Emotions in Unmedicated Patients With Schizophrenia During Evaluation With Positron Emission Tomography

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Objective: Schizophrenia is currently conceptualized as a disease of functional neural connectivity, leading to symptoms that affect aspects of mental activity, including perception, attention, memory, and emotion. The neural substrates of its emotional components have not been extensively studied with functional neuroimaging. Previous neuroimaging studies have examined medicated patients with schizophrenia. The authors measured regional cerebral blood flow (rCBF) during performance of a task that required unmedicated patients to recognize the emotional valence of visual images and to determine whether they were pleasant or unpleasant.

Method: The authors examined rCBF in 17 healthy volunteers and 18 schizophrenia patients who had not received antipsychotic medications for at least 3 weeks during responses to pleasant and unpleasant visual stimuli. Areas of relative increases or decreases in rCBF were measured by using the $[^{15}O]H_2O$ method.

Results: When patients consciously evaluated the unpleasant images, they did not activate the phylogenetically older fear-danger recognition circuit (e.g., the amygdala) used by the healthy volunteers, although they correctly rated them as unpleasant. Likewise, the patients showed no activation in areas of the prefrontal cortex normally used to recognize the images as pleasant and were unable to recognize them as such. Areas of decreased CBF were widely distributed and comprised subcortical regions such as the thalamus and cerebellum.

Conclusions: This failure of the neural systems used to support emotional attribution is consistent with pervasive problems in experiencing emotions by schizophrenia patients. The widely distributed nature of the abnormalities suggests the importance of subcortical nodes in overall dysfunctional connectivity.

Schizophrenia causes pervasive mental changes that include the ability to think clearly, perceive correctly, and experience and express emotions intensely. The neural basis of its cognitive disturbances (e.g., memory deficits, attentional impairments, hallucinations) has been intensively explored, using the tools of functional neuroimaging (1–6). Emotional abnormalities are among the most striking features of this illness. Patients sometimes appear to have lost the ability to feel. For example, they may respond impassively when told of the death of a loved one. They may also have difficulty interpreting the emotional significance of events or situations, which may in turn lead to delusional interpretations of their meaning. The neural mechanisms of emotional disturbance in schizophrenia have been less well investigated than other aspects of the illness (7, 8). However, several functional neuroimaging studies have begun to examine this issue (9–13). In general, but not always (11), patients with schizophrenia fail to activate limbic and paralimbic regions when either correctly or incorrectly performing affective tasks.

The interpretation of these previous studies is, however, limited because all studies have included patients who were taking different or multiple neuroleptic medications during the functional imaging experiment (9–14). The effects of neuroleptic (also known as antipsychotic) medications on affective functioning in schizophrenia are multifaceted. Neuroleptics may either reduce or exacerbate the emotional difficulties of patients, depending on the specific receptor profile and its interaction with affect-regulating neurotransmitter systems. They also have effects on psychopathology, produce neurological side effects, and influence cerebral blood flow (CBF). Therefore, because of the importance of emotion in schizophrenia and to circumvent the confounding of treatment with neuroleptic medications, we conducted a functional imaging study of patients with schizophrenia after a period of withdrawal of neuroleptics of at least 3 weeks. The present study of medication-free patients examined one facet of emotion: the ability to attribute the correct emotional meaning to images (pictures of objects, people, and situations) that are commonly seen in everyday life.

Many studies have now shown that “normal” emotion is not a unitary construct (15). Processing emotion-laden stimuli has evaluative, experiential, and attributive components. Emotions also have direction and valence, such
as positive or negative, pleasant or unpleasant, happy or sad. Phylogenetically, emotions may also have levels, ranging from more primitive emotions that promote survival (fear of dangerous stimuli, sexual desire) to emotions that are “higher” and less obviously adaptive (feeling altruistic, generous, or loving). Animal, human lesion, and functional imaging studies have begun to dissect the various neural substrates of these components of emotion (16). For example, the subcortical limbic system (including the amygdala) is devoted to the evaluation of negative stimuli, particularly for potential danger (17). The prefrontal cortex is a pivotal node for the evaluation of pleasant and rewarding stimuli (18).

