Introduction to Ad-hoc Retrieval

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INLS 509: Information Retrieval

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Ad-hoc Retrieval

- Text-based retrieval
- Given a query and a corpus, find the relevant items
 - query: textual description of information need
 - corpus: a collection of textual documents
 - relevance: satisfaction of the user's information need
- "Ad-hoc" because the number of possible queries is (in theory) infinite.



Examples web search

evo screen capture



Q

► How to screen capture on evo? - PPCGeeks ⊆

forum.ppcgeeks.com > ... > Android HTC Devices > HTC Evo 4G - Cached
Jul 6, 2010 - Is there any app for that ? Sent from my PC36100 using Tapatalk.

Is it possible to screen capture before rooting? - Jul 8, 2011

Print Screen / Screen capture - Sep 12, 2010

Print Screen / Screen capture - Page 2 - Jun 21, 2010

More results from forum.ppcgeeks.com »

How to take screenshots on the HTC EVO 4G - Know Your Cell Q

www.knowyourcell.com/...evo.../evo.../how_to_take_screenshots_o... - Cached

Apr 15, 2010 - On the HTC EVO 4G: HTC Desire screen shot. Press the Home icon, ... Click
on the Device menu and select Screen Capture or use the CTRL-S key ...

HTC Evo 4G Apps Q

www.evo4gforum.net > HTC Evo Media and Miscellaneous - Cached

HTC Evo 4G Apps - Talk about HTC Evo 4G Apps here. ... Advanced search · Scratch-Proof your HTC Evo 4G · Best Screen Protector for HTC Evo 4G · Good Price on HTC Evo 4G ... Screen Capture (updated 9/27/10) « 1 2

Android Screenshots: No Root Required with EVO > AndroidGuys Q

www.androidguys.com/2010/05/.../android-screenshots-root-require... - Cached

May 24, 2010 – We tested this on a stock HTC **EVO** 4G distributed at Google I/O. Let us know in the comments if other **screen capture** apps work on your ...

How to take screenshots on the HTC EVO 4G

www.goodandevo.net/.../how-to-take-screenshots-on-the-htc-evo-4... - Cached

May 24, 2010 – **Evo**-ss In general, there are two ways to take screenshots on an Android phone: 1) root it and install a **screen capture** app and 2) connect to ...

Screen Capture/Print Screen App for EVO 2.2 - Android Forums Q

androidforums.com > ... > HTC EVO 4G > EVO 4G - Tips and Tricks - Cached

3 posts - 3 authors - Last post: Aug 11, 2010

I've read several post on screen capture, most of which seem to be for advanced users and also risk bricking your phone. Is there a screen ...



Examples scientific search

PubMed high fructose corn syrup and obesity Metabolic and behavioural effects of sucrose and fructose/glucose drinks in the rat. Sheludiakova A, Rooney K, Boakes RA. Eur J Nutr. 2011 Jul 29. [Epub ahead of print] PMID: 21800086 [PubMed - as supplied by publisher] Related citations The impact of **fructose** on renal function and blood pressure. 2. Kretowicz M, Johnson RJ, Ishimoto T, Nakagawa T, Manitius J. Int J Nephrol. 2011;2011:315879. Epub 2011 Jul 17. PMID: 21792388 [PubMed - in process] Free PMC Article Free full text Related citations The role of salt in the pathogenesis of **fructose**-induced hypertension. Soleimani M, Alborzi P. Int J Nephrol. 2011;2011:392708. Epub 2011 Jul 18. PMID: 21789281 [PubMed - in process] Free PMC Article Free full text Related citations Survey of American food trends and the growing **obesity** epidemic. Shao Q, Chin KV. Nutr Res Pract. 2011 Jun;5(3):253-9. Epub 2011 Jun 21. PMID: 21779530 [PubMed - in process] Free PMC Article Free full text Related citations **Obesity** and energy balance: is the tail wagging the dog? Wells JC, Siervo M. Eur J Clin Nutr. 2011 Jul 20. doi: 10.1038/ejcn.2011.132. [Epub ahead of print] PMID: 21772313 [PubMed - as supplied by publisher]

Related citations

Search



Examples discussion forum search

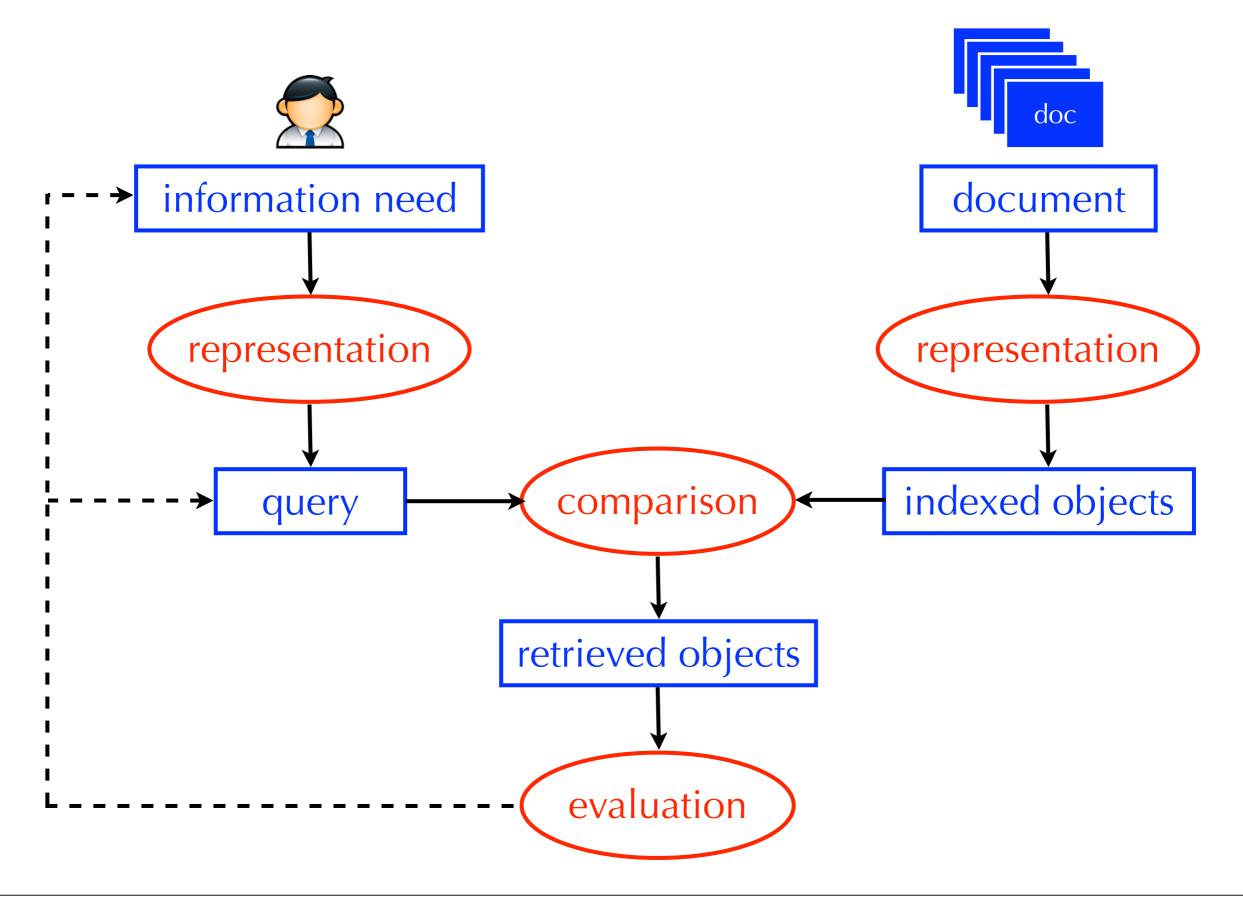
Q thunderbird installation

Search: Keyword(s): thunderbird, installation Showing results 1 to 25 of 38 Search took 0.02 seconds.								
	Thread / Thread Starter	Last Post	Replies	Views	Forum			
	Pre-Installed Mac Applications (1 2)	Jul 18, 2011 02:21 AM by <u>RasmusM</u>	<u>34</u>	1,953	Mac Applications and Mac App Store			
	Translucent mail notify BLOND37	Jun 12, 2011 11:45 PM by jive turkey	<u>8</u>	277	Mac Applications and Mac App Store			
	How do I move Thunderbird e-mail from PC to Mac donhnick	Oct 12, 2010 08:41 AM by tommcdonald	<u>z</u>	35,011	Mac Applications and Mac App Store			
	Re-installing 10.6 while preserving user data? Bunker	Feb 28, 2010 10:45 AM by <u>TonyK</u> 2	<u>5</u>	708	Mac OS X			
	New to MAC - Dissappointed - text size (1 2 3 4 5 6 Last Page) MariekeFJ	Jan 19, 2010 12:14 PM by <u>Don Crosswhite</u>	<u>157</u>	10,115	Mac Basics and Help			
	Anyone have to "switch back" due to \$\$? (1 1 2 3) Schtibbie	Oct 20, 2009 09:30 PM by Kat King123	<u>52</u>	2,688	<u>MacBook</u>			
	The Saga of Switching ready2switch	May 21, 2009 04:24 PM by Chris.L	4	493	Mac Basics and Help			
	Apple Mail vs Entourage DJAKO	May 8, 2009 06:30 PM by Benguitar ∑	20	16,768	Mac Applications and Mac App Store			
	Teacher accuses student using linux of copyright infringement! (13 1 2 3) LeoFio	Dec 15, 2008 10:14 AM by dilbert4life	<u>56</u>	1,763	Community Discussion			
	Timemachine Duplicates? MBX	Nov 27, 2008 09:16 AM by <u>scuac</u> 2	<u>18</u>	2,206	Mac OS X			

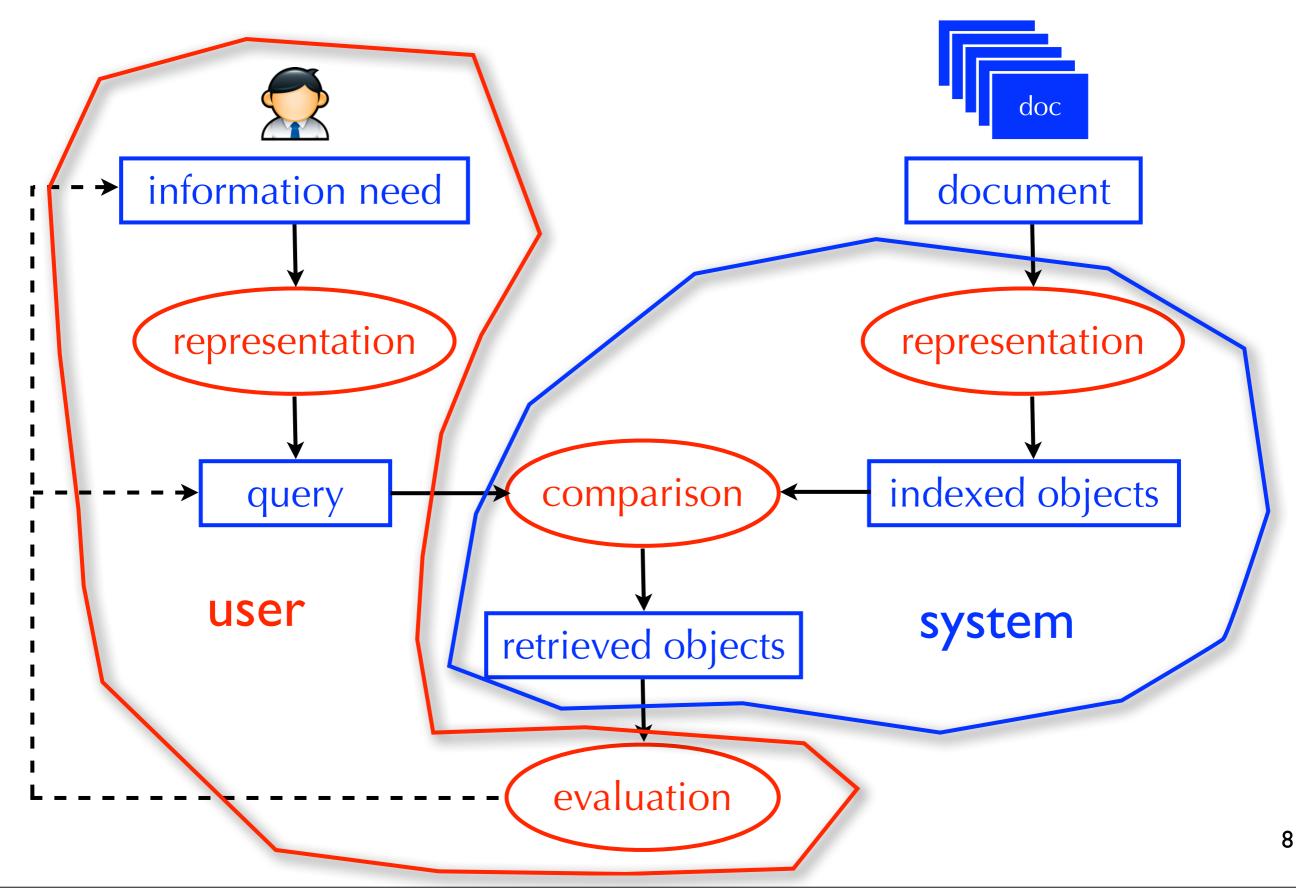
Ad-hoc Retrieval

- We will focus on non-web ad-hoc retrieval
 - more is known about how these systems work
 - more stable solutions not constantly tweaked
 - not heavily tuned using user-interaction data (e.g., clicks)
 - very common: digital libraries, government and corporate intranets, large information service providers (e.g., Thompson Reuters), social media, your own personal computers

