

Interacting Effects of Genetic Predisposition and Depression on Adolescent Smoking Progression

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Objective: The goal of the present study was to identify specific genetic associations with smoking progression in adolescents and to determine whether these genetic effects on smoking practices are potentiated by depression symptoms.

Method: Effects of dopamine transporter (*SLC6A3*) and dopamine receptor (*DRD2*) genetic variants on smoking progression were evaluated in a cohort of 615 adolescents, including those who had never smoked, and in a subgroup including only adolescents who had been exposed to nicotine (i.e., smoked at least a puff of a cigarette) (*N*=292). These adolescents were followed from 9th to 11th grade. Depression symptoms were also assessed.

Results: In the model of adolescents with a previous smoking experience, the

likelihood of progressing to a higher level of smoking by the 11th grade increased almost twofold with each additional *DRD2* A1 allele. The likelihood of smoking progression with each additional A1 allele was more pronounced among adolescents with substantial depression symptoms. The model including never-smokers revealed no significant genetic effects. Neither model revealed effects of *SLC6A3*.

Conclusions: These results provide the first evidence, to the authors' knowledge, for an association of the *DRD2* A1 allele with smoking progression among adolescents. This effect is potentiated by depression symptoms. These effects appear to be specific to adolescents who have had at least some nicotine exposure (i.e., at least a puff of a cigarette).

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Adolescent smoking is a major public health problem, yet much remains to be learned about why some adolescents progress from smoking experimentation to regular smoking while others do not. Individual differences in genetic susceptibility may account, in part, for the variability in rates of adolescent smoking and progression. There is abundant evidence for the heritability of smoking initiation, age at smoking onset, and smoking persistence (1–4). Heritable predisposition to smoking may be mediated, in part, by genetic variation in the dopamine pathway (5). Support for this hypothesis has emerged from studies linking smoking behavior with the more rare *A1* and *B1* alleles of the gene for the dopamine 2 receptor (*DRD2*) (6–8) and the 10-repeat allele of the dopamine transporter gene (*SLC6A3*) (9, 10) in adults. However, these findings have not been replicated in all cases (11–13). The 9-repeat allele of *SLC6A3* has also been associated with a 22% reduction in dopamine transporter protein, resulting in less clearance and greater bioavailability of dopamine (14). Thus, it is possible that individuals who carry the other common allele of *SLC6A3*, the 10-repeat allele, may achieve greater reward from nicotine's effects on dopamine activity.

Although these genetic association studies have provided preliminary evidence for specific genetic effects on smoking practices, they have several limitations. For example, case-control designs have been criticized for not accounting for the potential biasing effects of ethnic ad-

mixture (i.e., cases and control subjects may have been drawn from populations with different ethnic ancestries) (5, 15). A second limitation of previous research has been small subject groups, which may have affected the ability to detect associations with genes having small effects (16). In addition, previous research has relied on general assessments of smoking status (e.g., smokers versus non-smokers). Given the complex nature of smoking behavior and its etiology, it is critical that the phenotypes for genetic studies of smoking be well defined (17). Finally, there has been a lack of attention to adolescent populations in research evaluating genetic contributions to smoking behavior. The genes involved in smoking experimentation and acquisition of a habit during adolescence may be different from those that maintain a smoking habit or explain nicotine dependence in adulthood.

Another gap in our understanding relates to the interactions of genes with other factors that have been shown to increase adolescent vulnerability to smoking. For example, depression appears to be an important factor in adolescent smoking (18–20). Evidence exists to suggest that there may be common influences, possibly genetic, on both depression and smoking (21, 22). Depression symptoms have also been shown to moderate the effects of dopaminergic genes on smoking practices (23).