We have previously used positron emission tomography (PET) to study the neural correlates of attributing the emotional valence of positive and negative visual stimuli in normal healthy volunteers (19). We now have extended this work to the study of schizophrenia. Appraisal of the emotional significance of a stimulus is a critical stage in emotional processing, and this ability is often impaired in patients with schizophrenia. In this study, we compared patients’ response to visual images that reflect situations or objects common in everyday life that represent extremes of emotional valence. Regional CBF (rCBF) was measured while 18 patients with DSM-IV schizophrenia and 17 healthy volunteers formulated a judgment (attribute) of the pleasantness or unpleasantness of these stimuli.

Method

Subjects

Eighteen patients suffering from DSM-IV schizophrenia were studied. Diagnoses were based on a structured interview, the Comprehensive Assessment of Symptoms and History (20). The patients with schizophrenia were all medication free for at least 3 weeks, a period after which oral neuroleptics are no longer measurable present in the brain (21). None had received depot neuroleptics in the past 6 months. Six patients were drug naive, and 12 had discontinued medications themselves, had them discontinued by a referring physician, or had them withdrawn while on the inpatient unit of the Iowa Mental Health Clinical Research Center after giving written informed consent and being fully informed about the risk of relapse. Three had comorbid substance abuse (one with amphetamine dependence, one with cannabis abuse, and one with amphetamine abuse and cannabis dependence); there were no other comorbid diagnoses. Sixteen patients were men, and two were women. Their average age was 30.0 years (SD=8.9), and their mean education level was 12.9 years (SD=3.3). Thirteen were right-handed, two were left-handed, and three used both hands. Symptom ratings obtained on the day of scanning indicated that symptom levels were in the mild to moderate range, a level that did not interfere with their ability to perform the tasks. Symptom dimensions (22, 23) were negative (mean=2.53, SD=1.19), positive (psychotic) (mean=2.53, SD=1.19), and disorganized (mean=2.55, SD=1.43). Two subjects experienced auditory hallucinations during all three imaging sessions, as determined by debriefing after each scan acquisition.

Seventeen healthy volunteers, recruited from the community by newspaper advertising, were studied. They were screened with an abbreviated version of the Comprehensive Assessment of Symptoms and History to rule out psychiatric, neurological, and general medical illness, including substance abuse. Nine were women, and seven were men. All were right-handed. Their average age was 29.5 years (SD=7.56), and their average educational achievement was 14.3 years (SD=1.7). All subjects gave written informed consent for this protocol, as approved by the University of Iowa Human Subjects Institutional Review Board. Findings regarding these 17 healthy volunteers were reported elsewhere (19).

Affective Tasks

The emotion attribution protocol used in this study was fully described elsewhere (19). In brief, two sets of stimuli (comprising 18 pleasant and 18 unpleasant pictures) were selected from the International Affective Picture System (24) to achieve a wide range of emotional content for each type of valence. Pleasant content depicted such emotions as success, beauty, or appetite, whereas unpleasant content included emotions such as fear, disgust, or disappointment. The pictures were screened before a large number of normal local volunteers who were of an age equivalent to those in this study and rated for valence. The pictures with the smallest standard deviations were selected and presented again to a similar large group of volunteers. Based on their ratings, 18 pictures were selected for each condition, chosen to achieve pleasant or unpleasant valence, and matched for types of content (e.g., people, objects, scenes). A complete list of pictures can be found in a previous study (19). Mean image luminance was 12.37 foot-candles (SD=0.49) for the set of unpleasant pictures and 12.47 (SD=0.49) for the pleasant set. Before presentation of the pictures and the imaging, the subjects were familiarized with the use of the visual analogue scale. Before the imaging, they were instructed as follows:

For this condition, I want you to relax and watch the pictures on the monitor. A new picture will appear every 2–3 seconds. Watch them until they stop, but continue looking at the screen until I tell you we are finished. Then you will be rating the pictures as a group with the scale we discussed earlier.