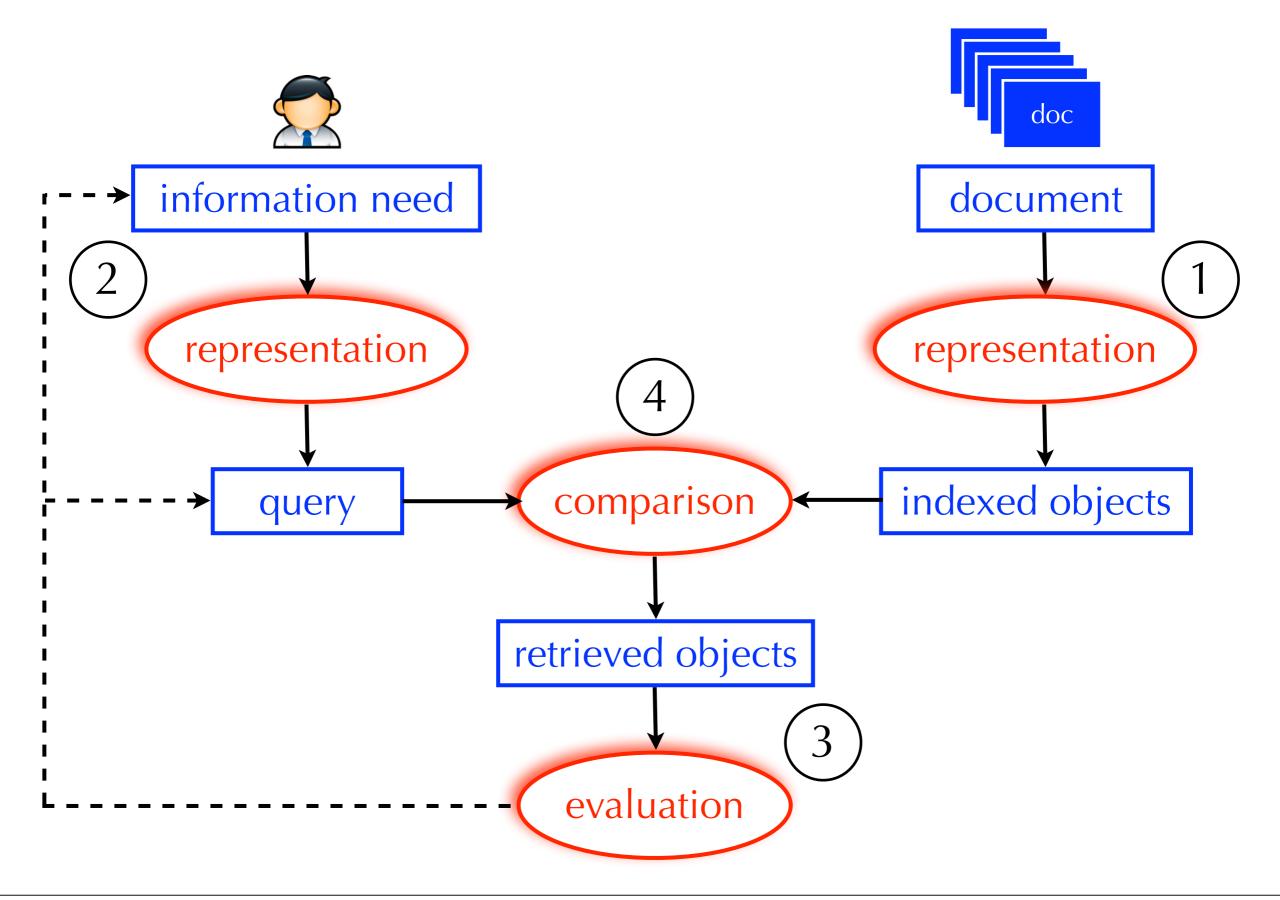
Basic Information Retrieval Process

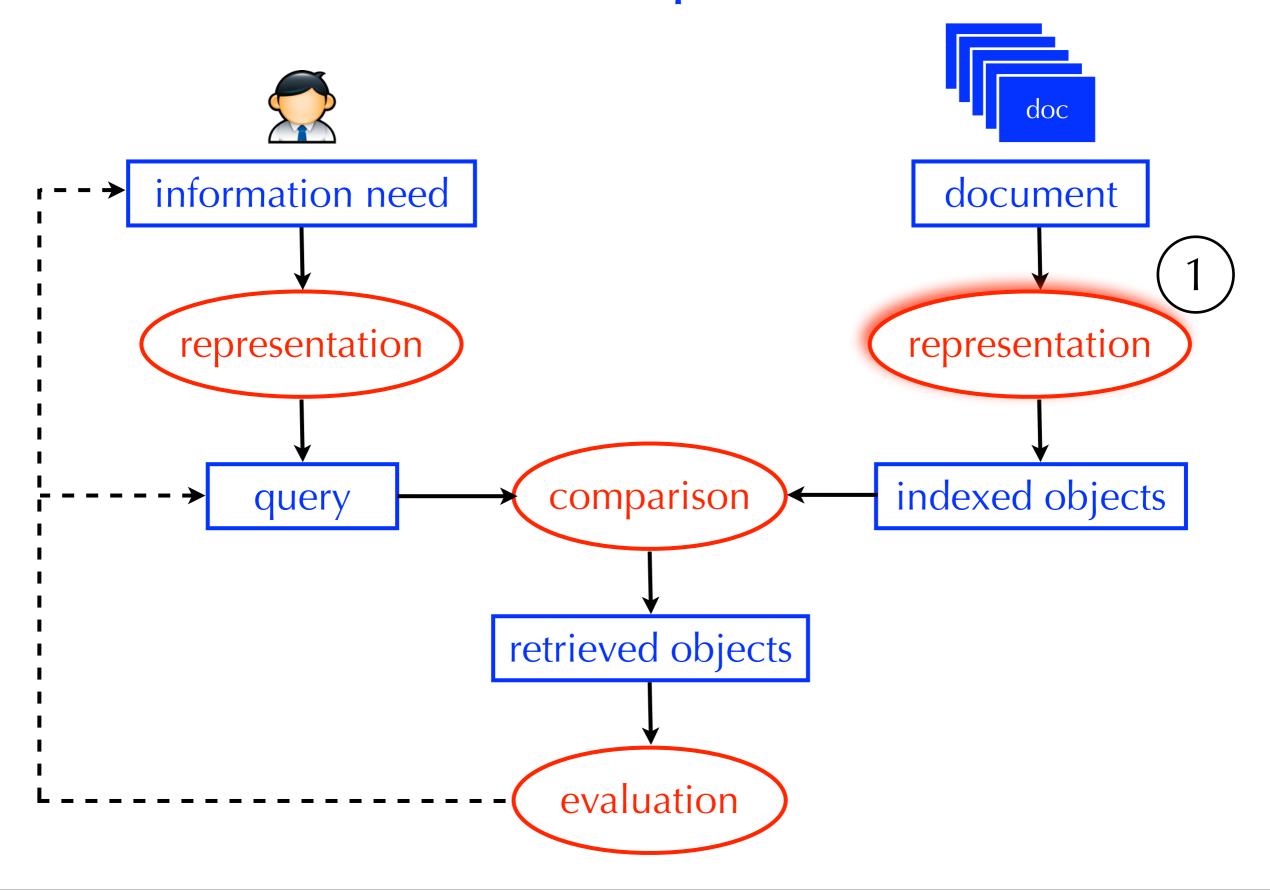


Basic Information Retrieval Process



Next Two Lectures





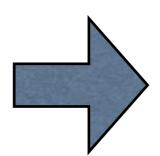
Most Basic View of a Search Engine

- A search engines <u>does</u>
 <u>not</u> scan each document
 to see if it satisfies the
 query
- That may be effective, but <u>not</u> efficient
- It uses an index to quickly locate the relevant documents
- Index: a list of concepts and pointers to documents that discuss them

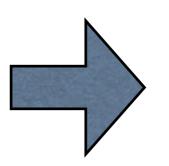
```
L<sub>2</sub> distance, 131
                                                Bayes' Rule, 220
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                                                Bayesian networks, 234
\delta codes, 104
                                                Bayesian prior, 226
                                                Bernoulli model, 263
\gamma encoding, 99
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                                                best-merge persistence, 388
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Bayes risk, 222
                                                case-folding, 30
```

Most Basic View of a Search Engine

input query: A/B testing



```
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                                                 Bayesian networks, 234
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 /0 loss, 221
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bag-of-words, 269
                                                 capture-recapture method, 435
                                                 cardinality
Bayes error rate 300
                                                   in clustering, 355
                                                 CAS topics, 211
```



document:
docid: 170

- So, what goes in the index is important!
- How might we combine concepts (e.g., patent search + A/B testing)?

- Document representation = deciding which concepts should go in the index
- Option 1 (controlled vocabulary): a set a manually constructed concepts that describe the major topics covered in the collection
- Option 2 (free-text indexing): the set of individual terms that occur in the collection

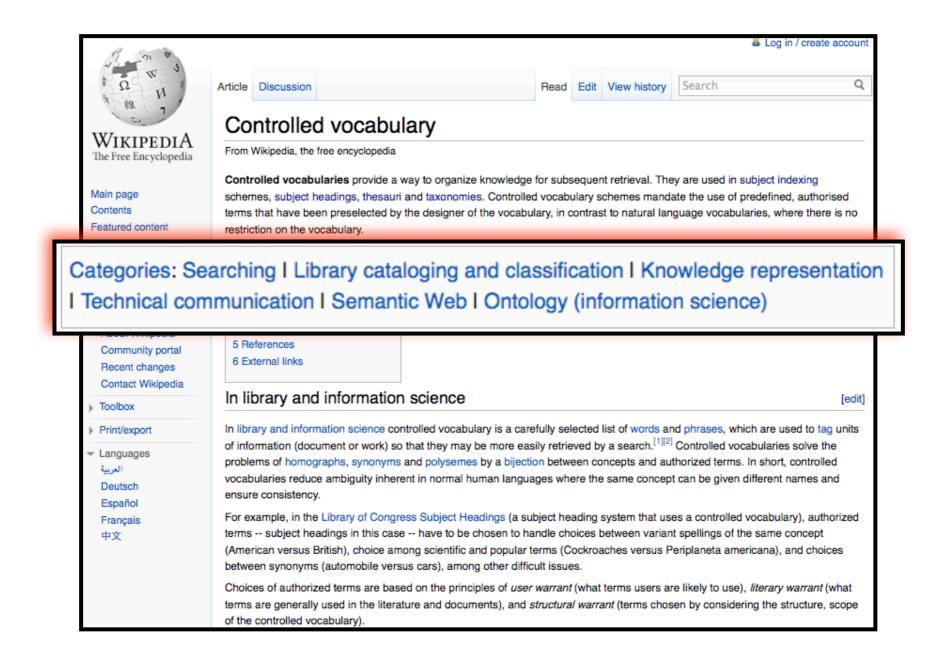
 If we view option 1 and option 2 as two extremes, where does this particular index fit in?

```
L_2 distance, 131
\chi^2 feature selection, 275
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                                                 case-folding, 30
```