To generate new knowledge about the biobehavioral basis of adolescent smoking, the present study evaluated the

effects of polymorphisms in *SLC6A3* and *DRD2* on smoking practices and smoking progression in a large cohort of 615 high school adolescents of European ancestry who were followed from 9th grade to 11th grade. We hypothesized that adolescents with *DRD2 A1* and/or *SLC6A3* 10-repeat alleles who had an initial biological exposure to nicotine (i.e., had smoked at least a puff) would be more likely to progress to higher levels of smoking over the 2 years of longitudinal follow-up. We further hypothesized that genetic effects on smoking practices would be potentiated by depression symptoms, as measured by the Center for Epidemiological Studies Depression Scale (CES-D Scale) (24). Finally, we did not expect to find significant effects of *DRD2* and *SLC6A3* on smoking initiation (i.e., progression from never to ever) because students who had never smoked would not have had the opportunity for the genetic predisposition to enhanced nicotine reward to be expressed (13, 25, 26).

Method

Study Group

The participants were 615 9th grade high school students of European ancestry, each of whom was enrolled in one of five public high schools in northern Virginia. These adolescents were participating in a longitudinal cohort study of biobehavioral determinants of adolescent health habits. Of these 615 adolescents, 293 (48%) were male and 322 (52%) were female.

This study group is a subset of a larger cohort that was drawn from 2,393 students identified through class rosters at the beginning of 9th grade. Students were ineligible to participate in the cohort study of adolescent health habits if they had a special classroom placement (i.e., severe learning disability and/or English as a second language). The cohort was formed in the 9th grade and is being followed until the end of the 12th grade.

On the basis of the cohort selection criteria, a total of 2,120 students (89%) were eligible to participate. Of the 2,120 eligible students, the parents of 1,533 (72%) provided a response. Of these 1,533, the parents of 1,151 (75%) consented to their teen's participation in the study, yielding an overall consent rate of 54%. An analysis of differences between students whose parents consented and those whose parents did not consent to participation in the study revealed a race-by-education interaction. The interaction indicated that the likelihood of consent was over twice as great for Caucasian parents with more than a high school education than for those with a high school education or less (27).

Participation in the study required student assent as well as parental consent. Fifteen students declined participation. An additional 13 students were absent for both the baseline administration and the makeup session. The final baseline subject group contained 1,123 of the 2,120 eligible students. The university's institutional review board approved the study protocol.

The students were resurveyed in the fall and spring of the 10th grade and in the spring of 11th grade, for a total of four data collection waves. The rates of participation at the three follow-ups were 95% (1,070 students surveyed) for the fall of 10th grade, 96% (1,081 students surveyed) for the spring of 10th grade, and 93% (1,043 students surveyed) for the spring of 11th grade.

To limit potential bias due to ethnic admixture, the analyses were limited to adolescents of European ancestry ($N=685$). Sixteen adolescents with rare *SLC6A3* alleles (i.e., other than 9- or 10-repeat alleles) were excluded from the analyses. The primary variables of interest were smoking, depression, *SLC6A3* and *DRD2* genotypes, academic performance, and gender. The data pre-

sented herein are based on 615 adolescents of European ancestry who had complete responses for the predictor and outcome variables at the 9th and 11th grade time points (54 adolescents had missing data on one or more of these variables, including 22 who were lost to follow-up).

We compared the eligible adolescents lost to follow-up ($N=22$) to the adolescents of European ancestry who entered the study and were retained. Compared to the adolescents who were retained, this group displayed higher school performance (mean estimated grade point average, 2.5 [$SD=0.7$] versus 1.9 [$SD=0.7$]) (rank sum test, $z=-3.76$, $p<0.0002$), were more likely to have a genotype containing the *DRD2 A1* allele (60% [12 of 20] versus 33% [203 of 615]) ($\chi^2=6.30$, $df=1$, $p<0.02$), and were more likely to have smoked at least a puff of a cigarette by or in the 9th grade (59% [13 of 22] versus 35% [213 of 615]) (rank sum test, $z=-2.38$, $p<0.02$). No other differences were observed.

Procedures

Data were collected on-site, during a class common to all students (e.g., health, science, history). A member of the research team (J.A.-M.) distributed the survey. Each student received a survey with a subject identification number. The survey contained a front page with the student's name. The front page was removed when a survey was given to the student. The completed survey contained only an identification number. A member of the research team (J.A.-M.) read aloud a set of instructions, emphasizing confidentiality to promote honest responding, and encouraged questions if survey items were not clear. The survey took about 30 minutes to complete. Make-up sessions were held in the library for students who were absent during administration of the survey.

