

# Web Track

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# Goal

- Retrieval from large web collections
  - ▶ Lots of documents (~1B)
  - ▶ High-precision important
  - ▶ Hyperlinked documents
  - ▶ Lots of spam/junk/non-English documents
  - ▶ Domain general
  - ▶ Low ambiguity of user intent (tail queries)
  - ▶ High ambiguity of user intent (head queries)

# Two Tasks

- Ad-hoc retrieval task (same task, different measures)
  - ▶ **single-facet topics**: queries associated with a single sub-intent. tail-like queries.
  - ▶ **faceted topics**: queries associated with multiple sub-intents. head-like queries.
- Risk-sensitive retrieval task
  - ▶ maximize average performance, minimize probability of failure compared to a baseline

# Evaluation Methodology

# ClueWeb12 Collection

- Category A (full dataset)
  - ▶ 733,019,372 webpages
  - ▶ crawled from 2/10/2012-5/10/2012
  - ▶ 5TB compressed, 27TB uncompressed
- Category B (smaller option)
  - ▶ Experiments with 1B documents requires a cluster
  - ▶ 52,343,021 webpages
  - ▶ 7% of Category A

# Single-Facet Topic

```
<topic number="227" type="single">  
<query>i will survive lyrics</query>  
<description>Find the lyrics to the song "I Will Survive".</description>  
</topic>
```

- **Query:** input to the system
- **Description:** hypothetical information need used by assessors to judge relevance

# Multiple-Facet Topic

```
<topic number="235" type="faceted">
<query>ham radio</query>
<description>How do you get a ham radio license?</description>
<subtopic number="1" type="inf">How do you get a ham radio license?</subtopic>
<subtopic number="2" type="nav">What are the ham radio license classes?</subtopic>
<subtopic number="3" type="inf">How do you build a ham radio station?</subtopic>
<subtopic number="4" type="inf">Find information on ham radio antennas.</subtopic>
<subtopic number="5" type="nav">What are the ham radio call signs?</subtopic>
<subtopic number="6" type="nav">Find the web site of Ham Radio Outlet.</subtopic>
</topic>
```

- **Informational sub-intent (INF):** multiple relevant documents
- **Navigational sub-intent (NAV):** a single or a few relevant documents

# Faceted Topic Construction

- Created by NIST assessors by considering at the output of Bing's query suggestions and auto-complete suggestions in response to the query
- Based on query-term co-occurrence information in the Bing query-log
  - ▶ “ham radio” --> “ham radio license”
  - ▶ “ham radio” --> “building a ham radio”



# Ad-hoc Evaluation

## single-facet measures

- NDCG@K

$$NDCG@k = \frac{DCG@k}{iDCG@k}$$

$$DCG@k = \sum_{i=1}^k \frac{2^{g_i} - 1}{\log_2 (1 + i)}$$

# Ad-hoc Evaluation

## gain definitions

- **NAV(4)**: represents a homepage of the entity named in the query
- **Key(3)**: dedicated primarily to the topic
- **HRel(2)**: provides substantial information about the topic
- **Rel(1)**: provides some information about the topic
- **Non (0)**: provides no information about the topic
- **Junk (0)**: is not useful for any purpose

# Ad-hoc Evaluation

## single-facet measures

- Expected Reciprocal Rank (ERR)

$$ERR := \sum_{r=1}^n \frac{1}{r} P(\text{user stops at position } r)$$

$$ERR := \sum_{r=1}^n \frac{1}{r} \prod_{i=1}^{r-1} (1 - R_i) R_r.$$

$$\mathcal{R}(g) := \frac{2^g - 1}{2^{g_{\max}}}$$

# Ad-hoc Evaluation

## multiple-facet measures

- IR metrics can be easily extended to evaluate diversity
  - ▶ judge documents with respect to each facet independently
  - ▶ compute the metric value for each facet
  - ▶ take weighted average

