses collecting collector creed current deadbeats debt debts distance **doesn** downtown earns ease easily exhibition explains extra extremely factory far forgot gazzogear giving gotten heavyweight idea interested italian | ergens keep living loan lot lovers managers match meat mickey nobody odds packing paulie pennsylvania pet philadelphia pittance promoter prove publicity ready rocky sells shark sharp shop shy skills SOMebody spends stallion struggling stunt supplies supposed surprised thanksgiving think thrilled title touting trainer training triumph unknown ve viciousness visits want willing win won

### Queries as TF.IDF Vectors

- Terms tend to appear only once in the query
- **TF** usually equals 1
- **IDF** is computed using the collection statistics

$$idf_t = \log(\frac{N}{df_t})$$

• Terms appearing in fewer documents get a higher weight

#### Queries as TF.IDF Vectors examples from AOL queries with clicks on IMDB results

term l	tf.idf	term 2	tf.idf	term 3	tf.idf
central	4.89	casting	6.05	ny	5.99
wizard	6.04	of	0.18	οΖ	6.14
sam	2.80	jones	3.15	iii	2.26
film	2.31	technical	6.34	advisors	8.74
edie	7.41	sands	5.88	singer	3.88
high	3.09	fidelity	7.66	quotes	8.11
quotes	8.11	about	1.61	brides	6.71
title	4.71	wave	5.68	pics	10.96
saw	4.87	3	2.43	trailers	7.83
the	0.03	rainmaker	9.09	movie	0.00
nancy	5.50	and	0.09	sluggo	9.46
audrey	6.30	rose	4.52	movie	0.00
mark	2.43	sway	7.53	photo	5.14
piece	4.59	of	0.18	cheese	6.38
date	3.93	movie	0.00	cast	0.00

#### Vector Space Model cosine similarity example (tf.idf weights)

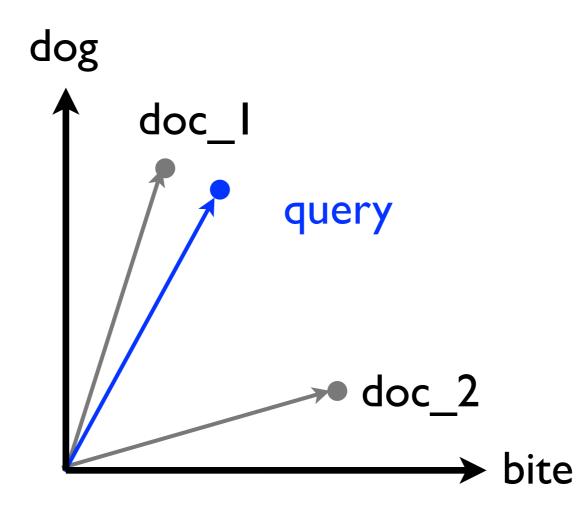
$$\frac{\sum_{i=1}^{V} x_i \times y_i}{\sqrt{\sum_{i=1}^{V} x_i^2} \times \sqrt{\sum_{i=1}^{V} y_i^2}}$$

#### cosine([2.3, 0.0, 1.5], [5.4, 2.0, 0.0]) =

$$\frac{(2.3 \times 5.4) + (0.0 \times 2.0) + (1.5 \times 0.0)}{\sqrt{2.3^2 + 0.0^2 + 1.5^2} \times \sqrt{5.4^2 + 2.0^2 + 0.0^2}}$$