Biological samples were collected by using buccal swabs as previously described (28), and DNA was extracted with standard phenol-chloroform techniques. Genotyping was done as described in prior reports (9, 10). The assays were validated by confirming a polymorphic inheritance pattern in seven human family lines that encompassed three generations (data not shown; National Institute of General Medical Sciences Human Genetic Mutant Cell Repository, Coriell Institute, Camden, N.J.). Quality control procedures included positive and negative controls with each assay and independent repeat genotyping for 20% of the results. The rate of discordance was less than 5%, and ambiguous results were not reported.

Measures

Smoking status and progression. An ordered categorical variable was generated from responses to a series of standard epidemiological smoking questions derived from the Youth Risk Behavior Survey (29, 30). These included, "Have you ever tried or experimented with cigarette smoking, even a few puffs?" "Have you smoked at least one whole cigarette?" "Have you smoked a cigarette in the past 30 days?" and "How many cigarettes have you smoked in your lifetime?" Research supports the interrater reliability of the items of the Youth Risk Behavior Survey that assess smoking practices; the kappa coefficients are in the substantial or higher range (kappa $\geq 61\%$) (31, 32). Research also supports the validity of self-report measures of smoking behavior in adolescents, particularly in nontreatment contexts where confidentiality is emphasized (33–35).

On the basis of the participants' responses to these items, the adolescents were categorized as 1) never-smoker—never having smoked a cigarette, not even a puff, 2) puffer—not ever having smoked a whole cigarette, 3) experimenter—smoked at least one whole cigarette but fewer than 100 cigarettes total in a lifetime, or 4) current smoker—smoked in the last 30 days and 100 or more cigarettes in a lifetime. Adolescents who reported not smoking in the past 30 days but having smoked more than 100 cigarettes in a lifetime were classified as experimenters ($N=2$). The smoking pro-

gression variable was designed to capture any progression from never to current smoker and from puffer to current smoker, by assessing smoking level at each wave. These categories for smoking status have been used in previous studies (36, 37).

Genotype. Genotyping was done as in prior studies (9, 10). The *SLC6A3* genotype was classified as the number of 10-repeat alleles (zero, one, or two), and the *DRD2* genotype was classified as the number of *A1* alleles (zero, one, or two) (6, 9).

Depression symptoms. Depression symptoms were assessed with the CES-D Scale at baseline. This scale is a 20-item self-report measure of depression symptoms (24). Each item is rated along a 4-point Likert scale to indicate how frequently in the past week the symptom occurred (0=rarely or none of the time, 3=most of the time). Scores range from 0 to 60, and higher scores indicate a greater degree of depression symptoms. Previous adolescent research indicates that gender- and age-appropriate dichotomous cutoff scores (>24 for females, >22 for males) can be used to distinguish subjects with and those without clinically significant levels of depression symptoms (38). We used a score of 22 or greater as suggestive of clinical depression symptoms. The results did not differ when a cutoff score of 24 or greater was used.

Covariates. School performance was measured by one item similar to that used and shown in previous research to be related to adolescent smoking (39, 40). This item asked, "How do you do in school? Would you say mostly A's, mostly B's, mostly C's, or mostly D's and F's?" The responses were scored from 4 (mostly A's) to 1 (mostly D's and F's). Finally, gender was assessed by self-report.

Results

Of the 615 participants, 412 (67%) had no *DRD2 A1* alleles (*A2/A2*), 184 (30%) had one *DRD2 A1* allele (*A1/A2*), and 19 (3%) had two *DRD2 A1* alleles (*A1/A1*). For *SLC6A3*, 54 (9%) had no 10-repeat alleles (9/9), 226 (37%) had one 10-repeat allele (9/10), and 335 (54%) had two 10-repeat alleles (10/10). Neither *SLC6A3* nor *DRD2* alleles departed significantly from Hardy-Weinberg equilibrium ($p < 0.08$ and $p < 0.90$, respectively). The average CES-D Scale score in the 9th grade was 13.3 (SD=8.9). One hundred adolescents (16%) scored in the depressed range on the CES-D Scale. Approximately 26% of the subjects progressed in their smoking over the 2-year period.