Picture displays began 10 seconds before the arrival of the bolus in the brain. After the images were acquired, the subjects looked at a –7 (extremely unpleasant)–0 (neutral)–7 (extremely pleasant) visual analogue scale that was held by a research technician and made their ratings by pointing to a specific number. The order of presentation was “pleasant” followed by “unpleasant” to minimize possible carryover effects of intensity (25). Mean normative arousal scores (range=0–9) were 5.73 (SD=0.74) for the unpleasant set and 4.69 (SD=1.03) for the pleasant set. These two conditions were part of a larger PET study that also included exposure to odors. The results of the olfaction study have been reported elsewhere (26).

Neuromaging Acquisition and Processing

rCBF was measured by using the bolus [15O]H2O method (27). The subjects were oriented in the PET scanner with laser light guides aligned at the orbital meatal line of the brain. The center of the most rostral slice was indicated by the laser guides. Fifteen slices (6.5 mm, center to center), with an intrinsic in-plane resolution of 6.5 mm full width at half maximum and a 10-cm axial field of view, were acquired. The images were reconstructed by using a Butterworth filter (cutoff frequency=0.35 Nyquist). CBF was determined by using [15O]H2O (a 50 mCi/injection) and methods described previously (28). For each injection, arterial blood was sampled from time=0 (injection) to time=100 seconds. The imaging was initiated at the time of injection and consisted of 20 frames at 5 seconds per frame for a total of 100 seconds. The parametric (i.e., CBF) image was created by using a 40-second summed image (ini-
Results

Although the patients with schizophrenia had “normal” ratings on the unpleasant pictures, they displayed difficulty in attributing the correct valence to the pleasant pictures. Pleasant stimuli were rated by the patients as mean = 3.62 (SD = 4.04) and by the comparison subjects as mean = 6.11 (SD = 1.11) (t = 2.44, df = 31, p = 0.02, two-tailed). On the other hand, the unpleasant stimuli were rated by the patients as mean = 4.37 (SD = 4.48) and by the comparison subjects as mean = 4.87 (SD = 4.09) (t = 0.32, df = 31, p > 0.70).

In the patients, direct comparison (33) of unpleasant and pleasant tasks yielded a paucity of findings, reflecting their muted emotional responsiveness. There was an area of relatively increased CBF associated with response to unpleasant stimuli in the right ventral lateral prefrontal cortex (Brodmann’s area 47, \( t_{\text{max}} = 4.54, 153 \) voxels; Talairach coordinates: \( x = 32, y = 37, z = -10 \)) and one area of increased CBF in the right ventral medial prefrontal cortex (Brodmann’s area 10, \( t_{\text{max}} = 4.09, 95 \) voxels; Talairach coordinates: \( x = 2, y = 48, z = -18 \)) in response to the pleasant task. Direct task comparisons among healthy volunteers can be found elsewhere (19).

Our randomization analysis indicated that when they were compared with normal healthy comparison subjects, the patients with schizophrenia exhibited widespread decreases in CBF when they assessed and rated the emotional valence of the unpleasant pictures (Table 1 and Figure 1). Most of these regions had increased CBF in the normal comparison subjects when they rated the unpleasant stimuli. The normal subjects had activation in the left amygdala, the cerebellum, and the secondary visual cortex in the unpleasant/pleasant comparison (19). The decreases in CBF in the patients were the inverse of the normal response and reflected a failure to activate the regions normally used in performance of this emotional task.

One group of regions showing an abnormally decreased response to the unpleasant pictures included the limbic and paralimbic structures implicated in the assessment
and appraisal of danger: the amygdala, hippocampus, and medial ventral prefrontal cortex. Other regions showing decreased activity in schizophrenia included the visual association cortices. In addition to these decreases in the limbic, paralimbic, and association cortex, the patients also showed decreases in CBF in the thalamus and cerebellum while appraising the unpleasant pictures, indicating that the abnormalities occurring during emotional appraisal arise from a widely distributed network that includes subcortical regions.