$$\sum_t P(t|Q) \times \text{metric}(\mathcal{R}_Q)$$

# Ad-hoc Evaluation

multiple-facet measures



$$P@10 = ?$$

# Ad-hoc Evaluation

multiple-facet measures



$$P@10 = 0.70$$

# Ad-hoc Evaluation

multiple-facet measures



$P@10 = ?$

$P@10 = ?$

$P@10 = ?$

$P@10 = ?$

# Ad-hoc Evaluation

multiple-facet measures



$$P@10 = 0.10$$

$$P@10 = 0.30$$

$$P@10 = 0.10$$

$$P@10 = 0.20$$

$$\text{Intent } P@10 =$$

$$(0.10 + 0.30 + 0.10 + 0.20) / 4 = 0.175$$



# Ad-hoc Evaluation

multiple-facet measures



$$P@10 = 0.00$$

$$P@10 = 0.00$$

$$P@10 = 0.00$$

$$P@10 = 0.70$$

$$\text{Intent } P@10 =$$

$$(0.00 + 0.00 + 0.00 + 0.70) / 4 = 0.175$$

# Ad-hoc Evaluation

multiple-facet measures



$$P@10 = 0.00$$

$$P(t|Q) = 0.10$$

$$P@10 = 0.00$$

$$P(t|Q) = 0.50$$

$$P@10 = 0.00$$

$$P(t|Q) = 0.10$$

$$P@10 = 0.70$$

$$P(t|Q) = 0.30$$

$$\text{Intent } P@10 =$$

$$\begin{aligned} & (0.10 \times 0.00) + (0.50 \times 0.00) + \\ & (0.10 \times 0.00) + (0.30 \times 0.70) = 0.21 \end{aligned}$$

# Ad-hoc Evaluation

multiple-facet measures



$$P@10 = 0.10$$

$$P(t|Q) = 0.10$$

$$P@10 = 0.30$$

$$P(t|Q) = 0.50$$

$$P@10 = 0.10$$

$$P(t|Q) = 0.10$$

$$P@10 = 0.20$$

$$P(t|Q) = 0.30$$

Intent  $P@10 =$

$$\begin{aligned} & (0.10 \times 0.10) + (0.50 \times 0.30) + \\ & (0.10 \times 0.10) + (0.30 \times 0.20) = 0.23 \end{aligned}$$

Better performance on the more probably facet!

# Ad-hoc Evaluation

## multiple-facet measures

- Expected Reciprocal Rank:

$$ERR := \sum_{r=1}^n \frac{1}{r} \prod_{i=1}^{r-1} (1 - R_i) R_r.$$

- Intent-Aware Expected Reciprocal Rank:

$$\sum_{r=1}^n \frac{1}{r} \sum_t P(t|q) \prod_{i=1}^{r-1} (1 - R_i^t) R_r^t.$$

# Risk-Sensitive Evaluation

- Parameter controls the influence from poor performing queries relative to the baseline performance
- Between -1 and +1

$$U_{risk}(Q) = \frac{1}{N} \left( \sum_{q \in Q_+} \Delta(q) - (\alpha - 1) \sum_{q \in Q_-} \Delta(q) \right)$$

# Algorithmic Solutions

# Results

## ad-hoc with single-facet

Group	Run	Cat	Type	ERR@20	nDCG@20
Technion	clustmrfaf	A	auto	0.184	0.310
udel_fang	UDInfolabWEB2	A	auto	0.176	0.282
uogTr	uogTrAIwLmb	A	auto	0.160	0.259
ICTNET	ICTNET13RSR2	A	auto	0.158	0.236
udel	udelManExp	A	manual	0.157	0.246
ut	ut22xact	A	auto	0.152	0.228
diro_web_13	udemQlm1lFbWiki	A	auto	0.152	0.254
wistud	wistud.runD	A	manual	0.134	0.225
CWI	cwiwt13cps	A	auto	0.128	0.218
UJS	UJS13LCRAAd2	B	auto	0.107	0.148
RMIT	RMITSCTh	A	auto	0.102	0.179
webis	webisrandom	A	auto	0.101	0.181
MSR_Redmond	msr_alpha0_95_4	A	manual	0.097	0.175
Organizers	baseline	A	auto	0.096	0.168
UWaterlooCLAC	UWCWEB13RISK02	A	auto	0.085	0.132
DLDE	dlde	B	manual	0.008	0.007

# Ad-hoc Retrieval

## technion

- Retrieval top-10K docs using baseline (MRF) score
- Re-rank the top-1K using “learning to rank”
- Re-rank the top-50 using cluster-based retrieval
  - ▶ **assumption:** similar documents are relevant to same information needs (or, similar documents should have similar scores)
  - ▶ cluster top-50 documents
  - ▶ rank clusters based on its (average) document scores
  - ▶ re-rank documents based on cluster rank