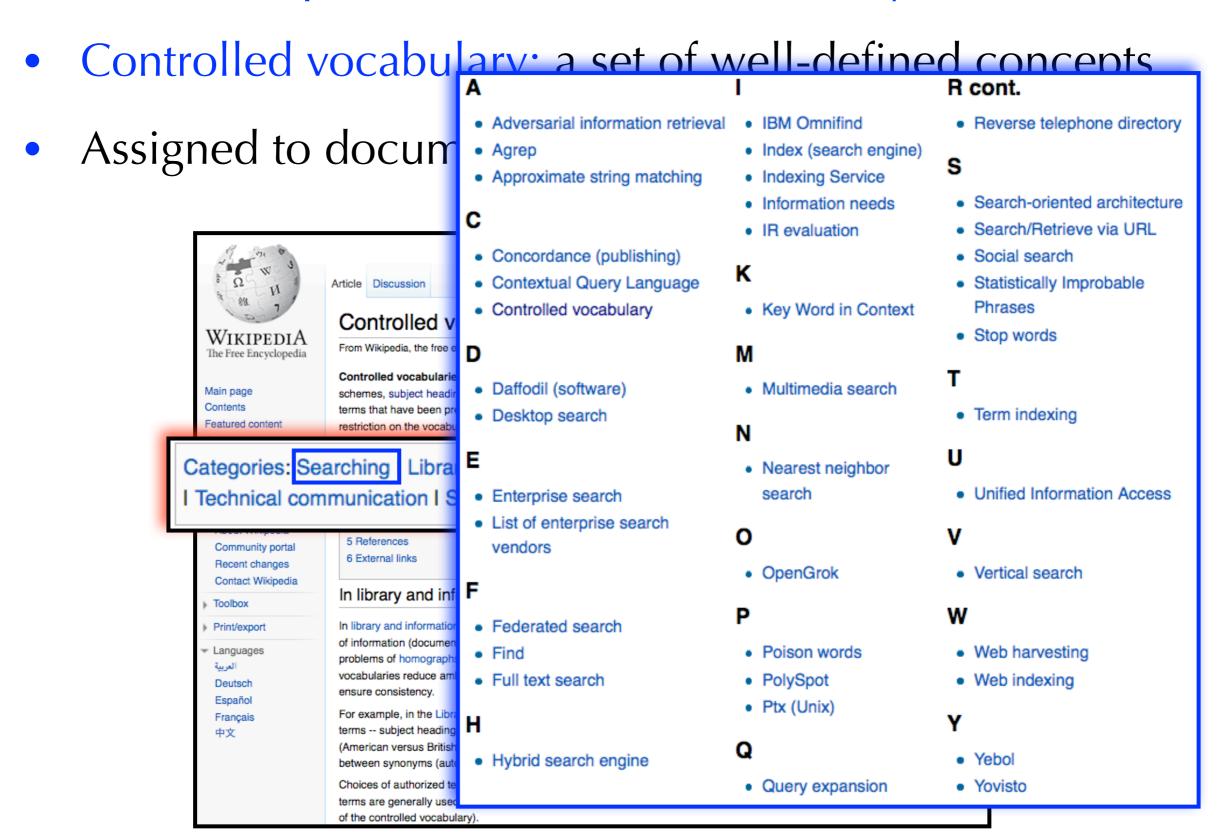
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capture-recapture method, 435
  in clustering, 355
CAS topics, 211
```

option 1: controlled vocabulary

- Controlled vocabulary: a set of well-defined concepts
- Assigned to documents by annotators (or automatically)

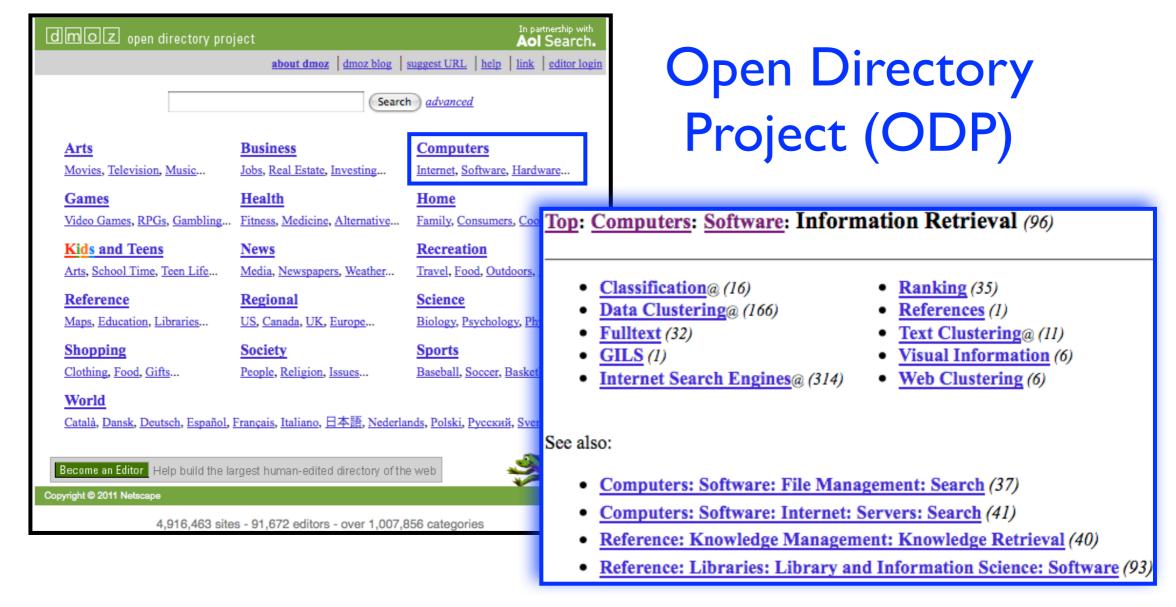


option 1: controlled vocabulary



Controlled Vocabularies

- May include (parent-child) relations b/w concepts
- Facilitates non-query-based browsing and exploration



- MeSH: Medical Subject Headings
- Created by the National Library of Medicine to index biomedical journals and books
- About 25,000 subject headings arranged in a hierarchy
- A heading can appear in multiple locations in the hierarchy
- Used to search PubMed



- 1. H Anatomy [A]
- 2. Drganisms [B]
- 3. Diseases [C]
- 4. Chemicals and Drugs [D]
- 5.

 Analytical, Diagnostic and Therapeutic Techniques and Equipment [E]
- 6.

 Psychiatry and Psychology [F]
- 7. Phenomena and Processes [G]
- 8. Disciplines and Occupations [H]
- 9.

 Anthropology, Education, Sociology and Social Phenomena [I]
- 10. Technology, Industry, Agriculture [J]
- 11. Humanities [K]
- 12. Information Science [L]
- 13. Named Groups [M]
- 14. Health Care [N]

1.

Anatomy [A] 2.
Organisms [B] Eukaryota [B01 o Archaea | BU2 | + o Bacteria [B03] + Viruses [B04] + Organism Forms [B0] 3. Diseases [C] 4. Chemicals and Drugs [D] 5.

Analytical, Diagnostic an 6. Psychiatry and Psycholog 7. Phenomena and Processe 8. Disciplines and Occupati 9.

Anthropology, Education 10. Technology, Industry, Ag 11. Humanities [K] 12.

Information Science [L] 13. Named Groups [M] 14. Health Care [N] 15.

Publication Characterist

16. Geographicals [Z]

MeSH Heading	Eukaryota
Tree Number	<u>B01</u>
Annotation	do not confuse with EUKARYOTIC CELLS; specific algae and protozoa are located under various groups treed under Eukaryota
Scope Note	One of the three domains of life (the others being BACTERIA and ARCHAEA), also called Eukarya. These are organisms whose cells are enclosed in membranes and possess a nucleus. They comprise almost all multicellular and many unicellular organisms, and are traditionally divided into groups (sometimes called kingdoms) including ANIMALS ; PLANTS ; FUNGI ; and various algae and other taxa that were previously part of the old kingdom Protista.
Entry Term	Eucarya
Entry Term	Eukarya
Entry Term	Eukaryotes
Allowable Qualifiers	CH CL CY DE EN GD GE IM IP ME PH PY RE UL VI
Previous Indexing	Eukaryotic Cells (1986-2009)
History Note	2010
Date of Entry	20090706
Unique ID	D056890

3.	Tree Number B01				
10. Technology, maustry, Ag 11. Humanities [K] 12. Information Science [L]	Allowable Qualifiers	CH CL CY DE EN GD GE IM IP ME PH PY RE UL VI			
13. → Named Groups [M] 14. → Health Care [N] 15. → Publication Characterist	Previous Indexing	Eukaryotic Cells (1986-2009)			
16.	History Note	2010			
	Date of Entry	20090706			
	Unique ID	D056890			

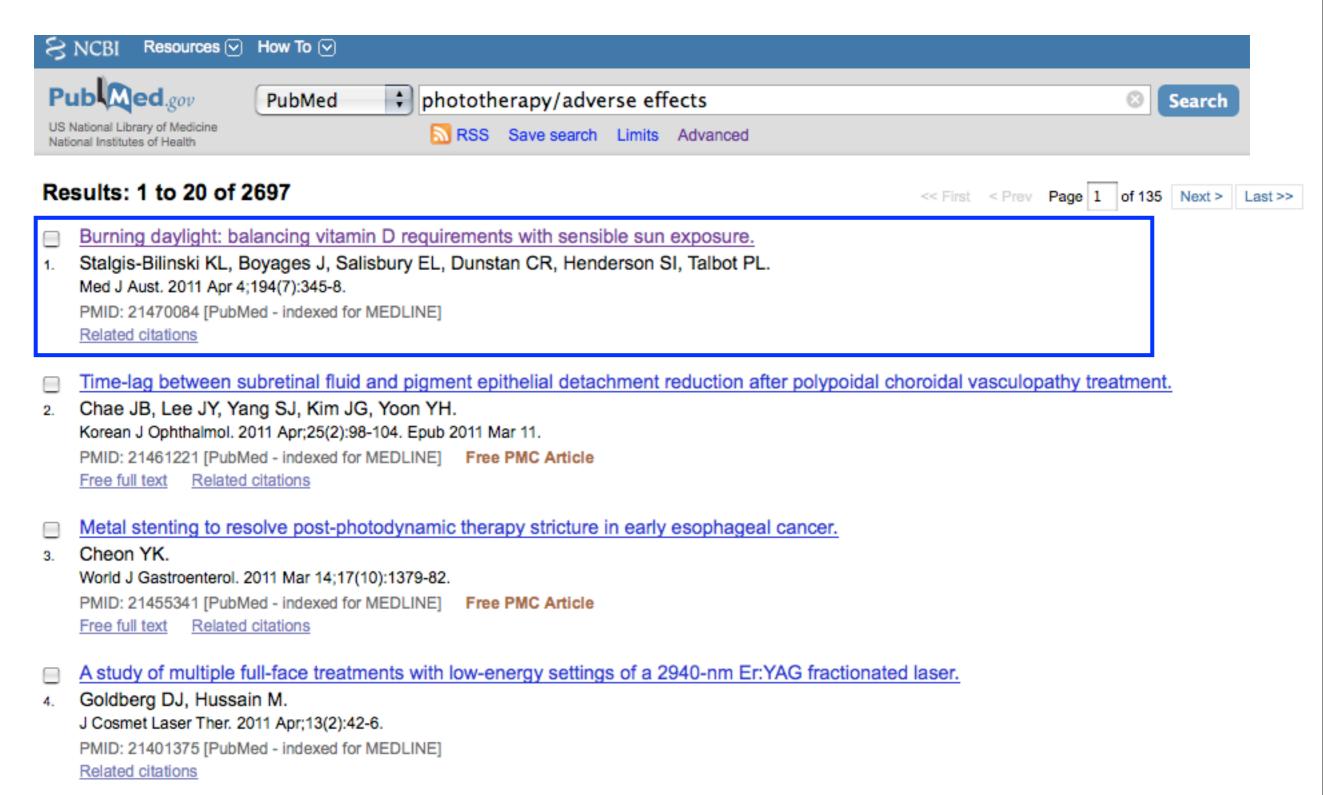
Controlled Vocabularies

example

S NCBI Resources How To ✓			
MeSH MeSH	ight therapy	•	Search
Phototherapy Treatment of disease by exposure to light, es Year introduced: 1981 PubMed search builder options Subheadings:	specially by variously concentrated light rays or spe	ecific wavelengths. sub-headings	
adverse effects classification contraindications economics history	instrumentation methods nursing psychology standards	statistics and numerical data supply and distribution trends utilization veterinary	
Therapeutics Phototherapy Color Therap Heliotherapy Laser Therap Photochemot Hema Ultraviolet Th	y, Low-Level herapy toporphyrin Photoradiation	Entry Terms: Phototherapies Therapy, Photoradiation Photoradiation Therapies Therapies, Photoradiation Light Therapy Light Therapies Therapies, Light Therapy, Light Photoradiation Therapy	

sub-tree within the hierarchy

entry-terms



Burning daylight: balancing vitamin D requirements with sensible sun exposure.

Stalgis-Bilinski KL, Boyages J, Salisbury EL, Dunstan CR, Henderson SI, Talbot PL.

Westmead Breast Cancer Institute, University of Sydney, Sydney, NSW, Australia. Kellie.Bilinski@bci.org.au

Abstract

OBJECTIVE: To examine the feasibility of balancing sunlight exposure to meet vitamin D requirements with sun protection guidelines.

DESIGN AND SETTING: We used standard erythemal dose and Ultraviolet Index (UVI) data for 1 June 1996 to 30 December 2005 for seven Australian cities to estimate duration of sun exposure required for fair-skinned individuals to synthesise 1000 IU (25 μ g) of vitamin D, with 11% and 17% body exposure, for each season and hour of the day. Periods were classified according to whether the UVI was < 3 or \geq 3 (when sun protection measures are recommended), and whether required duration of exposure was \leq 30 min, 31-60 min, or > 60 min.

MAIN OUTCOME MEASURE: Duration of sunlight exposure required to achieve 1000 IU of vitamin D synthesis.

RESULTS: Duration of sunlight exposure required to synthesise 1000 IU of vitamin D varied by time of day, season and city. Although peak UVI periods are typically promoted as between 10 am and 3 pm, UVI was often ≥ 3 before 10 am or after 3 pm. When the UVI was < 3, there were few opportunities to synthesise 1000 IU of vitamin D within 30 min, with either 11% or 17% body exposure.

CONCLUSION: There is a delicate line between balancing the beneficial effects of sunlight exposure while avoiding its damaging effects. Physiological and geographical factors may reduce vitamin D synthesis, and supplementation may be necessary to achieve adequate vitamin D status for individuals at risk of deficiency.

MeSH Terms

Australia

Dose-Response Relationship, Radiation

Guideline Adherence

Health Policy*

Heliotherapy/adverse effects

Heliotherapy/methods*

<u>Humans</u>

Seasons

Skin Pigmentation

Sunlight/adverse effects*

Time Factors

Vitamin D/biosynthesis*

Vitamin D Deficiency/prevention & control*

Controlled Vocabularies advantages

- Concepts do not need to appear explicitly in the text
- Relationships between concepts facilitate non-querybased navigation and exploration (e.g., ODP)
- Developed by experts who know the data and the users
- Represent the concepts/relationships that users (presumably) care the most about
- Describe the concepts that are most central to the document
- Concepts are unambiguous and recognizable (necessary for annotators and good for users)

option 2: free-text indexing

- Represent documents using terms within the document
- Which terms? Only the most descriptive terms? Only the unambiguous ones? All of them?
- Usually, all of them (a.k.a. <u>full-text</u> indexing)
- The user will use term-combinations to express higher level concepts
- Query terms will hopefully disambiguate each other (e.g., "volkswagen golf")
- The search engine will determine which terms are important (we'll talk about this during "retrieval models")

Free-text Indexing



- ▶ Toolbox
- ▶ Print/export
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Gerard Salton

From Wikipedia, the free encyclopedia

Gerard Salton (8 March 1927 in Nuremberg - 28 August 1995), also known as Gerry Salton, was a Professor of Computer Science at Cornell University. Salton was perhaps the leading computer scientist working in the field of information retrieval during his time. His group at Cornell developed the SMART Information Retrieval System, which he initiated when he was at Harvard.

Salton was born Gerhard Anton Sahlmann on March 8, 1927 in Nuremberg, Germany. He received a Bachelor's (1950) and Master's (1952) degree in mathematics from Brooklyn College, and a Ph.D. from Harvard in Applied Mathematics in 1958, the last of Howard Aiken's doctoral students, and taught there until 1965, when he joined Cornell University and co-founded its department of Computer Science.

Salton was perhaps most well known for developing the now widely used Vector Space Model for Information Retrieval^[1]. In this model, both documents and queries are represented as vectors of term counts, and the similarity between a document and a query is given by the cosine between the term vector and the document vector. In this paper, he also introduced TF-IDF, or term-frequency-inverse-document frequency, a model in which the score of a term in the a document is the ratio of the number of terms in that document divided by the frequency of the number of documents in which that term occurs. (The concept of inverse document frequency, a measure of specificity, had been introduced in 1972 by Karen Sparck-Jones^[2].) Later in life, he became interested in automatic text summarization and analysis^[3], as well as automatic hypertext generation^[4]. He published over 150 research articles and 5 books during his life.

Salton was editor-in-chief of the Communications of the ACM and the Journal of the ACM, and chaired SIGIR. He was an associate editor of the ACM Transactions on Information Systems. He was an ACM Fellow (elected 1995), received an Award of Merit from the American Society for Information Science (1989), and was the first recipient of the SIGIR Award for outstanding contributions to study of information retrieval (1983) -- now called the Gerard Salton Award.

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Article Discussion

Gerard Sal

From Wikipedia, the fre

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Free-text Indexing mark-up vs. content

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Free-text Indexing mark-up

- Describes how the content should be presented
 - e.g., your browser interprets html mark-up and presents the page as intended by the author
- Can also define relationships with other documents (e.g., hyperlinks)
- Can provide evidence of what text is important for search
- It may also provide useful, "unseen" information!

Free-text Indexing mark-up



Main page
Contents
Featured content
Current events
Random article
Donate to Wikipedia

▼ Interaction
 Help
 About Wikipedia
 Community portal
 Recent changes
 Contact Wikipedia

Gerard Salton (8 March 1927 in Nuremberg - 28 August 1995), also known as Gerry Salton, was a Professor of Computer Science at Cornell University. Salton was perhaps the leading computer scientist working in the field of information retrieval during his time. His group at Cornell developed the SMART Information Retrieval System, which he initiated when he was at Harvard.

Salton was born Gerhard Anton Sahlmann on March 8, 1927 in Nuremberg, Germany. He received a Bachelor's (1950) and Master's (1952) degree in mathematics from Brooklyn College, and a Ph.D. from Harvard in Applied Mathematics in 1958, the last of Howard Aiken's doctoral students, and taught there until 1965, when he joined Cornell University and co-founded its department of Computer Science.

Salton was perhaps most well known for developing the now widely used Vector Space Model for Information Retrieval^[1]. In this model, both documents and queries are represented as vectors of term counts, and the similarity between a document and a query is given by the cosine between the term vector and the document vector. In this paper, he also introduced TF-IDF, or term-frequency-inverse-document frequency, a model in which the score of a term in the a

 ACM

LanguagesDeutschEspañolBahasa Indonesia

ell as automatic hypertext generation". He published over 150 research articles and 5 books during his life.

Salton was editor-in-chief of the Communications of the ACM and the Journal of the ACM, and chaired SIGIR. He was an associate editor of the ACM Transactions on Information Systems. He was an ACM Fellow (elected 1995), received an Award of Merit from the American Society for Information Science (1989), and was the first recipient of the SIGIR Award for outstanding contributions to study of information retrieval (1983) -- now called the Gerard Salton Award.

Log in / create account

Q

Gerard Salton (8 March 1927 in Nuremberg - 28 August 1995), also known as Gerry Salton, was a Professor of Computer Science at Cornell University. Salton was perhaps the leading computer scientist working in the field of information retrieval during his time. His group at Cornell developed the SMART Information Retrieval System , which he initiated when he was at Harvard.

Step 1: mark-up removal