A different gestalt emerged when the CBF patterns of the patients and comparison subjects were compared during appraisal of the pleasant stimuli (Table 1 and Figure 2). Again, the pattern observed in the patients was an inverse of the pattern observed in the healthy volunteers. Whereas the healthy comparison subjects showed activations in the medial, orbital, and dorsal lateral frontal cortex (19), the patients had decreases in multiple regions of the prefrontal cortex, reflecting a lack of activation of the networks used for pleasure recognition and attribution. In addition, they also had decreases in CBF in the insular cortex, an allocortical region that is connected to the limbic system, consists of multimodal sensory integration regions, and is thought to play a role in perceiving relationships between the external world and the internal milieu (34).

We did not find areas of increased CBF in patients relative to comparison subjects during attribution of valence to either unpleasant or pleasant stimuli.

Correlations were calculated for the relationship between symptom severity and ratings of emotional valence in the patients with schizophrenia. There was a significant inverse correlation between the ratings of the unpleasant pictures and the positive symptom dimension, which is comprised of delusions and hallucinations ($r=-0.72$, $N=16$, $p<0.002$). That is, the more severe the positive symptoms, the higher the tendency to rate the unpleasant stimuli as unpleasant. There was also a significant direct correlation between ratings of the unpleasant pictures and the negative symptom dimension ($r=0.55$, $N=16$, $p<0.03$). That is, the more severe the negative symptoms, the lower the ability to recognize the negative emotional valence of the pictures and instead to interpret them more positively.

**Discussion**

To our knowledge, this is the first study to report on the functional neuroanatomy of affective functioning of non-medicated patients with schizophrenia. These extensive decreases in CBF during valence attribution to affectively laden visual stimuli suggest impairment in the systems of the brain used for recognition of the emotional signifi-
The patients show wide distribution of decreases in regional CBF during performance of this task. Areas of significant decreases in CBF are shown in yellow or red (alpha=0.005). In image A, the crosshairs were placed on an area of decreased CBF in the left ventral lateral prefrontal cortex (in all three planes). Other areas of decreased CBF included the medial prefrontal cortex (bottom), and the right dorsal lateral prefrontal cortex (bottom). In image B, the crosshairs were placed directly on areas of decreased CBF in the insula, which is shown in two locations in the uppermost image.
and emotional experiences that permit attribution of meaning or valence to the stimuli (54, 55). The ventral frontal cortex is also part of this emotion appraisal network (18, 56, 57). Other regions showing decreased activity in schizophrenia include the visual association cortices, which also participate in determination of meaning through recognizing the specific nature of perceptions, such as in faces or objects (48).

Abnormalities in the thalamus are consistent with a growing number of postmortem, in vivo morphometric, and functional imaging studies that describe primary abnormalities in the thalamus in schizophrenia (58–64). Functional imaging studies have also shown that the thalamus is associated with visually induced negative affect (65, 66).

An abnormality in midline cerebellar activity in patients during an emotional valence recognition task is consistent with the postulated role of the cerebellum in emotion (67, 68). Cerebellar midline structures (i.e., the vermis), together with other older cerebellar regions (i.e., the fastigial nuclei and the floccular nodular lobule) have been regarded as the equivalent of the limbic cerebellum (69) and have been found to be abnormal in schizophrenia (70). A large decrease in CBF was also observed, however, in the cerebellar cortex. Failure to recruit the cerebellum, along with other nodes in the cortical-cerebellar-thalamic-cortical circuit, completes an impairment posited to be the central organizing mechanism of symptom production and cognitive disturbance in schizophrenia (71). Therefore, the present study extends to emotion processing the findings of previous imaging studies obtained by using cognitive paradigms that did not include emotion (72).

This study did not find areas of relative increase in CBF in patients with schizophrenia relative to comparison subjects in either task. This paucity of activation (the “silent brain”) is consistent with our within-group analysis showing little differential activation in patients responding to unpleasant versus pleasant stimuli. However, consistent with the data of Taylor et al. (9), small areas of increased CBF were found in the ventral prefrontal cortex in association with correct interpretation of unpleasant stimuli and in response to pleasant stimuli in the within-group analyses of the patients.