Baseline Smoking Status, Depression Symptoms, and Genotype

At the 9th grade baseline assessment, participants were classified as never-smokers (65%), puffers (12%), experimenters (19%), and current smokers (4%). Smoking status was not significantly associated with *DRD2* genotype ($\chi^2 = 3.19$, $df = 6$, $p = 0.78$) or *SLC6A3* genotype ($\chi^2 = 5.27$, $df = 6$, $p = 0.51$). There was, however, a highly significant dose-response effect of *DRD2 A1* alleles on depression symptoms ($F = 8.32$, $df = 2$, 612 , $p = 0.0003$): the mean CES-D Scale scores were 12.3 (SD=8.1) for adolescents with no *A1* alleles (*A2/A2*), 15.1 (SD=10.1) for those with one *A1* allele (*A1/A2*), and 16.7 (SD=8.4) for those with two *A1* alleles (*A1/A1*). The *SLC6A3* genotype was unrelated to depression symptoms ($F = 0.86$, $df = 2$, 612 , $p = 0.43$).

There was also a highly significant association of depression symptoms with smoking status in the 9th grade

($F = 7.91$, $df = 3$, 610 , $p < 0.0001$). The mean CES-D Scale scores were 12.5 (SD=8.4) for never-smokers, 14.6 (SD=9.5) for puffers, 13.7 (SD=7.7) for experimenters, and 20.8 (SD=14.4) for current smokers. Sex was unrelated to smoking status in the 9th grade ($\chi^2 = 3.4$, $df = 3$, $p = 0.34$).

Relation of Smoking Progression to DRD2 and SLC6A3

Subjects with exposure to nicotine. Ordinal logit modeling was used to estimate the effect of the number of *DRD2 A1* and *SLC6A3* 10-repeat alleles on progression in smoking status by the second year of follow-up of the cohort. The model controlled for sex, baseline smoking status, school performance, and level of depression symptoms. Participants who had never smoked any cigarettes by 11th grade (i.e., never-smokers) were excluded ($N = 323$). Thus, the study group included the 292 participants who had at least some biological exposure to nicotine (puffers, experimenters, or current smokers), which is considered necessary for a genetic predisposition to be expressed (13, 25, 26).

There are three different varieties of ordinal logit models, each differing in construction of the odds. We chose the proportional odds model, which models the three-level ordinal outcome by splitting the probability space (sum=1) at two different dichotomies or cut points to create the three ordered categories. The model then predicts the odds of being above each of the cut points with a linear model. Although a separate model could be used for each dichotomy, proportional odds uses a common set of parameters across all the dichotomies, except for the intercept value. The reported odds ratio is the factor by which the odds of being in a higher category increase (across all the dichotomies) for a unit change in the explanatory variable, corresponding roughly to that of conventional logistic regression.

The final model of smoking progression, shown in Table 1, was highly significant ($R^2 = 0.18$, $p < 0.00005$). These results revealed an effect of the number of *DRD2 A1* alleles. Specifically, each *A1* allele nearly doubled the odds of smoking progression. In addition, school performance significantly decreased the odds of smoking progression. The number of *SLC6A3* 10-repeat alleles was not associated with progression. The results further revealed a significant *DRD2*-by-depression interaction (Table 1). The interaction indicated that an increase in depression symptoms of one standard deviation nearly doubled the effect of the *A1* alleles on smoking progression. For illustrative purposes, depression was dichotomized as shown in Figure 1. Among adolescents carrying at least one *A1* allele, 25% (40 of 161) of nondepressed versus 33% (17 of 52) of depressed adolescents progressed in their smoking within 2 years.