```
Gerard Salton (8 March 1927 in
Nuremberg 28 August 1995), also known as Gerry Salton,
was a Professor of
```

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cornell university salton was perhaps the leading
computer scientist working in the field of
information retrieval during his time. his group at
cornell developed the
smart information retrieval system
which he initiated when he was at harvard.
```

- Step 2: down-casing
- Can change a word's meaning, but we do it anyway
 - Information = information ???
 - \blacktriangleright SMART = smart ???

gerard salton 8 march 1978 in nuremberg 28 august 1995 also know as gerry salton was professor of computer science at cornell university salton was perhaps the leading computer scientist working in the field of information retrieval during his time his group at cornell developed the smart information retrieval system which he initiated when he was at harvard

- Step 3: tokenization
- Tokenization: splitting text into words (in this case, sequences of alpha-numeric characters)
- Problematic cases: ph.d. = pd d, isn't = isn t

Free-text Indexing text-processing

gerard salton 8 march 1978 in nuremberg 28 august 1995 also know as gerry salton was professor of computer science at cornell university salton was perhaps the leading computer scientist working in the field of information retrieval during his time his group at cornell developed the smart information retrieval system which he initiated when he was at harvard

- Step 4: stopword removal
- Stopwords: words that we choose to ignore because we expect them to <u>not</u> be useful in distinguishing between relevant/non-relevant documents for <u>any</u> query

Free-text Indexing text-processing

gerard salton 8 march 1978 nuremberg 28 august 1995 know gerry salton professor computer science cornell university salton perhaps leading computer scientist working field information retrieval time group cornell developed smart information retrieval system initiated harvard

- Step 4: stopword removal
- Stopwords: words that we choose to ignore because we expect them to not be useful in distinguishing between relevant/non-relevant documents for <u>any</u> query

Free-text Indexing text-processing

gerard salton 8 march 1978 nuremberg 28 august 1995 gerry salton professor computer science cornell university salton leading computer scientist working field information retrieval during time group cornell developed smart information retrieval system initiated harvard

 Step 5: do this to every document in the collection and create an index using the union of all remaining terms

Document Representation

controlled vocabulary vs. free-text indexing

	Cost of assigning index terms	Ambiguity of index terms	Detail of representation
Controlled Vocabularies	High/Low?	Ambiguous/ Unambiguous?	Can represent arbitrary level of detail?
Free-text Indexing	High/Low?	Ambiguous/ Unambiguous?	Can represent arbitrary level of detail?

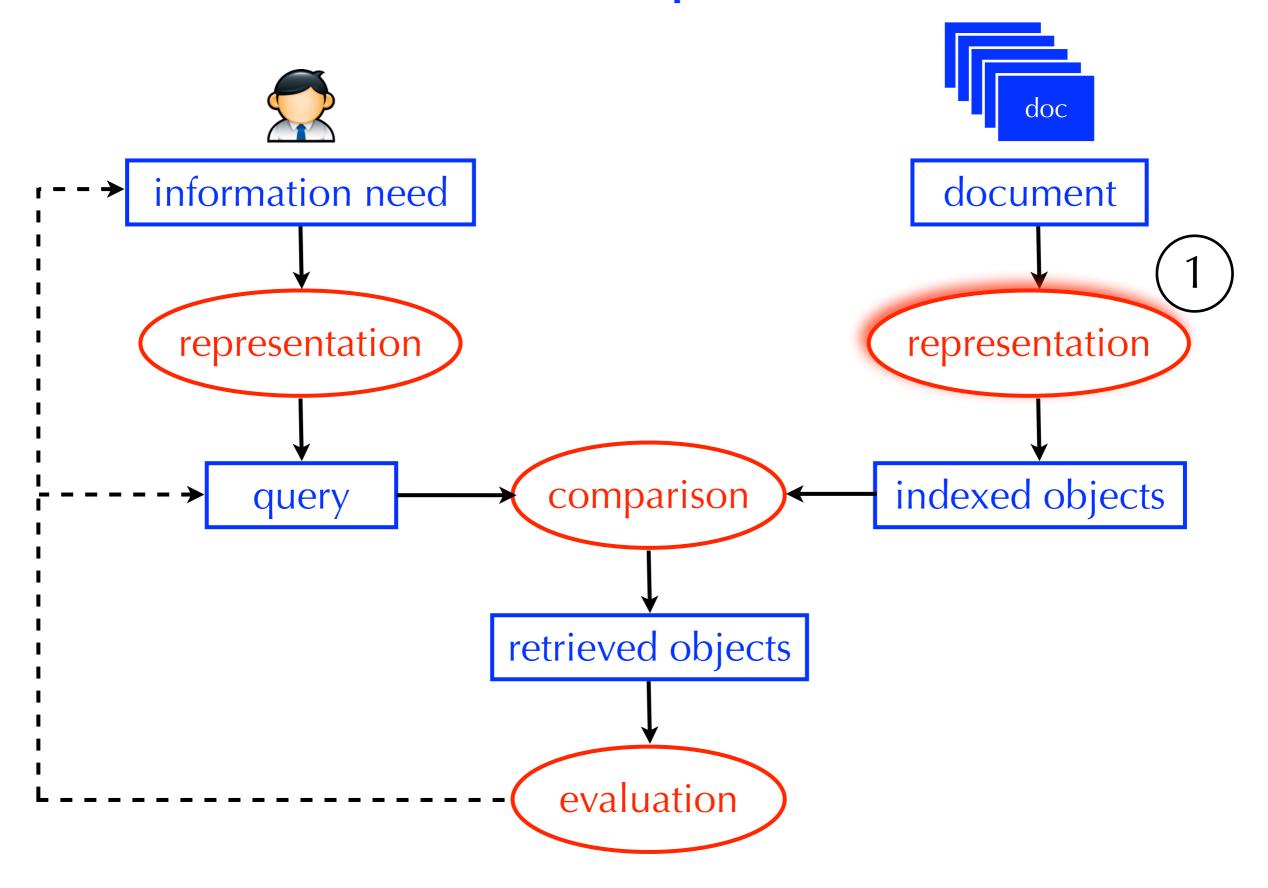
Document Representation

controlled vocabulary vs. free-text indexing

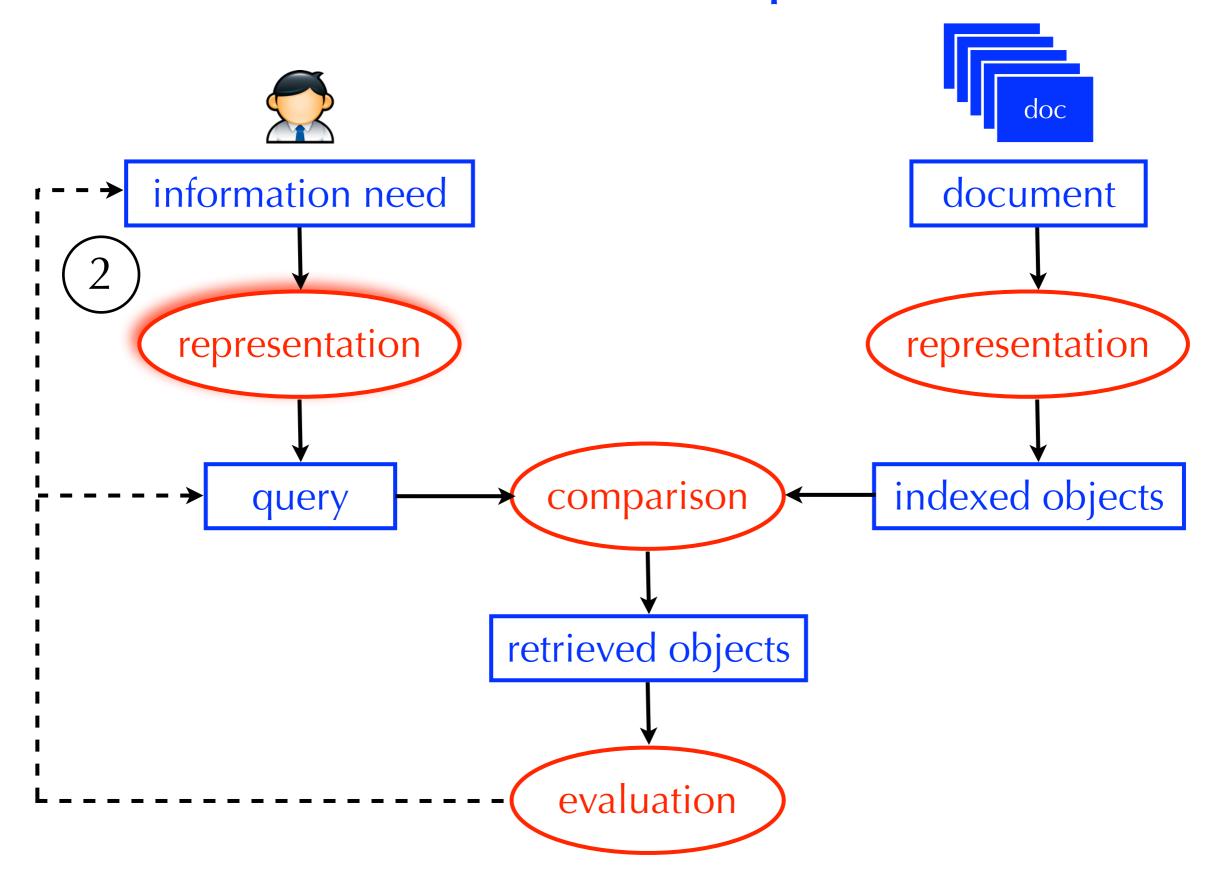
	Cost of assigning index terms	Ambiguity of index terms	Detail of representation
Controlled Vocabularies	High	Not ambiguous	Can't represent arbitrary detail
Free-text Indexing	Low	Can be ambiguous	Any level of detail