#### Vector Space Model cosine similarity example (tf.idf weights)

• Rank documents based on cosine similarity to the query



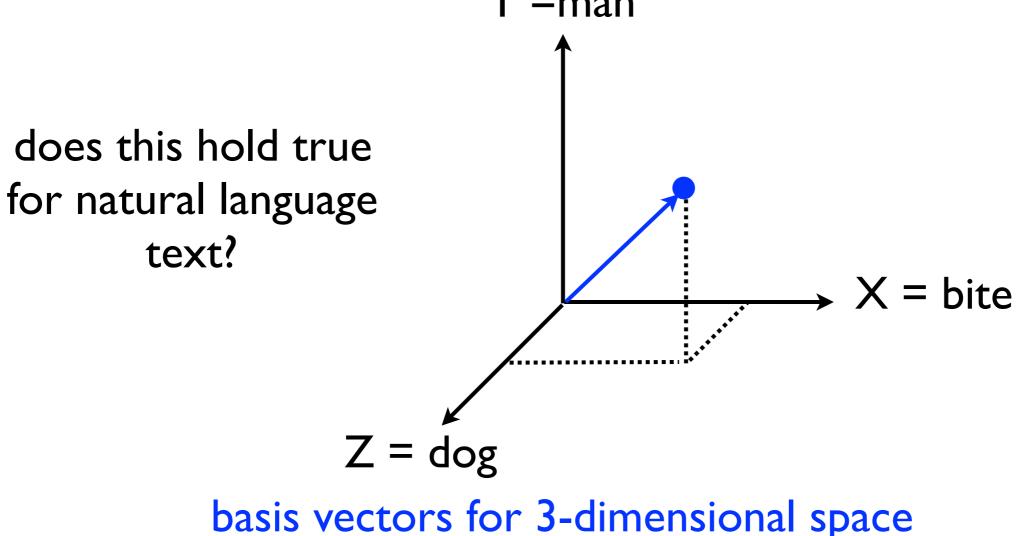
## TF.IDF

- Many variants of this formula have been proposed
- However, they all have two components in common:
  - **F**: favors terms that are frequent in the document
  - IDF: favors terms that do not occur in many documents

$$tf_t \times log\left(\frac{N}{df_t}\right)$$

#### Independence Assumption

 The basis vectors (X, Y, Z) are <u>linearly independent</u> because knowing a vector's value on one dimension doesn't say anything about its value along another dimension
 Y =man



#### Mutual Information IMDB Corpus

• If this were true, what would these mutual information values be?

wl	w2	MI	wl	w2	MI
francisco	san	?	dollars	million	?
angeles	los	?	brooke	rick	?
prime	minister	?	teach	lesson	?
united	states	?	canada	canadian	?
9		?	un	ma	?
winning	award	?	nicole	roman	?
brooke	taylor	?	china	chinese	?
con	un	?	japan	japanese	?
un	la	?	belle	roman	?
belle	nicole	?	border	mexican	?

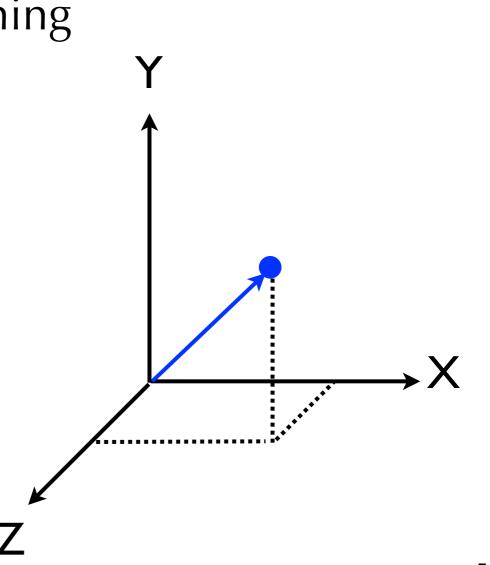
#### Mutual Information IMDB Corpus

• These mutual information values should be zero!

wl	w2	MI	wl	w2	MI
francisco	san	6.619	dollars	million	5.437
angeles	los	6.282	brooke	rick	5.405
prime	minister	5.976	teach	lesson	5.370
united	states	5.765	canada	canadian	5.338
9		5.639	un	ma	5.334
winning	award	5.597	nicole	roman	5.255
brooke	taylor	5.518	china	chinese	5.23 I
con	un	5.514	japan	japanese	5.204
un	la	5.512	belle	roman	5.202
belle	nicole	5.508	border	mexican	5.186

## **Independence** Assumption

- The vector space model assumes that terms are independent
- The fact that one occurs says nothing about another one occurring
- This is viewed as a limitation
- However, the implications of this limitation are still debated
- A very popular solution



# Vector Space Model

- Any text can be seen as a vector in V-dimensional space
  - a document
  - a query
  - a sentence
  - a word
  - an entire encyclopedia
- Rank documents based on their cosine similarity to query
- If a document is similar to the query, it is likely to be relevant (remember: topical relevance!)