The proportional odds model depends on the assumption that the separate models of the dichotomies differ only in their intercepts. A generalized ordinal logit model relaxes the assumption of proportionality (41), evaluating separate logit models for each of the cutoff points (effectively doubling the number of parameters estimated). We

TABLE 1. Ordered Logit Model of Smoking Progression From 9th to 11th Grade in 292 Adolescents, Excluding Those Who Had Never Smoked Even a Puff of a Cigarette^a

Variable	Odds Ratio	95% CI	p
Baseline smoking status	2.74	2.08–3.62	<0.001
Number of <i>SLC6A3</i> 10-repeat alleles ^b	1.21	0.83–1.75	0.32
Number of <i>DRD2</i> A1 alleles ^b	1.85	1.18–2.90	0.008
CES-D Scale score	1.04	0.76–1.42	0.81
Sex	0.73	0.44–1.19	0.21
School performance (estimated grade point average)	0.49	0.32–0.74	0.001
Interaction of <i>DRD2</i> genotype and CES-D Scale score	1.80	1.07–3.03	0.03

^a This model includes only individuals who had had at least a puff of a cigarette in their lifetime (puffers, experimenters, and current smokers).

^b This odds ratio is calculated on a per-allele basis.

used a likelihood ratio test to determine whether the generalized model offered a significant improvement over the proportional odds model and found the improvement nonsignificant ($p=0.08$).

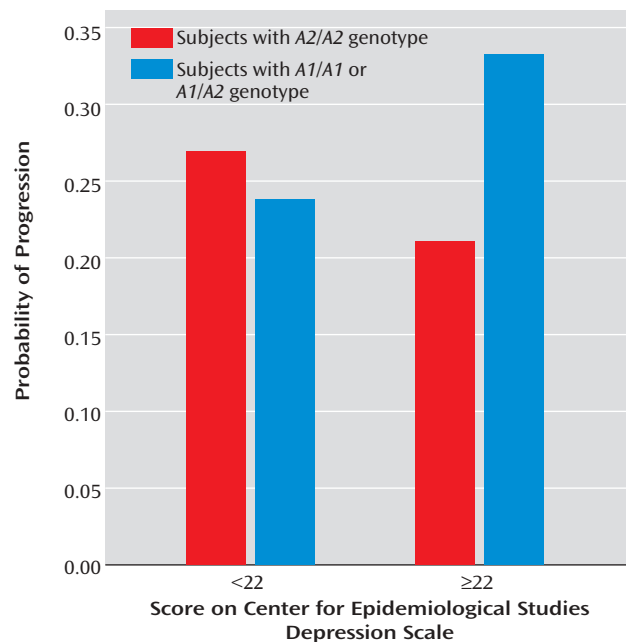
All subjects. The four-level ordinal data (i.e., never-smoker, puffer, experimenter, current smoker) were modeled by using the proportional odds model, which begins by splitting the data at three different dichotomies or cut points to create four ordered categories. The model then predicts the odds of being above each of the cut points with a linear model. As predicted, with the never-smokers in the analysis (Table 2) the effect of the *DRD2* genotype was no longer significant. Similarly, *SLC6A3* genotype was not significantly associated with smoking progression. Only baseline smoking status and school performance had significant effects in predicting progression by grade 11.

Ethnic Admixture

Although our study group included only adolescents of European ancestry, there is some concern that ethnic admixture within this group could lead to false positive results. We used the confounding odds ratio technique described by Wacholder and colleagues (42) for analyzing the effects of ethnic admixture. The Caucasian group was split into three blocks of predominantly northwestern, southwestern, and eastern European heritage. Those who could not trace at least three-fourths of their ancestry to one subgroup were removed from the analyses. The adjusted odds ratio for the effect of the presence of an A1 allele on smoking progression differed by less than 2% when ancestry was accounted for in the model.

Discussion

These results provide the first evidence, to our knowledge, for the association of specific genetic variants with smoking progression in adolescents. Among adolescents who had smoked at least one puff of a cigarette, the likelihood of a 9th grade student progressing to a higher level of smoking by the 11th grade increased almost twofold with each additional

FIGURE 1. Interacting Effects of *DRD2* Genotype and Depression Symptoms on Smoking Progression From 9th to 11th Grade in 292 Adolescents^a

^a Includes adolescents who had smoked at least one puff of a cigarette.