- Both are effective and used often
- We will focus free-text indexing in this course
 - cheap and easy
 - most search engines use it (even those that adopt a controlled vocabulary)

Document Representation

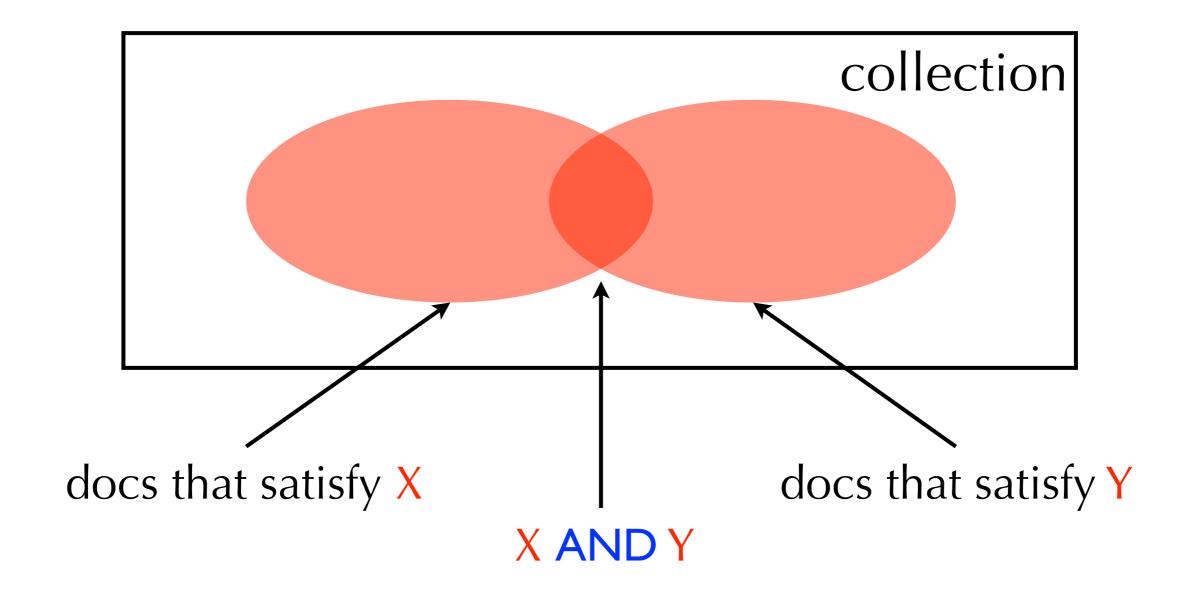


Information Need Representation

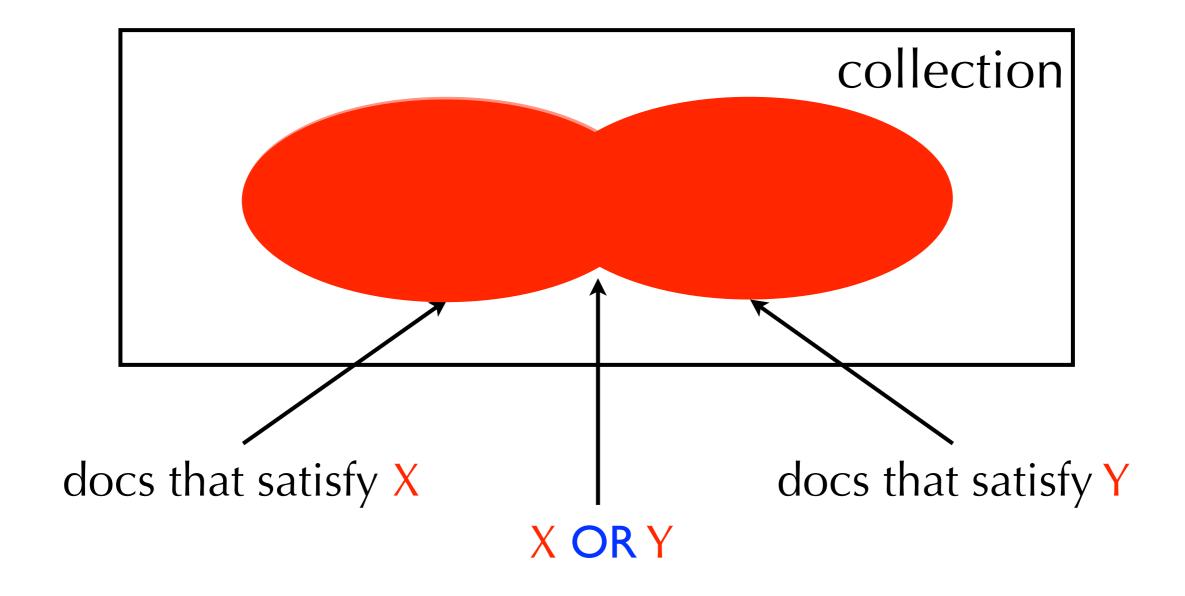


- Assumption: the user can represent their information need using boolean constraints: AND, OR, and AND NOT
 - lincoln
 - president AND lincoln
 - president AND (lincoln OR abraham)
 - president AND (lincoln OR abraham) AND NOT car
 - president AND (lincoln OR abraham) AND NOT (car OR automobile)
- Parentheses can specify the order of operations
 - A OR (B AND C) does not equal (A OR B) AND C