**CBF and Neural Response to Pleasant Stimuli**

Although the normal comparison subjects activated an archaic danger-recognition system when rating the unpleasant pictures, they activated multiple sites in the phylogenetically younger network of pleasure-recognition sites in the prefrontal cortex and in the insular cortex when rating the pleasant pictures (19). In contrast, as shown in Table 1 and Figure 2, the patients with schizophrenia had pervasive decreases in CBF in multiple regions of the prefrontal cortex while attempting to attribute the correct emotional valence to pleasant stimuli. These results are consistent with numerous studies that have previously demonstrated both structural and functional abnormalities in the prefrontal cortex in schizophrenia (73–84).

The patients also had decreased CBF in the insular cortex while attempting to attribute the correct emotional valence to pleasant stimuli. Decreased CBF in the insula in patients with schizophrenia is consistent with previous studies that have shown functional and structural abnormalities in the insular cortex in schizophrenia (85–87). The insular cortex is a multimodal integration region that is involved in the linking of sensory experiences with their appropriate emotional responses (88, 89). Previous functional neuroimaging studies have reported abnormalities in CBF in the insula during diverse emotional states, including the experiencing of aversive stimuli, nociception, anticipatory anxiety, and mood provocation (89–92). Insular gray matter volume and cortical surface size are inversely related to the severity of psychotic symptoms in schizophrenia (93).

These results indicate that patients with schizophrenia have at least two facets of neurofunctional deficits. The first one, which might be called condition independent, has been observed in patients, regardless of the mental task at hand, and entails deficits in frontal-thalamic-cerebellar circuitry. The results of this study are similar to those obtained in others in which patients performed “pure” cognitive tasks (94, 95). On the other hand, condition-specific deficits were also observed during this study of emotion. These were seen in regions governing affect regulation. Condition- or task-specific neurofunctional deficits are often found together with task-independent deficits in functional neuroimaging studies of schizophrenia (26, 96).

**Clinical Significance**

The results observed at the neural level, by means of measurements of CBF, are consistent with the clinical picture of schizophrenia, especially when examined in the context of the ratings of emotional valence given by the patients. The blunting of subjective experience and emotional expression are common symptoms in schizophrenia and are clinically referred to as part of the group of negative symptoms (anhedonia, asociality, and affective blunting). The patients in this study had difficulty in attributing the correct valence to pleasant pictures. They lacked the “normal” ability to recognize pleasant stimuli. However, they were able to attribute correctly an unpleasant or negative valence to the unpleasant pictures. Maintaining intact behavioral response to aversive stimuli conveys survival advantages for people with schizophrenia, but their inability to recognize and attribute a positive valence for pleasant pictures is reflective of the suffering that they experience during survival.

Examination of the correlations between symptom patterns and the ability to attribute the correct emotional valence to the stimuli added to the clinical perspectives of
this study. The patients who had higher levels of positive symptoms (delusions and hallucinations) were more likely to give the unpleasant pictures a more negative rating, seeing them as extremely unpleasant. This suggests that these patients had an unusual sensitivity to negative stimuli, making them more vulnerable to misinterpretation of their emotional meaning. This unusual sensitivity may form part of the basis for misperceptions and delusional interpretations. On the other hand, the patients who had higher scores on the negative dimension (i.e., anhedonia, affective blunting, asociality) also had difficulty in giving the correct (negative) rating to the unpleasant pictures, instead giving them a relatively more positive rating. This correlation between severity of negative symptoms and an inability to recognize the negative emotional valence of unpleasant pictures may explain other facets of the clinical experience of schizophrenia. Specifically, this rating pattern is consistent with clinical manifestations, such as both the blunting of affect and inappropriate affect.

In summary, we found that when patients evaluated the unpleasant pictures, they did not engage the limbic and paralimbic regions used by the healthy volunteers, even though they correctly rated them as unpleasant. The patients also failed to activate the areas of the prefrontal cortex that are normally used to recognize images as pleasant, but in addition, they were unable to recognize them as pleasant. This network of decreases in CBF during attribution of a positive or negative valence to pleasant or unpleasant visual stimuli in patients with schizophrenia suggests a failure in the functional brain systems used for recognition of the emotional significance of people, situations, and objects.

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EMOTIONS IN SCHIZOPHRENIA


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