TABLE 2. Ordered Logit Model of Smoking Progression From 9th to 11th Grade in 615 Adolescents, Including Those Who Had Never Smoked Even a Puff of a Cigarette^a

Variable	Odds Ratio	95% CI	p
Baseline smoking status	7.28	5.60–9.46	<0.001
Number of <i>SLC6A3</i> 10-repeat alleles ^b	1.08	0.82–1.66	0.59
Number of <i>DRD2</i> A1 alleles ^b	1.18	0.85–6.75	0.31
CES-D Scale score	0.80	0.63–1.01	0.06
Sex	1.18	0.82–1.69	0.37
School performance (estimated grade point average)	0.36	0.26–0.50	<0.001
Interaction of <i>DRD2</i> genotype and CES-D Scale score	1.23	0.88–1.73	0.23

^a This model includes never-smokers (individuals who had not had even a puff of a cigarette in their lifetime) as well as puffers, experimenters, and current smokers.

^b This odds ratio is calculated on a per-allele basis.

DRD2 A1 allele. This effect was significantly more pronounced among adolescents with more severe depression symptoms. The *SLC6A3* polymorphism was not associated with smoking progression in this model. The same effects were not observed when adolescents who had never smoked a cigarette (even a puff) were included in the model.

The observed association of the *DRD2* polymorphism and smoking progression among adolescents who have ever smoked can be interpreted in the context of previous neurobiological and epidemiological research. Correlative data suggest that individuals who carry *DRD2* A1 alleles exhibit altered receptor density and binding characteristics and therefore may have lower levels of endogenous dopamine activity (43–45). Thus, adolescents who carry this variant allele may experience greater reward from nic-

otine's dopamine-stimulating effects. Consistent with this line of thought is the association Noble and colleagues (46) found between novelty seeking, a trait characterized by sensitivity to reward, and *DRD2* in adolescents. It is possible that the effects of *DRD2* on smoking progression are mediated by novelty seeking, which may also affect peer smoking influences. The association between *DRD2* and smoking progression is consistent with findings from studies of adult smokers (6, 7). However, these findings have not been replicated in other studies (10, 11). Further investigation of the genetic contributions to adolescent smoking is needed to replicate these findings.

When never-smokers were considered in the model, the associations with *DRD2* and the interaction between *DRD2* and depression were no longer significant. There are several possible explanations for these findings. First, adolescent smoking progression may result from a complex interplay of genetic, psychological, and socioenvironmental factors, and key determinants may vary at different points in the smoking acquisition trajectory (30, 47). For example, socioenvironmental factors may play a larger role in smoking initiation than do psychological factors, and genetic factors may play a larger role in progression. Support for this premise comes from studies showing that peer and parental smoking contribute more to youth smoking initiation than to escalation and that psychological mechanisms (e.g., smoking intentions, outcome expectancies) are more influential in escalation (48). In addition, heritability studies have shown that the genetic and environmental factors that influence the initiation of regular smoking differ from those that influence maintenance (4). Second, if the *DRD2* polymorphism is associated with a differential response to nicotine, then biological exposure is necessary for the genetic effects to be expressed (13, 25, 26). If never-smokers were to smoke a cigarette, one would likely find wide variation in their biological responses (26). In fact, previous research has shown that the 10-repeat allele of the *SLC6A3* polymorphism is associated with smoking (9). However, a recent study had the opposite result when never-smokers were distinguished from nonsmokers; the 10-repeat allele was more common in the never-smokers (13). Never-smokers may differ in a number of ways from those who have been exposed to nicotine through smoking. Separating people who have never smoked from those who have has been considered a logical first step in refining smoking phenotypes (49).