# Ad-hoc Retrieval

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$$S(Q, D) = \sum_{t \in Q \cap D} C(t, Q) \times \frac{C(t, Q)}{C(t, Q) + s + s \cdot \frac{|D|}{avdl}} \times \ln \frac{N + 1}{df(t)}$$

- Sum of TF.IDF values from query-terms
- Expand query using terms with a high average mutual information with the query-terms

# Mutual Information

$$MI(w_1, w_2) = \log \left( \frac{P(w_1, w_2)}{P(w_1)P(w_2)} \right)$$

- $P(w_1, w_2)$ : probability that words  $w_1$  and  $w_2$  both appear in a text
- $P(w_1)$ : probability that word  $w_1$  appears in a text, with or without  $w_2$
- $P(w_2)$ : probability that word  $w_2$  appears in a text, with or without  $w_1$
- The definition of “a text” is up to you (e.g., a sentence, a paragraph, a document)

# Mutual Information

$$MI(w_1, w_2) = \log \left( \frac{P(w_1, w_2)}{P(w_1)P(w_2)} \right)$$

- If  $P(w_1, w_2) = P(w_1) P(w_2)$ , it means that the words are independent: knowing that one appears conveys no information that the other one appears
- If  $P(w_1, w_2) > P(w_1) P(w_2)$ , it means that the words are not independent: knowing that one appears conveys some information that the other one appears

# Mutual Information

estimation (using documents as units of analysis)

	word $w_1$ appears	word $w_1$ does not appear
word $w_2$ appears	a	b
word $w_2$ does not appear	c	d

every document  
falls under one  
of these  
quadrants

total # of documents  
 $N = a + b + c + d$

$$P(w_1, w_2) = ?$$

$$P(w_1) = ?$$

$$P(w_2) = ?$$

# Mutual Information

estimation (using documents as units of analysis)

	word $w_1$ appears	word $w_1$ does not appear
word $w_2$ appears	a	b
word $w_2$ does not appear	c	d

every document  
falls under one  
of these  
quadrants

total # of documents  
 $N = a + b + c + d$

$$P(w_1, w_2) = a / N$$

$$P(w_1) = (a + c) / N$$

$$P(w_2) = (a + b) / N$$

# Ad-hoc Retrieval

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- Learning to rank approach (LambdaMart)
- Learns to predict a documents rank as a function of a set of features
- Query-document features + document features

Features	Total
Sample: DPH, DFIC or BM25	1
Weighting models on the whole document [11] (DFRee, DPH [1], PL2 [1], BM25, Dirichlet LM, MQT [10], LGD, DFIC [6], DFIZ [6])	8
Weighting models as above on each field, namely: title, URL, body and anchor text; + PL2F	37
Term-dependence proximity models (MRF [15], pBiL [17])	2
URL (e.g. length) link (e.g. inlink counts) & content quality (e.g., fraction of stopwords, table text [2], spam classification [5]) features	15
TOTAL	63

# Results

## ad-hoc with multiple facets

Group	Run	Cat	Type	ERR-IA@20	$\alpha$ -nDCG@20	NRBP
udel_fang	UDInfolabWEB2	A	auto	0.582	0.654	0.547
Technion	clustmrfa	A	auto	0.567	0.668	0.521
ICTNET	ICTNET13RSR3	A	auto	0.551	0.627	0.512
uogTr	uogTrAIwLmb	A	auto	0.548	0.637	0.498
udel	udelPseudo2	A	auto	0.539	0.637	0.486
ut	ut22base	A	auto	0.513	0.596	0.470
wistud	wistud.runD	A	manual	0.512	0.589	0.466
CWI	cwiwt13cps	A	auto	0.480	0.557	0.439
diro_web_13	udemQlm11FbWiki	A	auto	0.480	0.576	0.433
UJS	UJS13Risk2	B	auto	0.468	0.539	0.434
webis	webismixed	A	auto	0.423	0.516	0.374
RMIT	RMITSCTh	A	auto	0.388	0.489	0.330
MSR_Redmond	msr_alpha1	A	manual	0.368	0.476	0.308
Organizers	baseline	A	auto	0.352	0.451	0.294
UWaterlooCLAC	UWCWEB13RISK02	A	auto	0.323	0.399	0.283
DLDE	dlde	B	manual	0.045	0.058	0.038