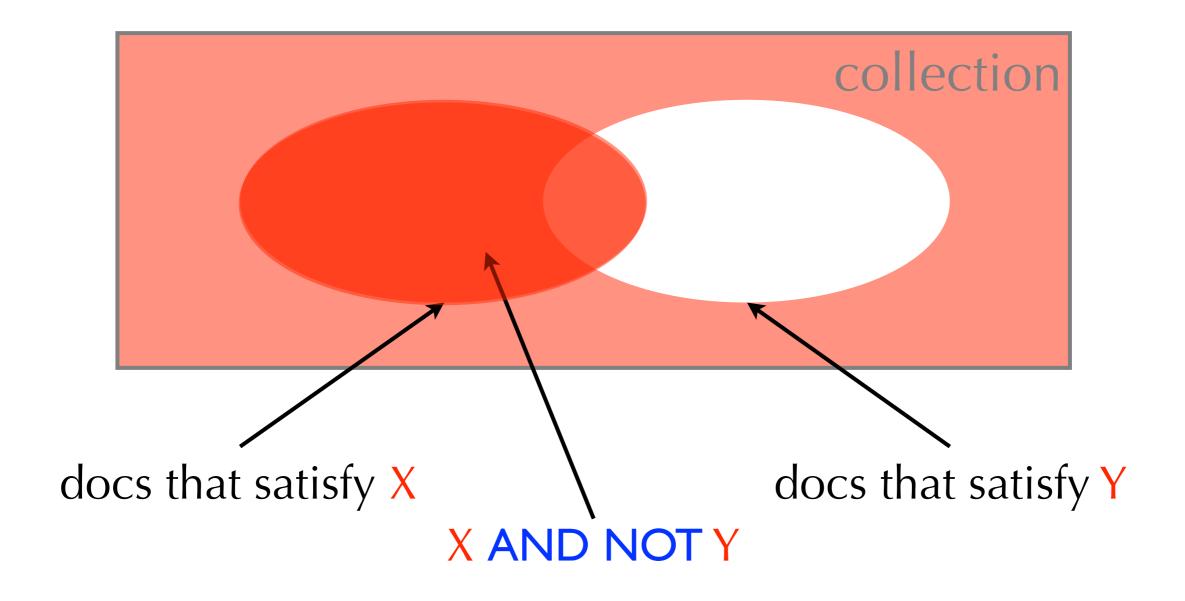
X AND Y



X OR Y



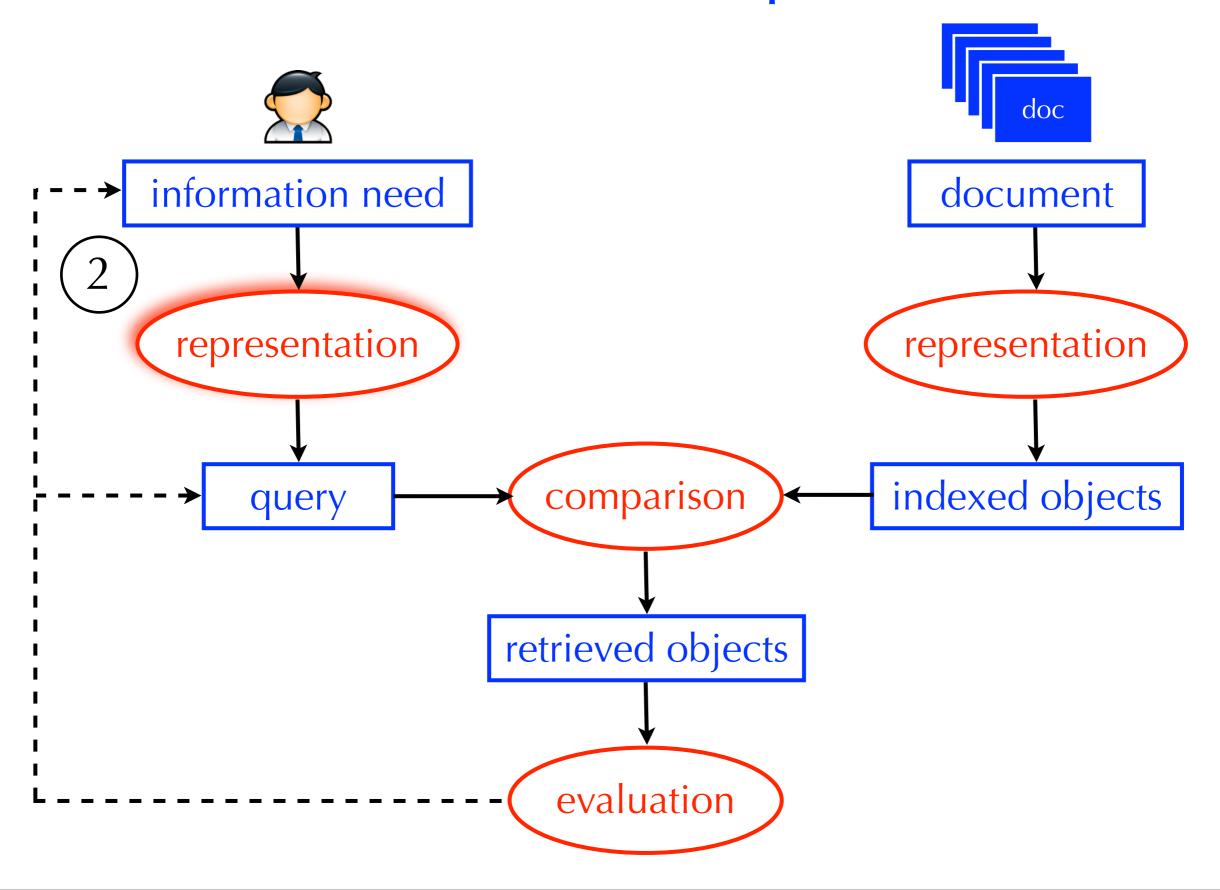
X AND NOT Y



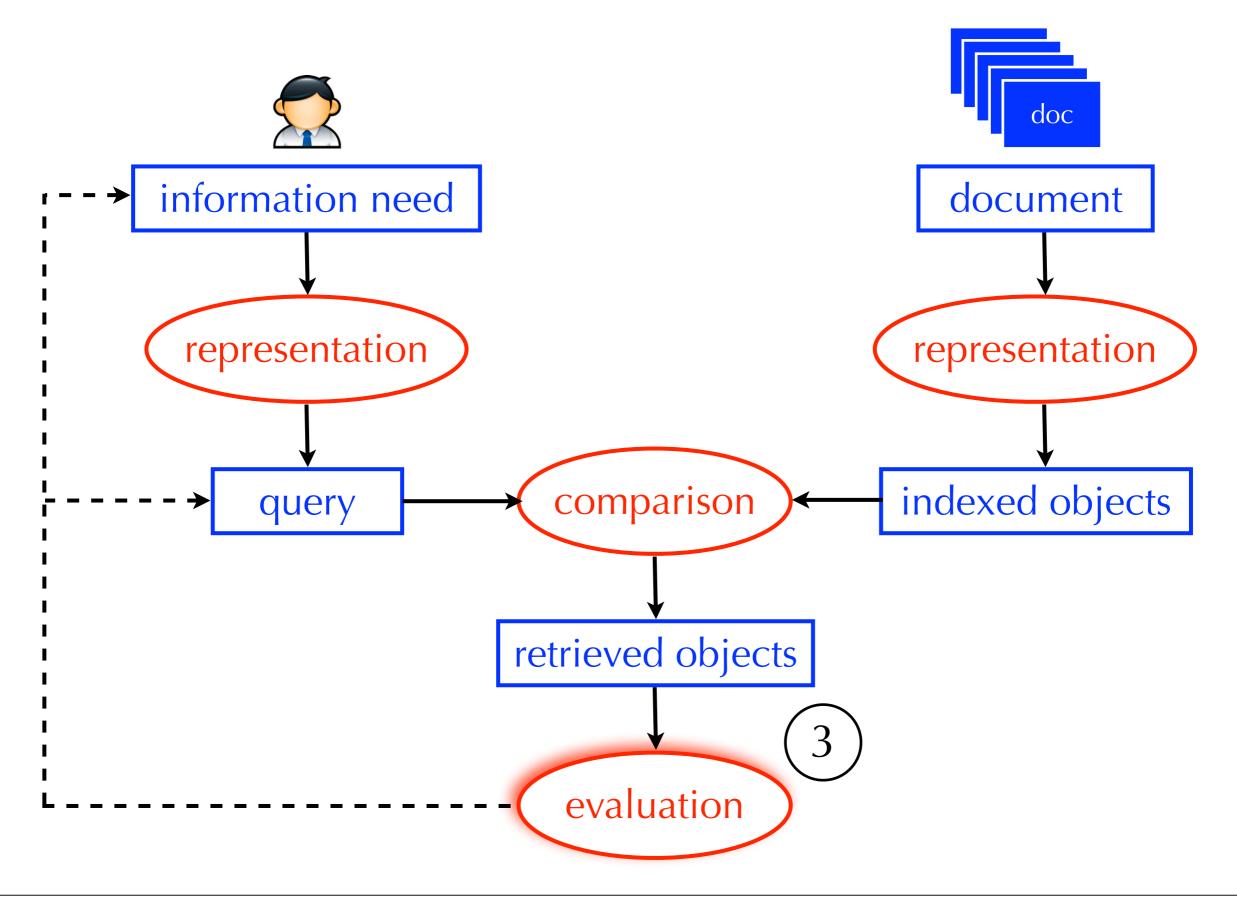
advantages

- Easy for the system (no ambiguity in the query)
 - the burden is on the user to formulate the right query
- The user gets transparency and control
 - ▶ lots of results → the query is too broad
 - ▶ no results → the query is too narrow
- Common strategy for finding the right balance is:
 - if the query is too broad, add AND or AND NOT constraints
 - if the query is too narrow, add OR constraints

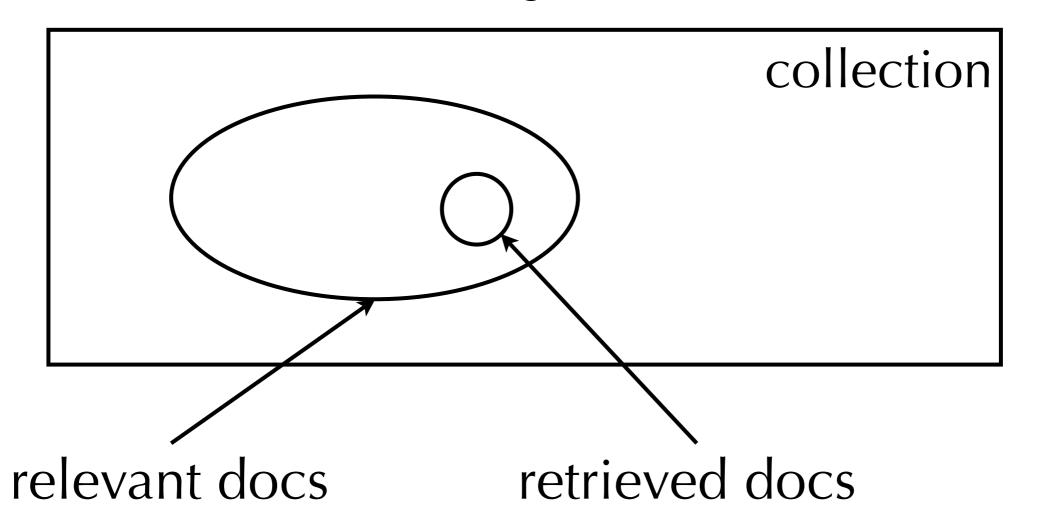
Information Need Representation



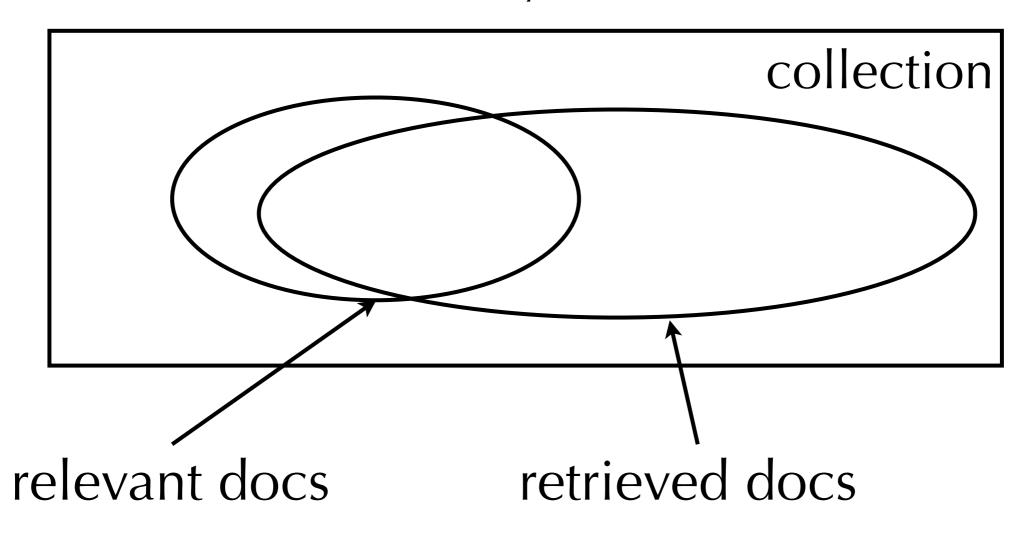
Evaluation



- Assumption: the user wants to find all the relevant documents and only the relevant documents
- If the query is too <u>specific</u>, it may retrieve relevant documents, but not enough



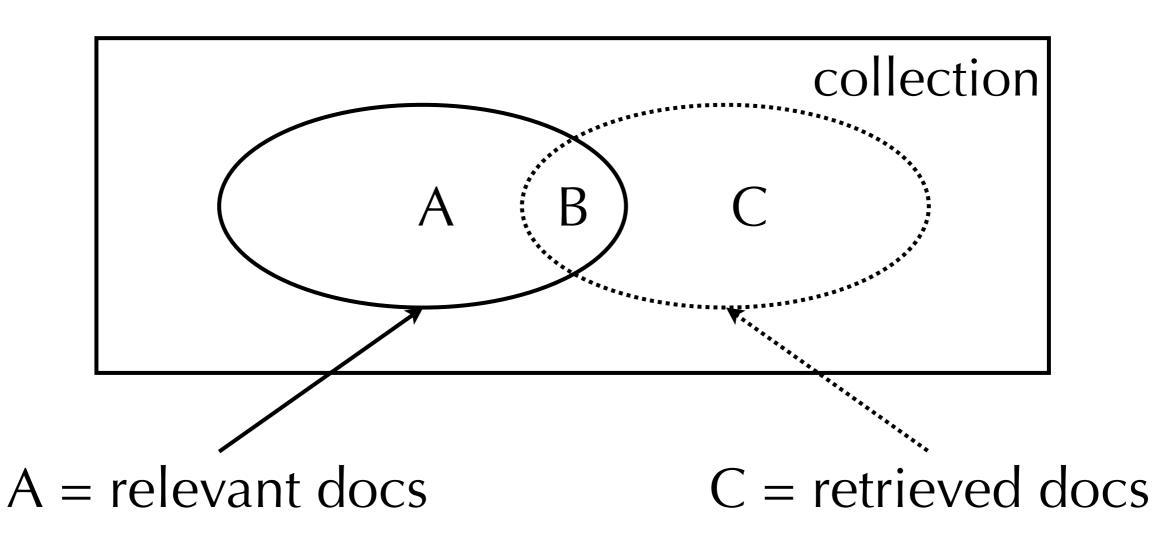
- Assumption: the user wants to find all the relevant documents and only the relevant documents
- If the query is too <u>broad</u>, it may retrieve many relevant documents, but also many non-relevant ones



- Assumption: the user wants to find all the relevant documents and only the relevant documents
- The goal of the user is to find the right balance between precision and recall
- Precision: the percentage of retrieved documents that are relevant
- Recall: the percentage of relevant documents that are retrieved
- These are important evaluation measures that we will see over and over again