Of particular significance for adolescent smoking prevention, our findings provide strong evidence that adolescents who carry at least one *DRD2 A1* allele may be especially vulnerable to depression symptoms and that genetic predisposition and depression symptoms may act synergistically in progression of adolescent smoking. Previous studies have indicated that depression symptoms may modify the effects of genetic predisposition on smoking practices among adults (23). However, to our knowledge the present study is the first to document this in an adoles-

cent population. This finding is consistent with a growing body of evidence suggesting that depression symptoms increase the risk of adolescent smoking (19, 50) and may increase susceptibility to other factors, such as tobacco advertising (51). It is possible that adolescents with elevated levels of depression have fewer alternative sources of reward, and smoking may be a quick and easy way to increase overall pleasure and reward (52). The findings of recent studies provide some support for this notion in that clinically depressed adult smokers found smoking more appealing than other sources of reward (53). In addition, brain reward systems involving dopamine appear to be dysfunctional in individuals with depression, such that they are more responsive to substances that activate these reward systems (54), such as nicotine.

Research indicates that over 20% of high school students suffer from clinically significant depression symptoms (55), supporting the importance of targeting smoking prevention efforts to this high-risk group. The observed association and interaction with *DRD2* genotype provide additional mechanistic data that may help to define such efforts. Successful interventions for adolescent depression (55) and research aimed at informing smoking prevention efforts (36, 52) have focused on increasing the quantity and quality of rewarding activities. Such interventions may improve mood and reduce the likelihood of smoking progression in adolescents.

We believe ours to be the first investigation of specific genetic effects on adolescent smoking progression. As such, the present study has both strengths and weaknesses. The strengths include the collection of DNA samples and psychological data from a large group of adolescents and the use of more refined longitudinal smoking phenotypes. One limitation, however, is that there were insufficient numbers of adolescents in other racial groups (e.g., African American, Asian American, Hispanic) to conduct meaningful analyses stratified by race. Another potential limitation of this study is that the results could be biased by ethnic admixture within the Caucasian population. However, this is less likely, since all adolescents were drawn from the same population, and our analyses show that adjusting ancestry does not alter the effect of genotype on smoking progression. Moreover, reports have challenged the notion that ethnic admixture leads to significant bias in genetic epidemiological studies (42).

A common limitation of protocols requiring active consent is the rate of nonresponse (56–58). Although the parents of 72% of the eligible participants returned responses regarding study participation, we are unable to ascertain the characteristics of the 28% who did not respond. Seventy-five percent of the parents who responded did provide consent, and the differences between those who provided consent and those who declined were relatively small and few (27). However, some caution is warranted in generalizing the results of this study, especially in light of the study's consent rate. Although our study group may not be repre-

sentative of all adolescents nationwide, it is representative of the population of high school students in the county from which it was drawn. Further, our smoking rates are representative according to the rates in a random sample of more than 4,000 grade-matched students in the county in which our study group was drawn; for example, the rates of lifetime smoking in our subjects and the random sample were 41% and 43%, respectively, and the rates of current smoking were 12% and 15%, respectively. Although we lost only 22 adolescents (4%) from our study group by the 11th grade, this group differed with respect to genotype and baseline smoking status. Although their progression by 11th grade is unknown, their omission from the group may have served to understate the effect of *DRD2* on progression.

Another limitation of this work is that the adolescents' self-reports of their depression symptoms were not confirmed by a formal clinical interview. Thus, the full extent of their depression cannot be determined, especially in light of the 1-week time frame used in the CES-D Scale measure. In addition, conduct disorder and antisocial problems were not measured in the current study, and evidence suggests comorbidity with smoking behavior (59).

Despite these potential limitations, the present study provides a first step toward identifying genetic determinants of smoking progression among adolescents, may provide a starting point for understanding the biology of smoking behavior and comorbid conditions in adolescents, and may inform smoking prevention and intervention efforts (16, 60).

While replication of these findings in other groups is necessary, these results provide directions for future investigations. Such studies could include animal and human laboratory investigations to elucidate the mechanisms of genetic and psychological influences on nicotine self-administration. Additional prospective studies that are amply powered to detect complex polygenic, psychological, and socioenvironmental interactions in different racial groups are also warranted. Given the substantial adolescent smoking problem in this country, the public health implications of such research could be significant.

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