- A power tool!
- A lot of problems in IR can be cast as:
  - Find me \_\_\_\_\_ that is similar to \_\_\_\_\_ !
- As long as \_\_\_\_\_ and \_\_\_\_\_ are associated with text, one potential solution is:
  - represent these items as tf.idf term-weight vectors and compute their cosine similarity
  - return the items with the highest similarity

• Find <u>documents</u> that are similar to <u>this query</u>

Google

apple ipad



Apple - iPad 2 - All-new design. Video calls. HD video. And more. www.apple.com/ipad/ - Cached

All-new thinner, lighter design. Faster A5 chip. FaceTime video calling. With the same 10-hour battery. It's not a tablet, it's **iPad** 2. Starts at \$499.

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apple ipad

#### Q

Advanced search

Apple - iPad 2 - All-new design. Video calls. HD video. And more. www.apple.com/ipad/ - Cached

All-new thinner, lighter design. Faster A5 chip. FaceTime video calling. With the same 10-hour battery. It's not a tablet, it's **iPad** 2. Starts at \$499.

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#### Anatidaephobia - The Fear That You are Being Watched by a Duck



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What Is Anatidaephobia?

December 08, 2008 by Tammy Duffey .

Anatidaephobia is defined as a pervasive, irrational fear that one is being watched by a duck. The anatidaephobic individual fears that no matter where they are or what they are doing, a duck watches.

Anatidaephobia is derived from the Greek word "anatidae", meaning ducks, geese or swans and "phobos"



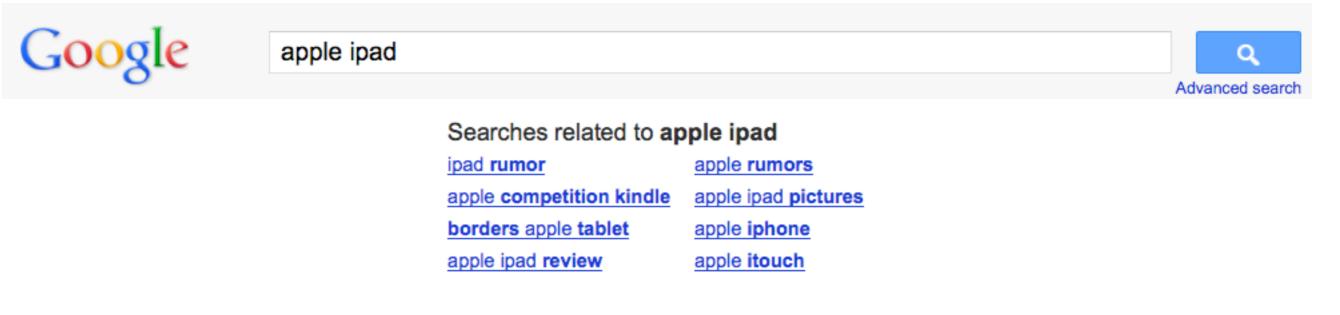
meaning fear.

#### What Causes Anatidaephobia?

As with all phobias, the person coping with Anatidaephobia has experienced a real-life trauma. For the anatidaephobic individual, this trauma most likely occurred during childhood.

Perhaps the individual was intensely frightened by some species of water fowl. Geese and swans are relatively well known for their aggressive tendencies and perhaps the anatidaephobic person was actually bitten or flapped at. Of course, the Far Side comics did little to minimize the fear of being watched by a duck.