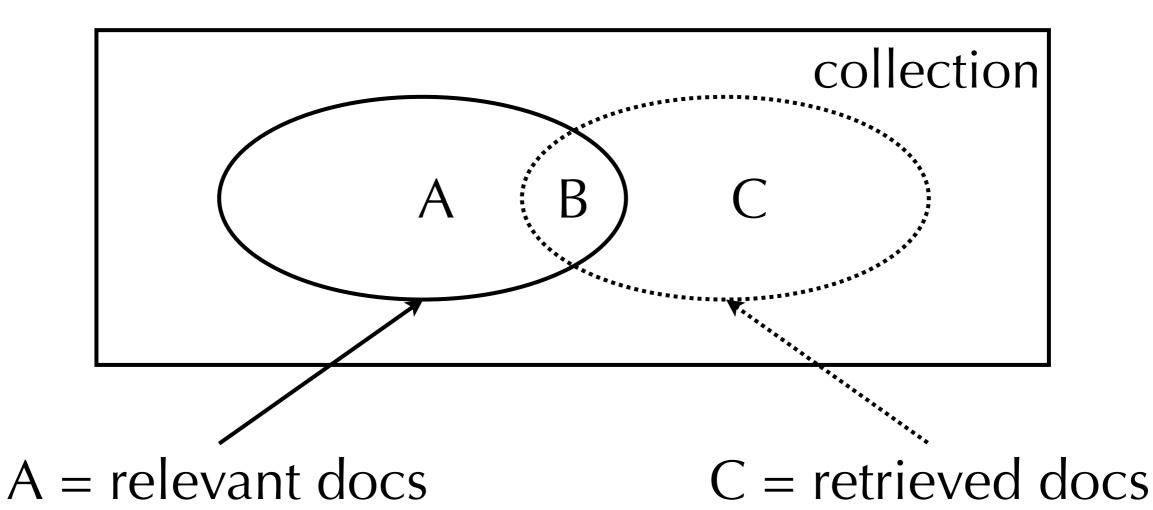
Boolean Retrieval evaluation

• Precision =



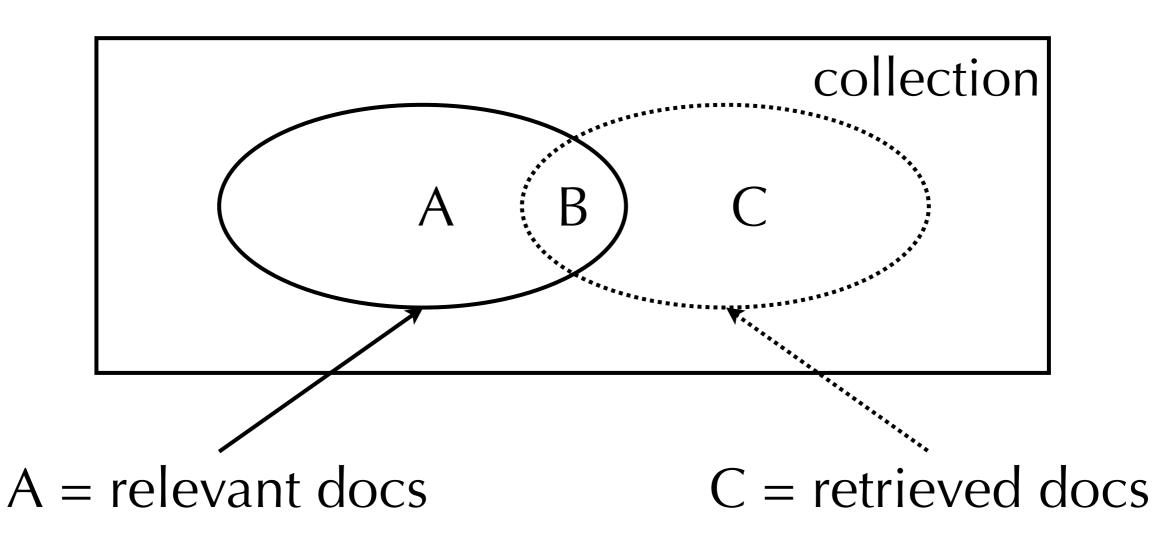
evaluation

• Precision =
$$\frac{|B|}{|C|}$$



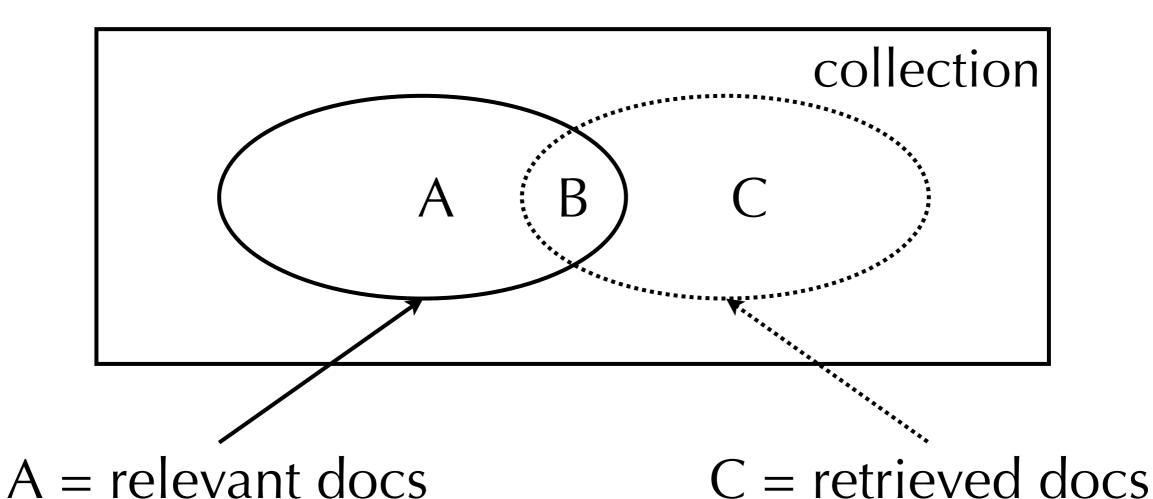
evaluation

• Recall =



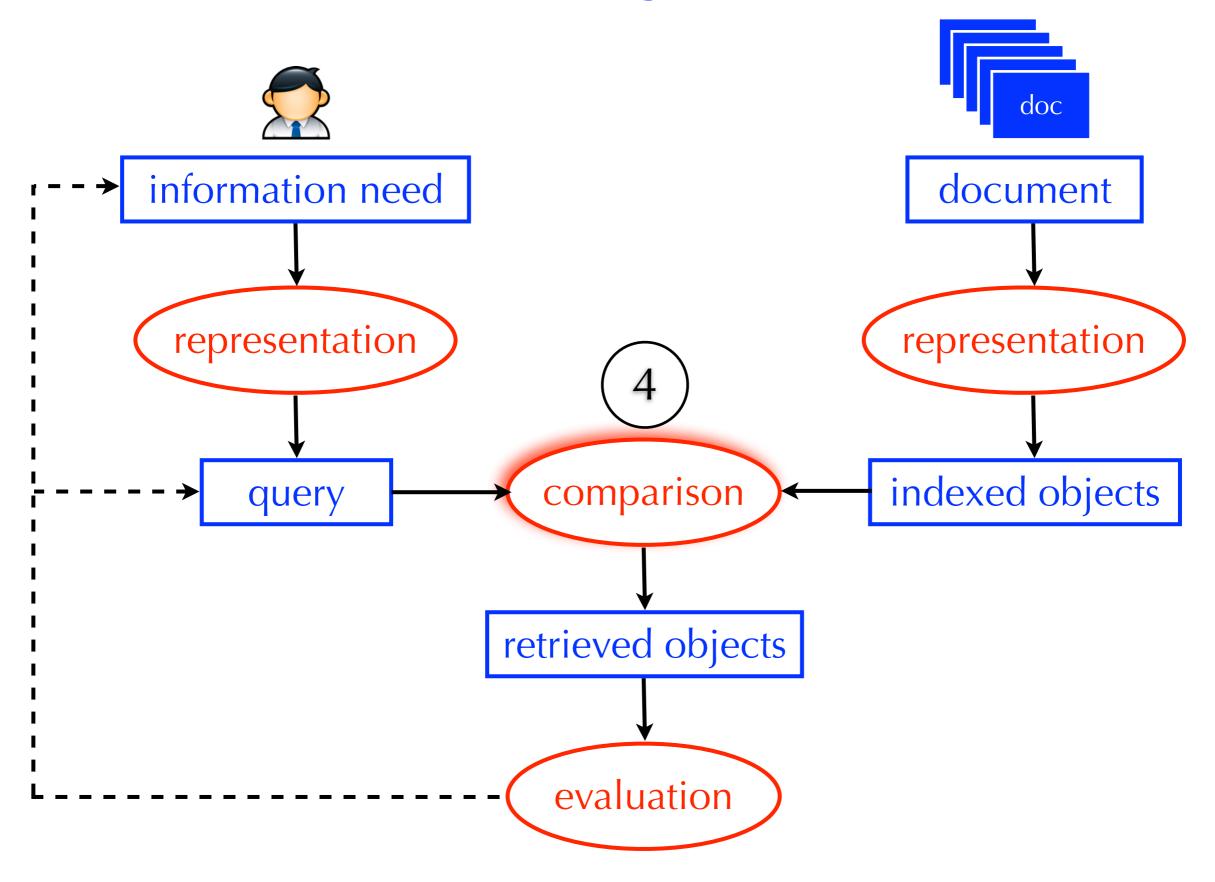
evaluation

• Recall =
$$\frac{|B|}{|A|}$$



- If the query is too specific, precision may be high, but recall will probably be low
- If the query is too broad, recall may be high, but precision will probably be low
- Extreme cases:
 - a query that retrieves a single <u>relevant</u> document will have perfect <u>precision</u>, but low <u>recall</u> (unless only that one document is relevant)
 - a query that retrieves the entire collection will have perfect recall, but low precision (unless the entire collection is relevant)

Performing Retrieval



Most Basic View of a Search Engine

- A search engines <u>does</u>
 <u>not</u> scan each document
 to see if it satisfies the
 query
- That may be effective, but <u>not</u> efficient
- It uses an index to quickly locate the relevant documents
- Index: a list of concepts and pointers to documents that discuss them

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```

Indexing and Query Processing

- Next, we will see two types of indices and how they can be used to retrieve documents quickly
- Bit-map index vs. variable-length inverted-list index
- In particular, we'll focus on how they can be used to evaluate boolean queries
- Both produce the same output
- However, they go about it in different ways

Binary Full-text Representation bitmap index

	а	aardvark	abacus	abba	able	•••	zoom
doc_I		0	0	0	0	•••	I
doc_2	0	0	0	0	I	•••	I
::	::	::	::	::	::	•••	0
doc_m	0	0		I	0	•••	0

- 1 = the word appears in the document at least once
- 0 = the word does <u>not</u> appear in the document
- Does not represent word frequency, order, or location information

Binary Full-text Representation bitmap index

	a	aardvark	abacus	abba	able	•••	zoom
doc_I	I	0	0	0	0	•••	ı
doc_2	0	0	0	0	I	•••	I
::	::	::	::	::	::	•••	0
doc_m	0	0	I	1	0	•••	0

- This type of document representation is known as a bag of words representation
- Term location information is lost
 - dog bites man = man bites dog
- Simplistic, but surprisingly effective for search

Binary Full-text Representation

bitmap index

	а	aardvark	abacus	abba	able	•••	zoom
_doc_I	I	0	0	0	0	•••	I
doc_2	0	0	0	0	ı	•••	I
••	::	••	::	::	::	•••	0
doc_m	0	0	I	ı	0	•••	0

- Every indexed term is associated with an inverted list
- Inverted list: marks the docs where the term appears at least once
- This type of inverted list is called a bit-vector
- In a bit-map index, all inverted lists (or vectors) have equal length

Query: Jack AND Jill

```
doc_1 Jack and Jill went up the hill
doc_2 To fetch a pail of water.
doc_3 Jack fell down and broke his crown,
doc_4 And Jill came tumbling after.
doc_5 Up Jack got, and home did trot,
doc_6 As fast as he could caper,
doc_7 To old Dame Dob, who patched his nob
doc_8 With vinegar and brown paper.
```

Query: Jack AND Jill

docid	text	Jack	Jill
doc_I	Jack and Jill went up the hill	I	ı
doc_2	To fetch a pail of water.	0	0
doc_3	Jack fell down and broke his crown,	I	0
doc_4	And Jill came tumbling after.	0	I
doc_5	Up Jack got, and home did trot,	I	0
doc_6	As fast as he could caper,	0	0
doc_7	To old Dame Dob, who patched his nob	0	0
doc_8	With vinegar and brown paper.	0	0

Query: Jack AND Jill

	Jack	Jill	Jack AND Jill
doc_I	I		I
doc_2	0	0	0
doc_3	I	0	0
doc_4	0	I	0
doc_5	I	0	0
doc_6	0	0	0
doc_7	0	0	0
doc_8	0	0	0

Query: Jack OR Jill

	Jack	Jill	Jack OR Jill
doc_I			
doc_2	0	0	0
doc_3	I	0	I
doc_4	0		I
doc_5	I	0	I
doc_6	0	0	0
doc_7	0	0	0
doc_7 doc_8	0	0	0

Query: Jack AND (up OR down)

	иþ	down	up OR down	Jack	Jack AND (up OR down)
doc_I	I	0		I	
doc_2	0	0	0	0	0
doc_3	0	I		I	I
doc_4	0	0	0	0	0
doc_5	I	0		I	I
doc_6	0	0	0	0	0
doc_7	0	0	0	0	0
doc_8	0	0	0	0	0

Query: Jack AND NOT Jill

	Jack	Jill	Jack AND NOT Jill
doc_I	I	I	
doc_2	0	0	
doc_3	I	0	
doc_4	0	l	
doc_5	I	0	
doc_6	0	0	
doc_7	0	0	
doc_8	0	0	

Query: Jack AND NOT Jill

	Jack	Jill	NOT Jill	Jack AND NOT Jill
doc_I	I		0	0
doc_2	0	0	I	0
doc_3	I	0	I	
doc_4	0	I	0	0
doc_5	I	0	I	
doc_6	0	0	I	0
doc_7	0	0	I	0
doc_8	0	0		0

The Binary Full-text Representation

	а	aardvark	abacus	abba	able	•••	zoom
doc_I		0	0	0	0	•••	I
doc_2	0	0	0	0	I		I
::	••	••	••	::	::	•••	0
doc_m	0	0	I	I	0	•••	0

- These are <u>fixed-length</u> inverted lists, each of size *m* (the number of documents in the collection)
- Are these inverted lists efficient in terms of storage?

Statistical Properties of Text

sneak preview!

- IMDB collection (movies, artist/role, plot descriptions)
 - number of documents: 230,721
 - number of unique terms: 424,035
 - number of term occurrences: 36,989,629
- Term Statistics
 - Most terms occur very infrequently
 - 44% of all terms occur only once
 - > 77% occur 5 times or less
 - 85% occur 10 times or less
 - Only 6% occur 50 times or more

Sparse Representation of an Inverted List

- Most terms appear in only a few documents
- Most bit-vectors have many 0's and only a few 1's
- A bitmap index is very inefficient
- Alternative: represent only the 1's:
 - aardvark: 00101011....
 - aardvark: *df* = 18; 3, 5, 7, 8, ...
- *df* = number of documents in which the term appears at least once
- Each document has a unique identifier (docid)

Inverted Index Full-text Representation

а	aardvark	abacus	abba	able	•••	zoom
df=3421	df=22	df=19	df=2	df=44		df=1
	33	2	33	66		54
33	56	10	150	134		
45	86	15		176		
••	::	••		••		
1022	1011	231		432		

- Variable-length inverted lists
- Each document has a unique identifier (docid)
- Why are the inverted lists sorted by docid?
- Why do we store the df's in the index?

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=3
	I	
3	3	3
5	4	5
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=1
I	I	
3	3	
5	4	
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=2
I		
3	3	3
5	4	
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=2
	ĺ	
3	3	3
5	4	
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=3
I	I	
3	3	3
5	4	5
	5	
	8	

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list <u>and</u> (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=3
1	I	I
3	3	3
5	4	5
	5	
	8	

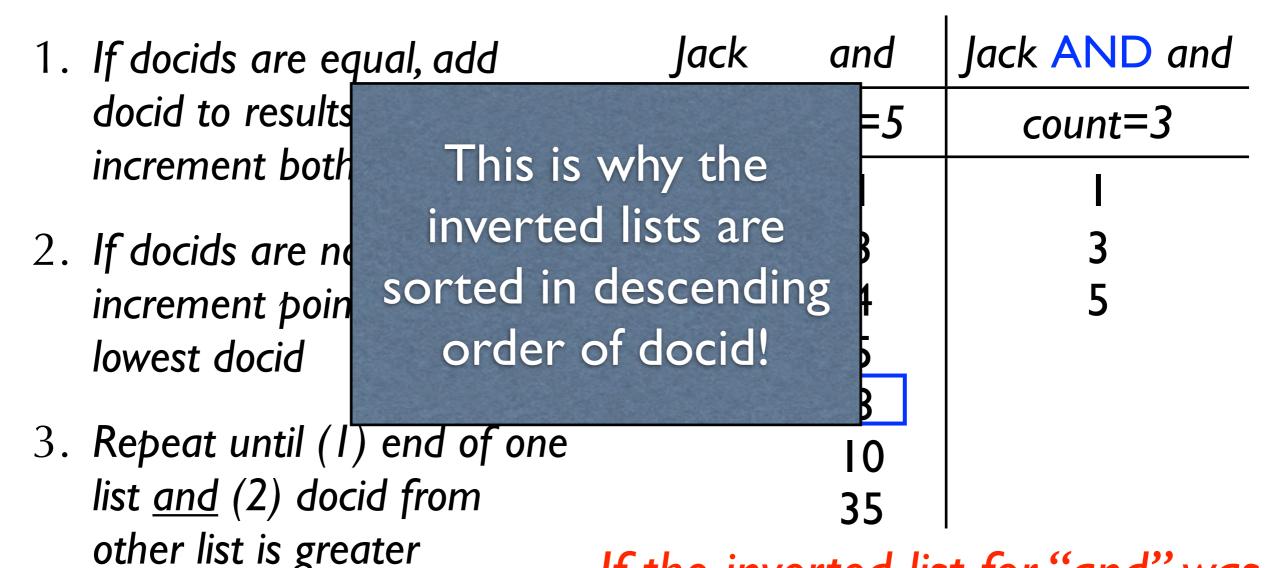
stop!