# Intent-Aware Retrieval

## baseline solution

- Maximal Marginal Relevance (MMR)
- **Assumption:** diversification = redundancy reduction

$$MMR = \arg \max_{D_i \in R/S} \left( \lambda \text{SIM}(Q, D_i) - (1 - \lambda) \max_{D_j \in S} \text{SIM}(D_i, D_j) \right)$$

- Simple
- Intuitive
- Doesn't explicitly model the different possible facets or senses associated with the query



# Intent-Aware Retrieval

## Explicit Query-Aspect Diversification (xQuAD)

$$(1 - \lambda)P(D|Q) + \lambda \left( \sum_{Q_i \in Q} \left( P(Q_i|Q)P(D|Q_i) \prod_{D_j \in \mathcal{S}} (1 - P(D_j|Q_i)) \right) \right)$$

# Intent-Aware Retrieval

## Explicit Query-Aspect Diversification (xQuAD)

$$(1 - \lambda)P(D|Q) + \lambda \left( \sum_{Q_i \in Q} \left( P(Q_i|Q)P(D|Q_i) \prod_{D_j \in \mathcal{S}} (1 - P(D_j|Q_i)) \right) \right)$$

- Simple (even if looks intimidating)
- Most components can be estimated using language modeling
- Explicitly models the different possible facets or senses associated with the query
- Requires producing the different “subqueries” for Q

# Intent-Aware Retrieval

## Explicit Query-Aspect Diversification (xQuAD)

- Subqueries
  - ▶ query expansion
  - ▶ document clustering (from the retrieved set)
  - ▶ query recommendations from a commercial service (e.g., Google, Bing, Yahoo)
  - ▶ any other ideas?

# Intent-Aware Retrieval technion

$$MMR = \arg \max_{D_i \in R/S} \left( \lambda \text{SIM}(Q, D_i) - (1 - \lambda) \max_{D_j \in S} \text{SIM}(D_i, D_j) \right)$$

- For  $\text{SIM}(Q, D_i)$  use  $1/\text{rank}(D_i)$  as produced by cluster-based retrieval.

# Results

## risk-sensitive retrieval

Group	ERR@10	$\Delta, \alpha = 0$	$\Delta, \alpha = 1$	$\Delta, \alpha = 5$	$\Delta, \alpha = 10$
Technion	0.175	0.087	0.076	0.033	-0.020
udel_fang	0.167	0.078	0.059	-0.018	-0.114
udel	0.150	0.061	0.047	-0.011	-0.084
diro_web_13	0.143	0.055	0.034	-0.051	-0.158
uogTr	0.151	0.062	0.030	-0.101	-0.265
ICTNET	0.149	0.060	0.028	-0.079	-0.209
ut	0.144	0.056	0.025	-0.098	-0.248
wistud	0.125	0.037	0.005	-0.063	-0.143
CWI	0.121	0.033	0.003	-0.115	-0.263
Organizers	0.088	0.000	0.000	0.000	0.000
MSR_Redmond	0.087	-0.001	-0.009	-0.042	-0.084
RMIT	0.093	0.005	-0.027	-0.156	-0.317
UJS	0.100	0.012	-0.027	-0.184	-0.379
webis	0.093	0.005	-0.029	-0.163	-0.332
UWaterlooCLAC	0.080	-0.009	-0.040	-0.164	-0.319
DLDE	0.008	-0.081	-0.162	-0.486	-0.891

# Risk-Aware Retrieval

## related work

- Many “special sauces” improve average performance
- Improve a few queries by a lot; hurt many queries by a little
- Recent interest in risk-analysis for IR
- Query-expansion relies on an effective baseline retrieval
- Risk = instability
- How can we estimate retrieval effectiveness?
- **Perturbation approaches:** make small modifications to different components of the search process and measure the difference in the output ranking

# Risk-Aware Retrieval

## related work

- **Perturbation approaches:** make small modifications to different components of the search process and measure the difference in the output ranking
  - ▶ the query
  - ▶ documents
  - ▶ model

# Risk-Aware Retrieval

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- Use two retrieval models/index-configurations
- Generate query-difficulty features from each retrieval
- Given a set of training queries (with relevance judgements), learn to select the model with the best retrieval



# Brain-Storming Topics

- What are the possible intents for the query?
- What is the probability distribution across intents?
- Risk-management requires predicting retrieval effectiveness (aka query difficulty). What are sources of evidence for predicting retrieval effectiveness?
- How important is robustness from the user's perspective?