• Find <u>queries</u> that are similar to <u>this query</u>



• Topic categorization: automatically assigning a document to a category

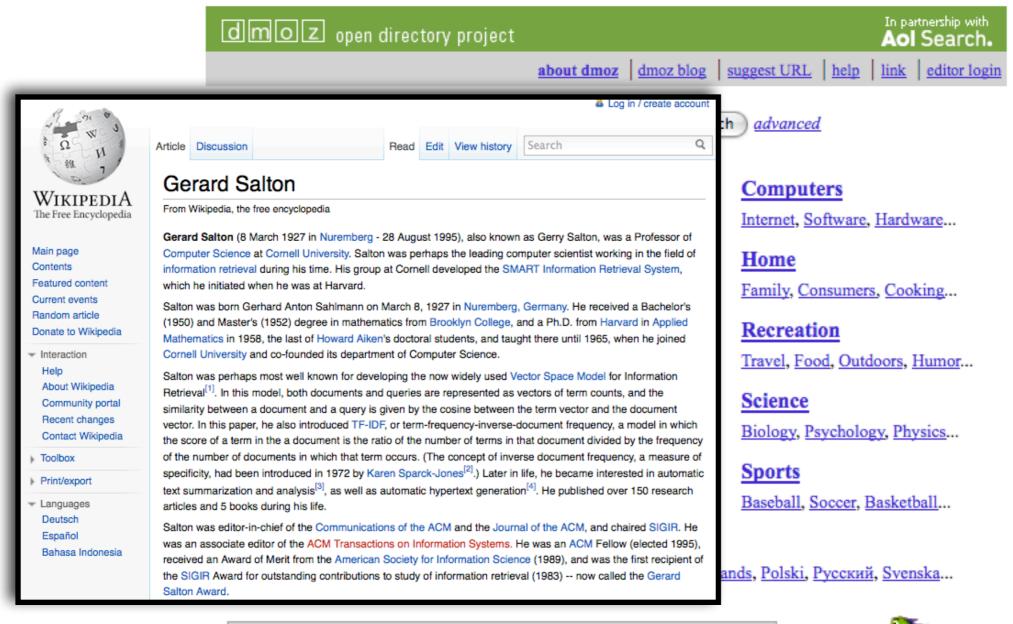
dmoz open directory project Aol Search.						
	about dmoz dmoz blog s	uggest URL   help   link   editor login				
Search advanced						
Arts	Business	Computers				
Movies, Television, Music	Jobs, Real Estate, Investing	Internet, Software, Hardware				
Games	Health	Home				
Video Games, RPGs, Gambling	Fitness, Medicine, Alternative	Family, Consumers, Cooking				
Kids and Teens	News	Recreation				
Arts, School Time, Teen Life	Media, Newspapers, Weather	Travel, Food, Outdoors, Humor				
Reference	Regional	Science				
Maps, Education, Libraries	US, Canada, UK, Europe	Biology, Psychology, Physics				
Shopping	Society	Sports				
Clothing, Food, Gifts	People, Religion, Issues	Baseball, Soccer, Basketball				
World Català, Dansk, Deutsch, Español, F	<u>Français, Italiano, 日本語, Nederlar</u>	nds. Polski, Русский, Svenska				

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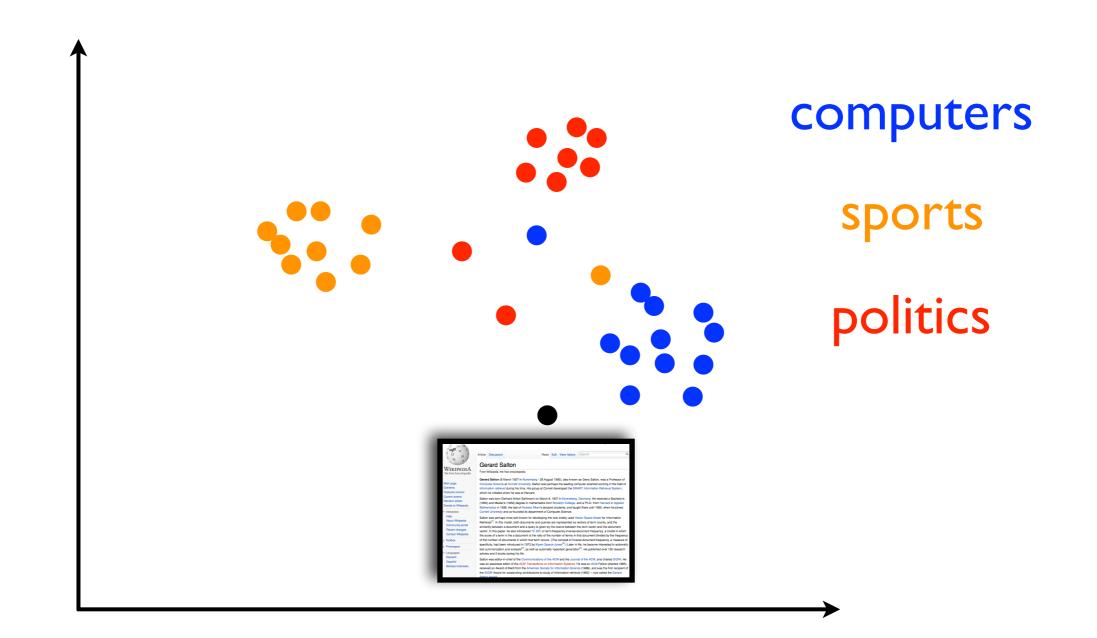


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