- Query: Jack AND and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, increment pointer with lowest docid
- 3. Repeat until (1) end of one list and (2) docid from other list is greater

Jack	and	Jack AND and
df=3	df=5	count=3
ı	I	I
3	3	3
5	4	5
	5	
	8	
	10	
	35	

If the inverted list for "and" was longer, would it make sense to continue? Why or why not? 82

Query: Jack AND and



If the inverted list for "and" was longer, would it make sense to continue? Why or why not? 83

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
3	3	3
5	4	4
	5	5
	8	8

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
3	3	
5	4	
	5	
	8	

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

	Jack	and	Jack OR and
	df=3	df=5	count=5
-			I
	3	3	3
	5	4	
		5	
		Q	

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
I		
3	3	3
5	4	4
	5	
	8	

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
ı		
3	3	3
5	4	4
	5	5
	8	

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
1	I	
3	3	3
5	4	4
	5	5
	8	8

stop!

- Query: Jack OR and
- 1. If docids are equal, add docid to results and increment both pointers
- 2. If docids are not equal, add lowest docid and increment its pointer
- 3. Repeat until end of <u>both</u> lists

Jack	and	Jack OR and
df=3	df=5	count=5
3	3	3
5	4	4
	5	5
	8	8

Which is more expensive (on average) AND or OR?

- In some cases, the search engine has a choice in the order of operations
- Query: Abraham AND Lincoln AND President
 - option 1: (Abraham AND Lincoln) AND President
 - option 2: Abraham AND (Lincoln AND President)
 - option 3: (Abraham AND President) AND Lincoln
- Which is <u>probably</u> the most effective order of operations?

 Which is <u>probably</u> the most effective order of operations?

president	abraham	lincoln
df=302	df=45	df=5
XX	XX	XX
••	••	
XX	XX	

- Retrieves the set of documents that match the boolean query (an "exact-match" retrieval model)
- Returns results in no particular order (ordered by date?)
- This is problematic with <u>large</u> collections
 - requires complex queries to reduce the result set to a manageable size
- Can we do better?

University	North	Carolina	UNC
df=6	df=4	df=3	df=5
1,4	1,4	1,4	1,4
10, 1	10, 5	10, 5	10, 1
15, 2	16, 1	16, 1	16, 4
16, 1	68, I		33, 2
33, 5			56, 10
67 , 7			

- docid = document identifier
- tf = term frequency (# of times the term appears in the document)

- At each step, keep a list of documents that match the query and their scores (a.k.a. a "priority queue")
- Score computation:
 - A AND B: adjust the document score based on the minimum frequency/score associated with expression A and expression B
 - A OR B: adjust the document score based on the sum of frequencies/scores associated with expression A and expression B

University	North	Carolina	UNC
df=6	df=4	df=3	df=5
1,4	1,4	1,4	1,4
10, 1	10, 5	10, 5	10, 1
15, 2	16, 1	16, 1	16, 4
16, 1	68, I		33, 2
33, 5			56, 10
68, 7			

- AND → min
- $OR \rightarrow sum$

University	North	Carolina	Result_I
df=6	df=4	df=3	count=??
1,4	1,4	1,4	
10, 1	10, 5	10, 5	
15, 2	16, 1	16, 1	
16, 1	68, I		
33, 5			
68, 7			

- AND → min
- $OR \rightarrow sum$

University	North	Carolina	Result_I
df=6	df=4	df=3	count=3
1,4	1,4	1,4	1,4
10, 1	10, 5	10, 5	10, 1
15, 2	16, 1	16, 1	16, 1
16, I	68, I		
33, 5			
68, 7			

- AND → min
- $OR \rightarrow sum$

Result_I	UNC	Query
count=3	df=5	count=??
1,4	1,4	
10, 1	10, 1	
16, 1	16, 4	
	33, 2	
	56, 10	

- AND → min
- $OR \rightarrow sum$

Result_I	UNC	Query
count=3	df=5	count=5
1,4	1,4	1,8
10, 1	10, 1	10, 2
16, 1	16, 4	16, 5
	33, 2	33, 2
	56, 10	56, IO

- AND → min
- $OR \rightarrow sum$

• Query: (University AND North AND Carolina) OR UNC

University	North	Carolina	UNC	Query
df=6	df=4	df=3	df=5	count=5
1,4	1,4	1,4	1,4	1,8
10, 1	10, 5	10, 5	10, 1	10, 2
15, 2	16, 1	16, 1	16, 4	16, 5
16, 1	68, I		33, 2	33, 2
33, 5			56, 10	56, 10
68, 7				

 The scores correspond to the number of ways in which the document <u>redundantly</u> satisfies the query

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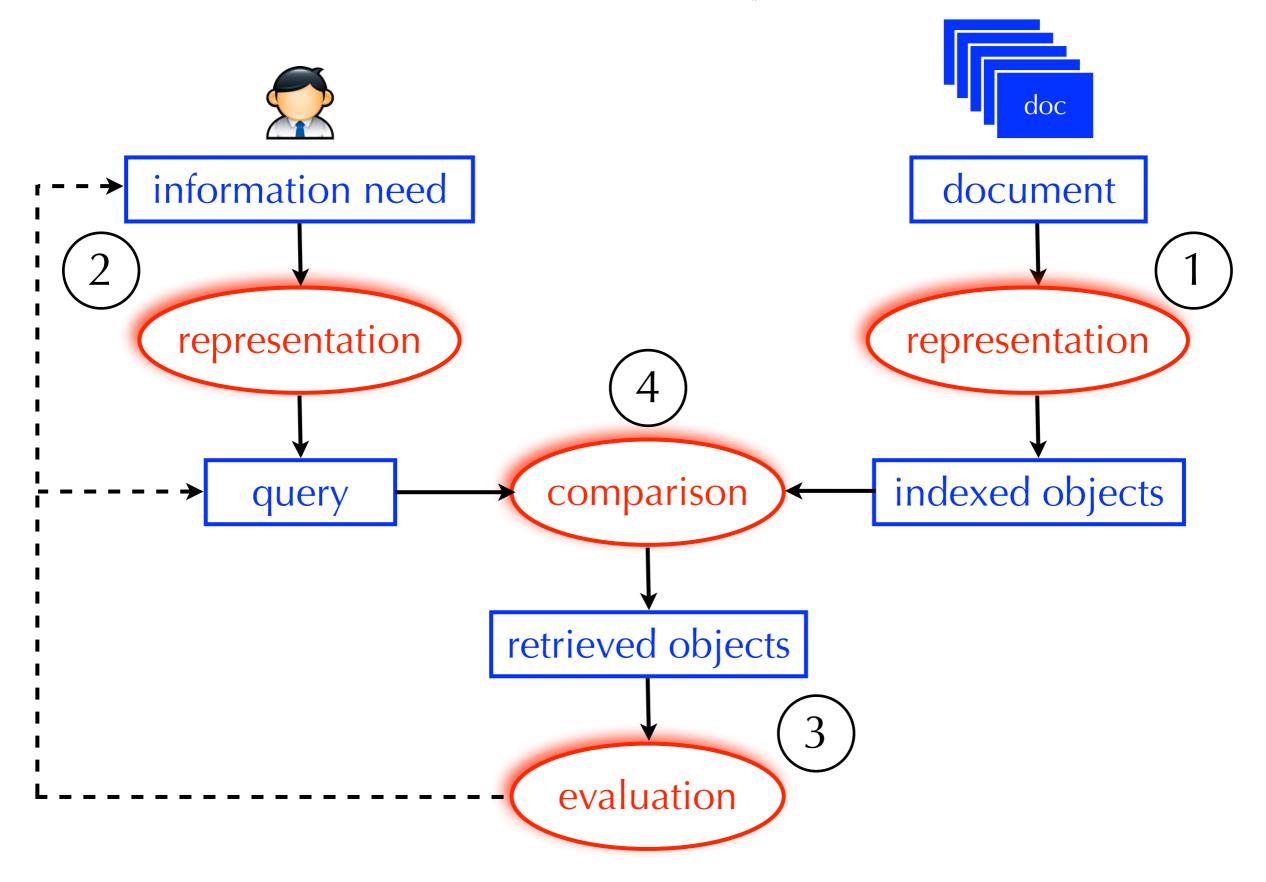
Advantages:

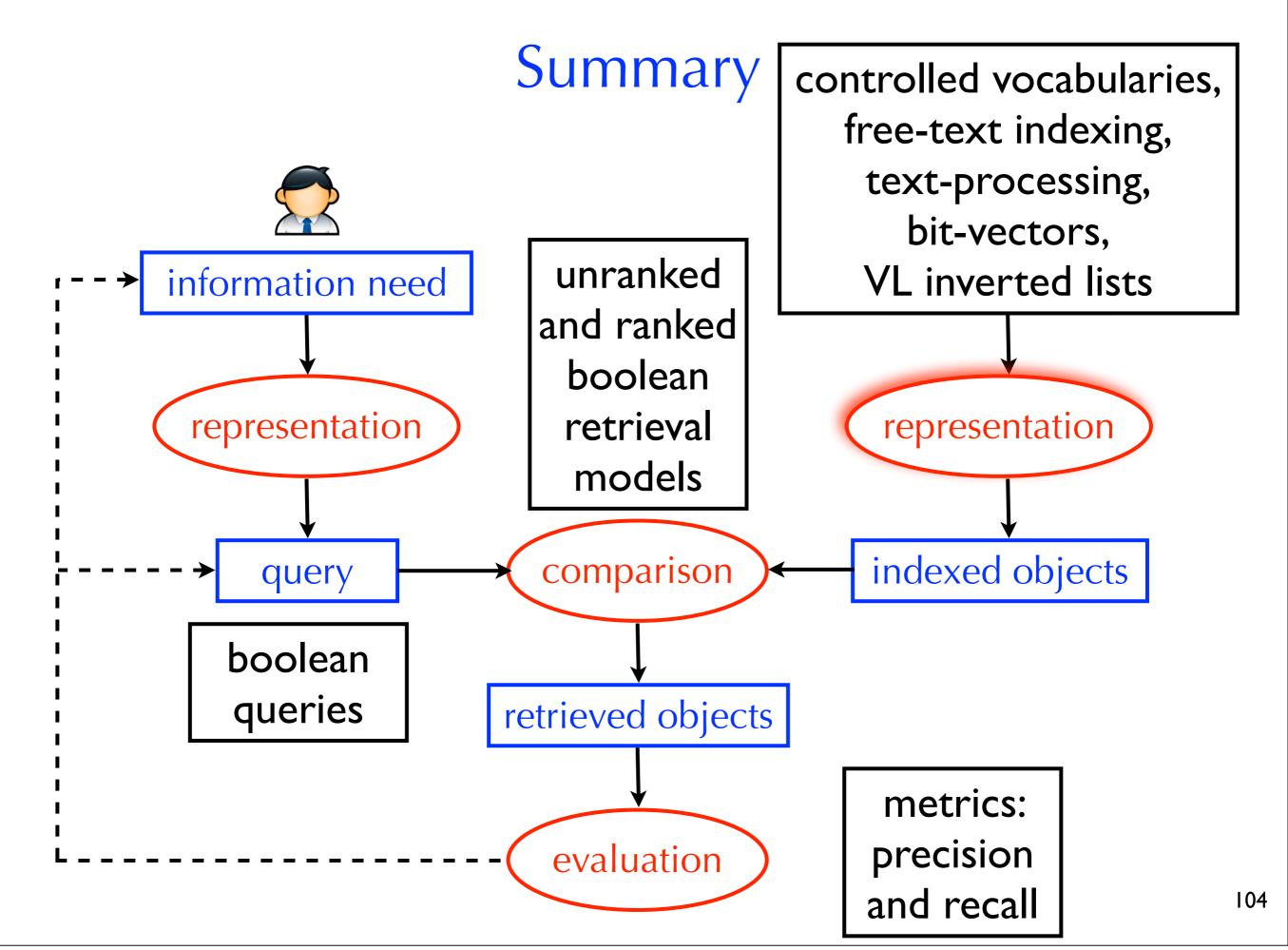
- same as unranked boolean: efficient, predictable, easy to understand, works well when the user knows what to look for
- the user may be able to find relevant documents quicker and may not need to examine the entire result set

Disadvantages:

- same as unranked boolean: works well when the user knows what to look for
- difficult to balance precision and recall

Summary





Take Home Message

- Congratulations! Now, you know how a boolean search engine works
- How are indexes structured?
- How are boolean queries processed quickly?
- How are boolean retrieval sets evaluated?
- What are some time-saving hacks?